

1 **SOLID-SET SPRINKLER IRRIGATION CONTROLLERS DRIVEN BY**
2 **SIMULATION MODELS: OPPORTUNITIES AND BOTTLENECKS**

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5
6 **ABSTRACT**

7 Farmers continue to show wide differences in irrigation water use, even for a given
8 location and crop. Irrigation advisory services have narrowed the gap between
9 scientific knowledge and on-farm scheduling, but their success seems to have been
10 limited. Sprinkler irrigation performance is greatly affected by meteors such as wind
11 speed, whose short-time variability requires tactical adjustments of the irrigation
12 schedule. Mounting energy costs often require consideration of inter- and intraday
13 tariff evolution. Opportunities have arisen which permit to address these challenges
14 through irrigation controllers guided by irrigation and crop simulation models. Remote
15 control systems are often installed in collective pressurized irrigation networks.
16 Agrometeorological information networks are available in regions worldwide. Water
17 Users Associations use specialized databases for water management. Different
18 configurations of irrigation controllers based on simulation models can develop,
19 continuously update and execute irrigation schedules aiming at maximizing irrigation
20 adequacy and water productivity. Bottlenecks requiring action in the fields of research,
21 development and innovation are analyzed with the goal of establishing agendas leading
22 to implementation and commercial deployment of advanced controllers for solid-set
23 irrigation.

24 **CE Database subject headings:** sprinkler irrigation; control; models; irrigation
25 systems; irrigation districts

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27 **INTRODUCTION**

28 Economic development and a growing world population are increasing global demand
29 for agricultural products. Alexandratos and Bruinsma (2012) predicted that world food
30 demand will increase by 60% by 2050. According to the International Energy Agency
31 (IEA), the use of biofuels could grow more than fourfold from 2008 to 2035 (IEA,
32 2012). Irrigated agriculture accounts for 40% of global food production (World Water
33 Assessment Programme, 2009). The world irrigated area amounts to 302 M ha and
34 occupies 16% of the total arable land (Alexandratos and Bruinsma, 2012). By the
35 beginning of the 21st century, pressurized irrigation systems only accounted for 12% of
36 the total irrigated area (FAO, 1998-2002). About 60% of the world irrigated area
37 should be modernized in order to match the future world demand for food and biofuel
38 production (Alexandratos and Bruinsma, 2012). Additionally, the effective irrigated
39 area should be extended by 15% for the same aim. These changes will mainly take
40 place in developing countries. Pressurized irrigation systems are commonly adopted
41 for modernization purposes and new irrigated areas. The area irrigated by sprinkler
42 and drip systems has increased from 37% to 60% since 1979 in the United States
43 (USDC, 1986; USDA, 2009). For instance, in Spain pressurized irrigation systems have
44 increased from 19% to 70% in the last 30 years (MAPA, 1985; MAGRAMA, 2011).
45 Solid-set sprinkler irrigation systems have experienced wide diffusion in countries such
46 as Brazil (1.57 M ha, 35.3% of the irrigated land) or Spain (0.48 M ha, 14% of the
47 irrigated land).

48 Despite irrigation modernization, water withdrawn by irrigated agriculture is
49 forecasted to increase by 11% in 2030 (World Water Assessment Programme, 2009).
50 Water availability will be a major constraint to balance supply and demand for
51 agricultural products in the coming decades. Moreover, oil energy prices and electricity
52 prices are predicted to increase by about 25% and 15%, respectively, in 2035 (IEA,
53 2012), raising the irrigation costs for pressurized systems requiring pumping stations.
54 These perspectives encourage farmers to invest in water-efficient technologies aiming
55 at maximizing economic return from their investments in irrigation systems.

56 At the on-farm level, water use remains unsatisfactory. Salvador et al. (2011) analyzed
57 seasonal irrigation water application patterns in 1,627 plots located in large irrigation
58 projects of the Ebro valley of north eastern Spain. Irrigation adequacy was assessed
59 using the ARIS (Annual Relative Irrigation Supply) indicator proposed by Malano and

60 Burton (2001). This indicator can be determined as the ratio of irrigation water
61 application ($\text{m}^3 \text{ ha}^{-1} \text{ yr}^{-1}$) to net irrigation requirements ($\text{m}^3 \text{ ha}^{-1} \text{ yr}^{-1}$). Salvador et al.
62 (2011) found average ARIS values of 1.41 for surface irrigation, 1.16 for sprinkler
63 irrigation and 0.65 for drip irrigation. Inter plot deviation from these average values
64 was surprisingly large. For instance, in the case of solid-set irrigated corn (a drought-
65 sensitive crop) the average ARIS was 1.20 and its standard deviation was 0.30. Lorite
66 et al. (2004) reported similar results in the context of Andalusia, southern Spain. These
67 findings call for a generalized improvement of irrigation scheduling, adjusting water
68 application to crop water requirements and reducing the variability introduced by the
69 human factor. In these days of information technologies, advanced, self-programming
70 irrigation controllers can contribute to this problem, enhancing water productivity in
71 pressurized irrigation regardless of the irrigators' skills. Such irrigation controllers are
72 currently being developed to suit the needs of different pressurized irrigation systems.

73 **Controllers for urban landscape irrigation**

74 The development of irrigation controllers for urban landscapes is nowadays
75 progressing in two paths: exploiting evapotranspiration information and using local soil
76 water sensors (Cárdenas-Lailhacar and Dukes, 2012; Grabow et al., 2013). Urban
77 landscape water requirements can be determined from weather conditions, type of
78 landscape, and site conditions. Evapotranspiration can be obtained from historical
79 databases (recorded in the controller), from an adjacent weather station or through
80 web server broadcasts. Different studies have compared evapotranspiration
81 controllers, soil water controllers and irrigators. Davis et al. (2009) found that
82 evapotranspiration controllers could save 43%, of the water when compared with
83 manually operated time controllers. McCready et al. (2009) showed water savings of
84 between 11 and 75% when comparing evapotranspiration with soil water based
85 controllers and manually operated time controllers, respectively. Grabow et al. (2013)
86 reported best adequacy and efficiency with soil water controllers. Dobbs et al. (2013)
87 presented an educational interactive simulation model designed to evaluate and
88 improve advanced controllers and manual irrigation practices.

89 **Controllers for greenhouse irrigation automation**

90 Protected agriculture is expanding in many parts of the world, particularly in marginal
91 agricultural land. Input productivity, particularly water, can be higher in greenhouses

92 than in conventional agriculture. As an example, in Spain only 1.7% of the total
93 irrigated area is under greenhouses (62,500 ha), and only 2,500 ha of greenhouses are
94 equipped with high technology systems (MARM, 2011). Controllers in greenhouses are
95 used for a number of purposes, including irrigation scheduling. Computer-based
96 monitoring systems using a variety of sensors (for the estimation of water
97 requirements or for nutrient and carbon dioxide consumption) are commercially used
98 in greenhouses. Intelligent, autonomous systems monitoring and controlling
99 greenhouse operations (climate control), specific processes (transplanting), or more
100 complex activities (correcting plant nutritional unbalances) continue to be developed
101 and applied in greenhouse systems (Stanghellini and Montero, 2010). The benefits of
102 greenhouse automatic control (product yield, quality and precocity) have been
103 reported to balance the cost of the control equipment in different productive
104 orientations.

105 **Controllers for drip irrigated orchards**

106 Regulated deficit irrigation (RDI) is based on the fact that plant sensitivity to water
107 stress varies among phenological stages. As a consequence, water stress at specific
108 periods of vegetative growth can help control growth and vegetative-fruit competition
109 (Chalmers et al. 1981). In the last thirty years, RDI techniques have received relevant
110 interest in the literature as tools to achieve significant reductions in irrigation water
111 use. Fereres and Soriano (2007) reported that RDI has enjoyed more success in tree
112 crops and vines than in field crops. Solutions for automatic controllers to irrigate
113 orchards under RDI techniques are often based on continuous monitoring of plant or
114 soil water status (Intrigliolo and Castel, 2005). Reducing data acquisition and
115 processing requirements, and cutting off the required knowledge and skills are critical
116 to future expansion of RDI techniques.

117 **Controllers for self-propelled sprinkler irrigation machines**

118 Self-propelled sprinkler irrigation machines have experienced worldwide success
119 because of their advantages relative to other irrigation systems such as: 1) high
120 potential for uniform and efficient water applications; 2) high degree of automation,
121 allowing precision farming, such as variable rate technology; and 3) ability to apply
122 water and nutrients over a wide range of soil, crop and topographic conditions. In the
123 USA more than 47% of the irrigated land (10.5 M ha) is irrigated by center-pivots and

124 linear-move sprinkler systems (USDA-NASS, 2009). In Brazil these systems occupy
125 20% of the irrigated area (0.85 M ha). In Spain, self-propelled sprinkler irrigation
126 machines cover 8% of the total irrigated area (0.26 M ha) (MARM, 2011). The large
127 fields typically irrigated with self-propelled sprinkler machines often evidence relevant
128 soil variability (infiltration rate, soil water holding capacity, topography, or soil chemical
129 properties). One of the most important constraints to productivity-oriented
130 management lies in adapting input application to field variability (Evans and King, 2012).
131 Precision agricultural technologies, such variable-rate irrigation, fertilizer, seeding, and
132 pest control have been developed for sprinkler irrigation machines. Their potential
133 benefits have been contrasted by several authors (Sadler et al., 2005; O'Shaughnessy
134 and Evett, 2010). The balance between benefits of precision agriculture and the cost of
135 implementing such technology has not been firmly established, as this technology is still
136 in intense progress (El Nahry et al., 2011).

137 **Developments in solid-set irrigation controllers**

138 Solid-sets, the target of this article, have specific traits which shape-up their control
139 requirements. The entire field is covered by sprinklers located on top of riser pipes,
140 and spaced in triangular or rectangular arrangements. Risers are connected to a
141 network of buried pipelines. In semiarid environments, the water source is typically
142 located far away from the solid-set, and a collective pressurized network is used for
143 water conveyance. A supply hydrant delivers water to the on-farm network of
144 sprinklers. In some occasions, particularly in temperate climates, the water abstraction
145 point is located just upstream of the solid-set. Solid-sets are typically divided in a
146 number of irrigation blocks which are irrigated in a sequential fashion. This permits to
147 decrease the discharge required to irrigate the field, exploit a large fraction of the time
148 available for irrigation and, hence, reduce the system cost. Irrigation controllers
149 automatically operate the block valves according to a schedule previously programmed
150 by the farmer. When using manually operated controllers, farmers input the irrigation
151 start time, the frequency and the irrigation time or volume to be applied to each block.

152 A specific trait of solid-sets is that irrigation performance heavily depends on
153 meteorological conditions. Wind speed has been shown to reduce irrigation
154 uniformity. In combination with variables such as air temperature, relative humidity and
155 solar radiation, wind speed also determines wind drift and evaporation losses (WDEL).
156 Other pressurized irrigation systems show variable degrees of meteorological

157 dependence. Drip irrigation applies water directly to the soil surface (or to the interior
158 of the soil), and is therefore unaffected by the usual range of meteorological
159 conditions. Centre pivots and moving laterals are much less affected by meteorology
160 than solid-sets. Regarding WDEL, in the average conditions of Zaragoza, Spain, the
161 experimental work reported by Playán et al. (2005) permits to estimate that average
162 day time and night time solid-set losses amount to 15 and 5%, respectively. For
163 irrigation machines, losses amount to 9 and 3% for day and night conditions,
164 respectively. Differences in drop size distribution and drop trajectories are responsible
165 for these differences in WDEL. Regarding the wind effect on uniformity, solid-sets are
166 also in worse conditions, since sprinkler overlapping is much more intense in irrigation
167 machines. As a consequence, avoiding periods of unfavorable meteorological
168 conditions is a clear target for solid-set irrigation controllers.

169 The most advanced commercial controllers applied to solid-sets show some progress
170 towards this objective. A local wind sensor can detain the execution of an irrigation
171 schedule if the wind speed surpasses a given threshold. This is an interesting but
172 somehow risky procedure: in some cases irrigation needs to proceed despite the
173 unfavorable meteorology in order to protect crop yield. Irrigating under low
174 uniformity and high WDEL requires consideration of the resulting low application
175 efficiency. More water needs to be applied under these conditions. The integration of
176 all these issues remains a challenge, particularly in windy areas. In the difficult
177 meteorology of the central Ebro basin, Faci and Bercero (1991) recommended to stop
178 solid-set irrigation for winds exceeding 2 m s^{-1} . It is not rare to find meteorological
179 stations in the area with long-term yearly wind speed averages exceeding this
180 threshold.

181 In an attempt to respond to these challenges, Zapata et al. (2009) and Zapata et al.
182 (2013a) have developed advanced solid-set irrigation controllers based on simulation
183 models. These controllers have been tested in simulated and experimental conditions.
184 As a follow-up and a generalization of those developments, this paper contains:

- 185 • An overview of the current opportunities for the adoption of such controllers,
186 mostly derived from technological developments;
- 187 • A description of possible designs for application in farms and in water users
188 associations (WUAs);

- 189 • A discussion on strategic alternatives for these designs; and
- 190 • An analysis of the current bottlenecks requiring action in the fields of research,
191 development and innovation.
- 192

193 **OPPORTUNITIES**

194 **Solid-set irrigation systems**

195 **equipped with on-farm automation devices**

196 The abovementioned data on progress of pressurized irrigation in general and solid-
197 sets in particular sets the scene for a relevant case for technology and business
198 development related to irrigation management. Dechmi et al. (2003) published the
199 results of interviews performed in 1998 at La Loma de Quinto WUA, Ebro valley,
200 Spain. This WUA is equipped with solid-sets, center-pivots and linear moves.
201 According to that study, 86% of the farmers did not use any irrigation automation
202 system. In these days, virtually all old and new solid-sets in the Ebro valley have been
203 equipped with automation devices commanded by an irrigation controller. The use of
204 automation devices responds to the progressively high ratio of labor vs. automation
205 costs and to the decline in net benefit obtained from field crops (at least till the first
206 decade of this century). These factors, combined with recent progress in irrigation
207 modernization, have led farmers to crop a number of solid-set plots, each of them
208 equipped with a manual irrigation controller which needs to be updated every week.
209 The limited familiarity of many farmers with the controller interface accentuates the
210 abovementioned dispersion in observed ARIS (Salvador et al., 2011). Despite constant
211 progress in irrigation technology and large investments in automation, irrigation
212 scheduling is not yet properly implemented. This constitutes at the same time a
213 challenge and an opportunity. The opportunity lies on the generalization of solid-sets
214 equipped with on-farm automation devices: automatic valves and controllers. The
215 challenge lies on the capacities of these controllers, their poor human interface, and
216 farmers' technological limitations.

217 **Agrometeorological networks**

218 In the last third of the twentieth century it became clear that real-time
219 agrometeorological data would be required to guide irrigation decision making. The
220 first large-scale network of automated agrometeorological stations was developed in
221 California in 1985 by CIMIS (California Irrigation Management Information System). Its
222 goals included disseminating irrigation requirements and promoting irrigation
223 scheduling. A number of countries followed this example. Agrometeorological stations
224 in such networks often record semi-hourly or hourly averages of at least air

225 temperature and relative humidity, wind speed and direction, incoming solar radiation
226 and cumulative precipitation. Irrigation advisory services have been built around these
227 meteorological networks to advise farmers on the right amount of water to apply to
228 their crops. Along the years, different media have been used to disseminate this
229 information: from newspapers and radio to internet. Today, information is widely
230 accessible from databases and can be used in almost real-time applications. Such
231 systems are available in many areas of the world, creating a clear opportunity for
232 irrigation scheduling and control applications.

233 **Communications, including remote control**

234 The rural sector is characterized by a low density of information scattered throughout
235 a large territory. Pressurized collective networks often install telemetry / remote
236 control (TM/RC) systems operating on mobile phone networks or on dedicated radio
237 connections. The capacities of these systems are quite varied. In some cases, their use
238 is restricted to the conveyance network; very often, hydrants can be remotely
239 operated and their water meter readings automatically registered. The last step in
240 remote control is the integration of the valves controlling irrigation blocks in on-farm
241 systems. This last step is infrequently adopted, but it permits to fully schedule and
242 operate solid-set irrigation from a WUA computer. A TM/RC system including
243 distributed sensing of environmental variables (such as wind speed) can permit site-
244 specific irrigation adapted to small-scale variations in evapotranspiration and solid-set
245 irrigation performance. Additionally, the TM/RC system can be very useful in the
246 optimization of energy consumption at the network's pumping stations.

247 **Specialized WUA management databases**

248 Playán et al. (2007) analyzed the evolution of WUA practices regarding information
249 technologies, and reported on a software application for the daily WUA management
250 While the use of databases was scarce by the end of the twentieth century, virtually all
251 WUAs in the Ebro valley are today using such tools for water allocation and planning,
252 accessing geographical information systems and filing water orders to their supply
253 canals. WUA management databases contain registers of water users, land tenure,
254 collective network layout, on-farm irrigation structures and crops. These databases
255 permit to automatically produce updated information leading to the establishment of
256 irrigation schedules. This creates an opportunity for the WUA to offer a service for

257 centralized irrigation management. The quality of this service will depend on the quality
258 of the data stored in the database, for which both the farmers and the WUA are
259 responsible. Farmers' crop declaration at the beginning of the irrigation season has
260 enjoyed growing acceptance in the past years, owing to the need for WUA water
261 allocation planning.

262 **Computer models for crops and irrigation systems**

263 A new generation of advanced irrigation controllers can build on the success of two
264 parallel research lines on simulation models: sprinkler irrigation and crops. Sprinkler
265 irrigation simulation is often based on the application of ballistics to the drops emitted
266 by a sprinkler (Fukui et al., 1980; Seginer et al., 1991). Drops are assumed to travel
267 independently from the nozzle to the soil surface or the crop canopy, subjected to an
268 initial velocity vector, a wind vector, the action of gravity, and the resistance force. The
269 equations of motion are commonly solved using a Runge–Kutta method. Carrión et al.
270 (2001) and Montero et al. (2001) released the SIRIAS model and provided specific
271 details and simulation arrangements to best represent the action of wind. Playán et al.
272 (2005) presented a series of empirical predictive equations for wind drift and
273 evaporation losses which complemented the ballistic model. The output of this model
274 is the spatial distribution of water application within a sprinkler spacing, along with the
275 related performance indicators.

276 Crop modeling has emerged a useful tool to combine the processes leading to soil
277 water balance, crop growth and crop yield, using mathematical equations implemented
278 in software applications. In sprinkler irrigated areas, both simple and sophisticated crop
279 models have been tested to evaluate their predictive capacity when coupled to soli-set
280 sprinkler irrigation models. CropWat (Smith, 1992) is a simple approach to soil-water-
281 yield modeling. This model considers a single soil water layer and ignores nutrient
282 stresses. Dechmi et al. (2010) showed that the complex crop growth simulation
283 models EPIC (Williams et al., 1984) and DSSAT (Jones et al., 2003) can improve the
284 results of the simple model Ador-Crop (Dechmi et al., 2004a), based on CropWat.
285 However, Ador-Crop proved very useful in improving irrigation performance when
286 governing an advanced controller (Zapata et al., 2013a). Complex crop models
287 simulate all processes involved in crop growth considering very detailed soil, crop,
288 weather and management that require very accurate and numerous inputs. As a
289 consequence, their performance heavily depends on the availability of detailed site-

290 specific information. Crop models use irrigation water as one of their inputs, and
291 produce the time evolution of crop water requirements and an estimate of crop yield.

292 The combination of both models has a multiplying effect. A regular network of
293 simulation points is established within a sprinkler spacing (typically a 5 x 5 matrix), and
294 a crop simulation model is instanced at each point. Each crop simulation uses the
295 simulated irrigation depth at the point to establish its own hydrological balance and to
296 determine its own crop water requirements. This is how both models are coupled for
297 crop irrigation management purposes. Water stress appears at different times in
298 different areas of the sprinkler spacing, and irrigation is applied when a certain fraction
299 of these points is water stressed (Dechmi et al., 2004a and 2004b). The coupled model
300 can be used to optimize irrigation performance indexes, crop yield or a combination of
301 both (water productivity). Dechmi et al. (2004a and 2004b) calibrated and validated the
302 coupled model. Zapata et al. (2009) applied it to collective irrigation systems using a
303 structured, hierarchical description of land use and irrigation infrastructure. These
304 authors used different strategies to simulate the centralized irrigation scheduling of
305 part of a WUA. Their results showed that the proposed technology can lead to
306 significant water conservation respect to individual farmer scheduling.

307 **Time slack on network and on-farm design**

308 On-farm sprinkler irrigation systems and collective networks are commonly designed
309 to apply water at a faster rate than irrigation requirements. This results in a certain
310 time slack in irrigation scheduling. Depending on the fraction of time slack, the
311 irrigation timing can be negotiated with the WUA or selected on pure demand
312 (Clemmens, 1987). Time slack at the on-farm system and at the water inlet is required
313 to optimize irrigation performance. Sprinkler irrigation farmers can select the irrigation
314 periods leading to optimum efficiently while timely satisfying crop water requirements.
315 Irrigation networks with sufficient time-slack lead to high performance, but require
316 large investments (Zapata et al., 2007; Merriam et al., 2007; Daccache et al., 2010).
317 Farmani et al. (2007) reported that designing for rotational operation can reduce
318 investments up to 50% as compared to on-demand designs.

319 Zapata et al. (2009) reported that farmers may take advantage of the time slack to
320 apply more water than required. The need for frequent update of manual irrigation
321 controllers, and uncertainty over most of the overwhelming number of variables

322 required for irrigation scheduling can explain this practice (English et al., 2002; Zapata
323 et al, 2013a). Advanced irrigation controllers can take advantage of time slack by
324 automatically producing and applying real-time schedules, minimizing human
325 subjectivity.

326 **Exploiting some of these opportunities: a case study**

327 The Almodévar WUA was surface irrigated till 2008, with 94% of the total area
328 irrigated by blocked-end borders. This 3,744 ha WUA is operated by many part-time
329 farmers and a few professional farmers (operating on leased land). This area was
330 recently modernized and entirely transformed to pressurized irrigation (94% of solid-
331 sets). Electric power is used to pressurize all irrigation water. The modernization
332 process was completed by the end of 2010. The first phase of the modernization
333 project was land consolidation. Land tenure passed from 610 owners of 2,339 plots to
334 502 owners of 905 plots, resulting in 71% of the farmers owning plots larger than 5 ha.
335 This new land ownership structure was required to afford irrigation modernization
336 costs, largely dependent on plot size. The Almodévar WUA has a TM/RC allowing
337 remote scheduling of all hydraulic valves (collective and on-farm) from the WUA
338 office. An arranged-demand scheme is applied to manually elaborate daily/weekly
339 schedules for WUA plots which are automatically executed using the TM/RC system.
340 The virtual elimination of irrigation labor requirements is locally perceived as one of
341 the most important outcomes of the modernization process.

342 Almodévar WUA personnel organize farmers' irrigation demands taking into account
343 their preferences, the evolution of energy costs and the available power. The average
344 Seasonal Irrigation Performance Index (SIPI, an estimate of irrigation efficiency) for
345 major crops has increased from 70% in surface irrigation (Faci et al., 2000) to 87% right
346 after the modernization process (Stambouli, 2012). Irrigation execution automation has
347 permitted to quickly evolve from an inefficient, obsolete WUA to an innovative WUA
348 exploiting new technologies. The next step, automating irrigation scheduling, could
349 render this WUA more efficient in water and energy, more productive and more
350 responsive to environmental changes. It would also eliminate the burden of manually
351 scheduling each of its 2,200 valves.

352

353 **CONTROLLER DESIGNS**

354 **DRIVEN BY SIMULATION MODELS**

355 Current solid-set irrigation controller designs are based on manual elaboration of
356 irrigation schedules. Basic controller set-up data include the number of irrigation
357 blocks and the respective automatic valves. Farmers create a schedule by deciding the
358 irrigation time for each block, the frequency (typically the days of the week when the
359 schedule will be executed) and the starting time of the irrigation sequence. These
360 controllers produce rigid irrigation schedules, which are implemented regardless of
361 meteorology. In specific cases, these controllers can include sensors allowing volume-
362 based irrigation. As previously discussed, controllers are available in the market which
363 permit to suspend/resume programme execution responding to specific sensors (i.e.,
364 wind speed). In the following sections, two model-driven designs are presented for on-
365 farm and WUA applications, respectively.

366 **An on-farm controller design**

367 The design presented in Figure 1 corresponds to an autonomous solution for a solid-
368 set supplied by an electric pumping station. This design only requires external
369 evapotranspiration input. The controller uses information from the electricity contract
370 to minimize energy costs. The farmer can gain manual control of the system to force
371 an irrigation event, prevent irrigation during a certain time or perform a manual
372 fertigation. The controller uses information on the plot structure, division in blocks
373 and irrigation equipment. Irrigation events are scheduled using local, real-time
374 meteorological information. In the context of an on-farm controller, the computing
375 capacities may be limited. As a consequence, the system can be guided by the tabulated
376 results of an irrigation simulation model. Local wind statistics can be used to establish
377 simple irrigation management rules based on the frequency and duration of windy
378 spells. Crop models can also be replaced by simple water balance simulation models.
379 Rules based on thresholds for Potential Application Efficiency of the low quarter
380 (PAElq) can be used to guide irrigation decision making. A strategy very similar to this
381 design was field implemented as strategy T1 in Zapata et al. (2013a). T1 performed
382 better than manual irrigation based on the weekly recommendations of an irrigation
383 advisory service. The controller computing capacity could be expanded by the use of a
384 remote computer in continuous communication with the on-farm controller. This

385 would permit real-time use of simulation models and would at the same time limit the
386 risk of vandalism against expensive field equipment.

387 **A WUA controller design**

388 Figure 2 presents a more complex configuration, responding to the goal of governing a
389 WUA through its TM/RC system. The system requires the use of one or several
390 computers devoted to irrigation and crop simulations. The WUA structure, in terms
391 of collective and on-farm irrigation equipment, can be obtained from an on-line
392 connection to the WUA management database. The irrigation controller can in turn
393 feed the management database with the time evolution of water application to the
394 different plots. This controller design can make extensive use of local sensors, taking
395 advantage of the spatial variability of different meteors, and their influence on crop
396 water requirements and solid-set irrigation performance. Measured pressure levels in
397 the network can also be related to solid set performance, and can be used to make
398 decisions on water allocation to additional plots. Hydraulic network simulation models
399 can be applied to guide this process, in combination with measured values. Irrigation
400 and crop models with different degrees of complexity can be used to support real-time
401 irrigation decision making. Under this controller design, plot irrigation will proceed
402 exploiting moments of low energy costs, suitable meteorological conditions and
403 adequate network pressure. Controlling the irrigation of a whole WUA (or a large
404 part of it) permits to make full use of the abovementioned opportunities. This design
405 can be readily compared to strategy T2 in Zapata et al. (2013a), which outperformed
406 the rest of studied alternatives.

407

408 **EXPLORING DESIGN ALTERNATIVES**

409 **Independent vs. slave on-farm controllers**

410 The on-farm controller design above can be formulated as a stand-alone device or as
411 part of a distributed irrigation control operation. A central scheduling service can
412 produce and update farm-specific schedules and distribute them to a series of slave
413 controllers governing solid-set plots distributed over a large irrigated area. Under this
414 configuration, the slave on-farm controller can sense the local environment, transfer
415 this information to the server, and receive irrigation schedules together with the
416 updates required to respond to an ever changing environment. The server can blend
417 internet and local information, and make intense computational use of simulation
418 models. The combination of servers and slave controllers paves the way for the
419 establishment of companies providing irrigation execution services supported by
420 automatic controllers. Specific computer and portable device applications can provide
421 farmers with user friendly interfaces. Under this configuration, the slave controller
422 needs no human interface, thus reducing cost and the risk of vandalism.

423 **Measuring vs. simulating water deficit**

424 Determining soil water deficit leads to the elaboration of irrigation schedules
425 protecting farmers' income and natural resources. Current developments in sensors
426 and wireless communications permit to conceive solid-set irrigation controllers based
427 on intensive soil water measurements. Such systems obtain real-time water deficit
428 measurements at a number of observation points. In solid-set irrigation, a strong
429 variability in water application can be observed within each sprinkler spacing, within an
430 irrigation block (owing to differences in sprinkler pressure) and among irrigation
431 blocks (due to differences in inlet pressure, irrigation time and meteorological
432 conditions during irrigation). As a consequence, the number of soil water
433 measurement points required to guide irrigation control in solid-sets remains
434 unknown. The local calibration and maintenance of soil water probes, and the
435 establishment of local soil water irrigation thresholds require a site-specific effort
436 which needs to be confronted with the typically low economic return of solid-set
437 irrigated crops. The use of simulation models to estimate soil water deficit and its
438 relation to crop yield requires intense field measurements at the calibration and
439 validation phases (Playán et al., 2006; Zapata et al., 2013a). However, these models

440 have proven useful to govern solid-set irrigation controllers using sub-regional
441 meteorological variables and simple crop information (Zapata et al., 2013a). Sensors
442 and simulation models could eventually be combined for optimum results.

443 **Controlling solid-sets only vs.**
444 **combinations of pressurized irrigation systems**

445 Irrigation controllers designed to control farms or WUAs equipped with a
446 combination of solid-sets and other pressurized on-farm systems can attain high levels
447 of overall irrigation performance. This is due to the fact that solid-sets are more
448 sensitive to environmental conditions than sprinkler irrigation machines and drip
449 irrigation systems. An advanced controller can respond to periods of intense wind
450 and/or evaporative demand by switching irrigation to plots equipped with drip
451 irrigation systems. Centre-pivots and moving laterals could be irrigated under
452 intermediate conditions, and solid-sets could be irrigated when they show optimum
453 performance (night time, calm periods). If an advanced controller governs different
454 farms, these policies will need the approval of all concerned farmers. Sprinkler
455 irrigation under high WDEL and low uniformity conditions requires additional water
456 application to attain the same yield. It is therefore in the interest of all farmers to
457 maximize the average water productivity of all plots and irrigation systems. Maximizing
458 water productivity requires the implementation of water allocation algorithms based
459 on the analysis of collective water requirements. Under harsh environmental
460 conditions, individual irrigation action may result in low collective efficiency and water
461 productivity.

462 **Irrigation automation vs.**
463 **optimization of water productivity and sustainability**

464 The proof of concept reported by Zapata et al. (2013a) served the purpose of verifying
465 that a computer can effectively use crop and irrigation models to take full control of
466 solid-set irrigation. As a consequence, the objective of attaining full irrigation
467 automation now seems accessible. In order to maximize the benefits of this
468 technology, it is very important to go beyond this point, and seek the optimization of
469 water productivity and sustainability. The reduction of irrigation water application and
470 energy use and cost adds to both aspects. Water and energy use are directly related in
471 a given irrigation project. The worldwide record increment of modern irrigation during

472 the 20th century took place in a context of low energy cost. At the outset of the 21st
473 century, regulations induced by the rapid growth in energy demand and by constrained
474 supplies of fossil fuels have resulted in increasing energy prices (Rajagopal and
475 Zilberman, 2007).

476 As an example, the share of irrigation energy use in Spain has increased from 22% to
477 32% of the total agricultural energy demand between 2001 and 2012. Most of this 46%
478 increase can be attributed to the ambitious irrigation modernization policies enforced
479 during than period (IDAE, 2008). The energy dependence of pressurized irrigation
480 systems has been aggravated by the dramatic rise in electricity prices. The derogation
481 of special irrigation electricity rates, the preferential binomial tariffs, and the
482 liberalization of the electricity market in 2008 (IDAE, 2008) severely increased energy
483 costs in modernized WUAs (Abadía et al., 2008). The complexity of the electric tariff
484 for the Almodívar WUA is presented in Figure 3, as example of energy tariffs in Spain
485 for WUAs. Electric tariffs are arranged in six levels characterized by very different
486 energy and power costs. The cost of the cheapest tariff represents 38% of the cost of
487 the most expensive tariff. This scenario changes if energy sources other than electricity
488 are used. The cost of diesel does not show periodic short-time patterns. Wind and
489 solar renewable energies attain maximum production during the daytime, when
490 sprinkler irrigation is most exposed to environmental conditions. A water and energy
491 limited future will trigger the application of advanced control technologies to irrigated
492 agriculture (Evans and King, 2012). Advanced irrigation controllers can integrate all
493 factors leading to water and energy productivity and sustainability, such as crop water
494 requirements and yield response, time-variable energy tariffs, environmental
495 constrains, and hydraulic and energy performance.

496 **Targeting unskilled vs. advanced farmers**

497 Irrigation scheduling rests on technical concepts such as evapotranspiration, crop
498 water requirements or application efficiency. While these concepts constitute the basic
499 jargon of irrigation technicians, their use by farmers very much varies from area to
500 area. In many areas of the world, farming and irrigation are often performed by part-
501 time farmers. For instance, in 2010 in Spain there were 2.23 million farmers (Eurostat,
502 2012). Considering their partial dedication to agriculture, this figure is equivalent to
503 0.89 million full-time farmers (40% of the total). This illustrates the fact that full-time
504 farmers are a small fraction of the total number of farmers. The productive strategies

505 of full- and part-time farmers are intrinsically different. Full-time farmers seek
506 maximum benefits through input efficiency (fertilizers, irrigation water, labor...), while
507 part-time farmers are very interested on reducing the time they devote to agriculture.

508 On the other hand, farmers can be classified by their technical capacities. In general,
509 full time-farmers will be better trained than part-time farmers. The same applies to
510 different areas of the world. Developed countries will likely count on advanced
511 farmers, while many farmers in developing countries can have limited conceptual
512 irrigation skills. Even in developed countries, irrigation scheduling skills are not
513 abundant. As an example, in the Ebro valley of Spain, the full cost of irrigation
514 modernization is 10 - 15 k€ ha⁻¹ (collective network plus on-farm solid-set). In the case
515 of technology adverse farmers, the irrigation contractors will often finalize system
516 installation by introducing a sequential, non-stop, perpetual schedule in the controller.
517 When these farmers want to irrigate, they just open the general valve. The controller
518 will sequentially irrigate the system blocks till the farmer closes the valve again. In
519 these cases, irrigation scheduling consists on manually opening and closing the system
520 valve for the time the farmer judges adequate.

521 Different controller designs can provide solutions to the expectations of different
522 types of farmers. Very simple irrigation controllers, requiring limited input and user's
523 interaction can respond to the scheduling needs of part-time and unskilled farmers.
524 Full-time and advanced farmers may need a controller with sufficient flexibility to make
525 proper use of the farmer's experience and knowledge. This knowledge can be related
526 to crop cycle or to the current crop water status. The needs of different kinds of
527 farmers define different controller designs, characterized by the expected farmer
528 interaction. These types of controllers could coexist in a given irrigation project,
529 responding to the variability in farmers' approach and capacities.

530

531 **IDENTIFYING BOTTLENECKS**

532 **Research needs**

533 Previous works on linking crop and irrigation models indicated that complex crop
534 models resulted in a better prediction of the variability in crop yield (Dechmi et al.,
535 2010). Research will be required to establish the conditions in which simple or
536 advanced crop models are required at different scales. Complex models will permit to
537 explore additional sustainability aspects, such as the interaction between irrigation and
538 pollution. Models' capacity to simulate nutrient cycles under intensive irrigation
539 systems will have to be specifically evaluated. Despite all these exciting possibilities, the
540 use of such models is currently limited by the integration of the computer code. Even if
541 the code is public, coupling the required model often requires intense code
542 manipulation. Object-Oriented Programming or Dynamic Link Libraries are needed to
543 set-up a crop, to advance simulation by one day (updating meteorological, hydrological
544 and agronomic variables), and to finalize crop simulation. These difficulties triggered
545 the development of Ador-Crop as an Object-Oriented evolution of CropWat, and
546 were recently signaled by Bergez et al. (2012), when discussing the integration of the
547 STICS crop model in coupled bio-decisional models.

548 Calibration requirements need to be properly addressed to facilitate controller
549 adoption by users. Ballistic irrigation model results have been shown to depend on the
550 sprinkler manufacturer (Playán et al., 2006). A few sprinkler models have so far been
551 calibrated. In addition, new sprinklers reach the market virtually every year, specializing
552 on issues such as low operating pressure. The situation is even more complicated for
553 crop models. While simple models – such as CropWat – can be readily used in a
554 variety of conditions, complex models do not only require more intense input data
555 collection, but also local calibration (Dechmi et al., 2010).

556 Research efforts have been discussed in this article for different types of pressurized
557 systems. Advanced control of large irrigated areas will require a software integration
558 of all efforts. Such combinations will lead to new benchmarks in productivity and
559 sustainability, but the required software integration effort will be relevant. Simulation
560 models and wireless sensors will populate these future developments adapting to a
561 variety of irrigation systems, crops and productive orientations.

562 Local-scale meteorological variability has received scientific growing attention during
563 the last years. For instance, wind spatial variability is much higher than that of other
564 meteors of agricultural interest, such as air temperature and relative humidity
565 (Martínez-Cob et al. 2010). Wind speed influences both crop water requirements and
566 sprinkler irrigation performance. Sánchez et al. (2011) analyzed the effect of local-scale
567 wind spatial variability at WUA scale, with the objective of improving sprinkler
568 irrigation design and management. Regarding wind effects on evapotranspiration,
569 Zapata et al (2013b) analyzed a 225 ha commercial orchard and reported wind spatial
570 differences amounting to 55%. This resulted in intra-farm reference evapotranspiration
571 variability of 17%. Revealing this variability is the first step to develop and test
572 management strategies leading to optimum WUA performance. Such strategies may
573 for instance imply concentrating irrigation in wind-sheltered areas during windy spells.

574 **Technology needs**

575 Controller manufacturing companies have traditionally focused on their own hardware
576 designs. However, in these days there are a number of alternatives for the controller
577 hardware to be installed at the farm. Open-hardware platforms based on open-
578 software stand as powerful alternatives. Prototyping platforms can be used to design
579 upgradable, resourceful, low-cost and internet-ready field controllers. *Arduino* is an
580 example of such platforms (www.arduino.cc), which is enjoying wide success among
581 the scientific and technological community for a wide variety of control applications.
582 Open approaches exponentially increase opportunities for peer to peer cooperation.
583 An internet search on *Arduino* irrigation applications currently returns thousands of
584 hits. These applications focus on residential garden irrigation, and mainly address
585 remote control and surveillance issues. Professional irrigation seems to have quite a bit
586 to learn from this open source community, at least in what refers to human interfacing.

587 The wide commercial offer on TM/RC systems currently exploits proprietary
588 developments with very limited intercommunication capacities. Many cases are known
589 in Spain in which WUAs having installed different TM/RC systems their pressurized
590 networks end up with completely isolated systems, unable to communicate. The
591 International Standardization Office, through subcommittee ISO/TC23/SC18 “Irrigation
592 Techniques”, has created a working group on “Remote monitoring and control
593 technologies”. This group aims at releasing a standard on TM/RC systems for

594 irrigation. The completion and application of such a standard is a major requirement
595 for the use of TM/RC systems in WUA controllers.

596 **Innovation needs**

597 The new generation of irrigation controllers will require supporting companies to
598 provide a new set of services. Some of these services, like irrigation advising, are
599 already offered in some areas of the world, particularly for cash crops. A business
600 model can be based on running irrigation scheduling services connected to a number
601 of disseminated on-farm slave controllers. Such a company needs to ensure proper
602 functioning of the scheduling system, and needs to keep on-farm controllers functional.
603 Additional services can be based on adjusting the irrigation schedule to observed field
604 conditions, but can add fertigation or general agronomic advice. For WUA controllers,
605 farmers can voluntarily subscribe to the WUA advanced scheduling services. The
606 WUA or a hired services company could offer subscribed farmers a flat rate per
607 volume of water, regardless of the time variations of the electric tariff.

608 The concept of solid-sets driven by simulation models is receiving interest on the part
609 of the end-users. However, this is a radical change respect to the current conditions.
610 Once the proof of concept phase has been surpassed, actions need to be taken to
611 demonstrate this approach in real-scale conditions. Public and private interests need to
612 be reconciled to set the proposed model in action.

613 **Farmers and WUAs**

614 The current socioeconomic farming context favors the implementation of advanced
615 irrigation controllers: adequate prices for agricultural commodities, high labor and
616 water costs, increasing energy prices and a growing environmental liability. In this
617 context, professional, progressive farmers are required, which are determined to take
618 advantage of research and innovation products. At the WUAs, in addition to bold
619 leadership, irrigation specialists are required which can establish the link to new
620 technologies. The policy relevance of preserving water resources from depletion and
621 pollution requires regulations favoring the deployment of irrigation controllers for
622 pressurized irrigation in general and for solid-sets in particular. Advanced irrigation
623 controllers can provide an easy access to the environmental certification of farms and
624 producers in what respects to irrigation water.

625

626 **CONCLUSIONS**

627 Irrigation controllers for pressurized systems are quickly changing to respond to
628 water, energy and agronomy challenges and to implement new technologies. Urban
629 landscaping and greenhouses are leading this process, with a number of scientific and
630 commercial developments mainly driven by evapotranspiration and/or soil water
631 measurements. Developments in orchards, irrigation machines and solid-sets still
632 remain in the science and technology domain. Opportunities are currently piling-up for
633 the development of solid-set controllers driven by simulation models. A number of
634 technologies have materialized which permit fast-track progress in automating solid-set
635 irrigation control and at the same time progressing in irrigation productivity and
636 sustainability. Designs have been presented for on-farm and WUA controllers,
637 exploiting not only simulation models, but also developments in communications and
638 electronics. A series of design alternatives have been discussed, offering an array of
639 possible configurations responding to the site-specificities characterizing irrigated
640 agriculture. Advanced controllers are not just fit for advanced societies. They can
641 effectively respond to the needs of unskilled farmers in low-technology societies.
642 Advanced controllers can bridge the irrigation learning curve, and produce relevant
643 improvements respect to manual programming, particularly if farmers lack basic
644 irrigation skills. A number of bottlenecks have been identified in the research,
645 technology and innovation domains. Software/hardware developments, calibration,
646 standardization and demonstration requirements, development of new business
647 models and farmers' expectations, and policy action have been listed as critical points
648 for the deployment of this technology. Despite the reported success of the proof of
649 concept of these advanced controllers, additional experimentation is required before
650 large scale applications can be planned.

651

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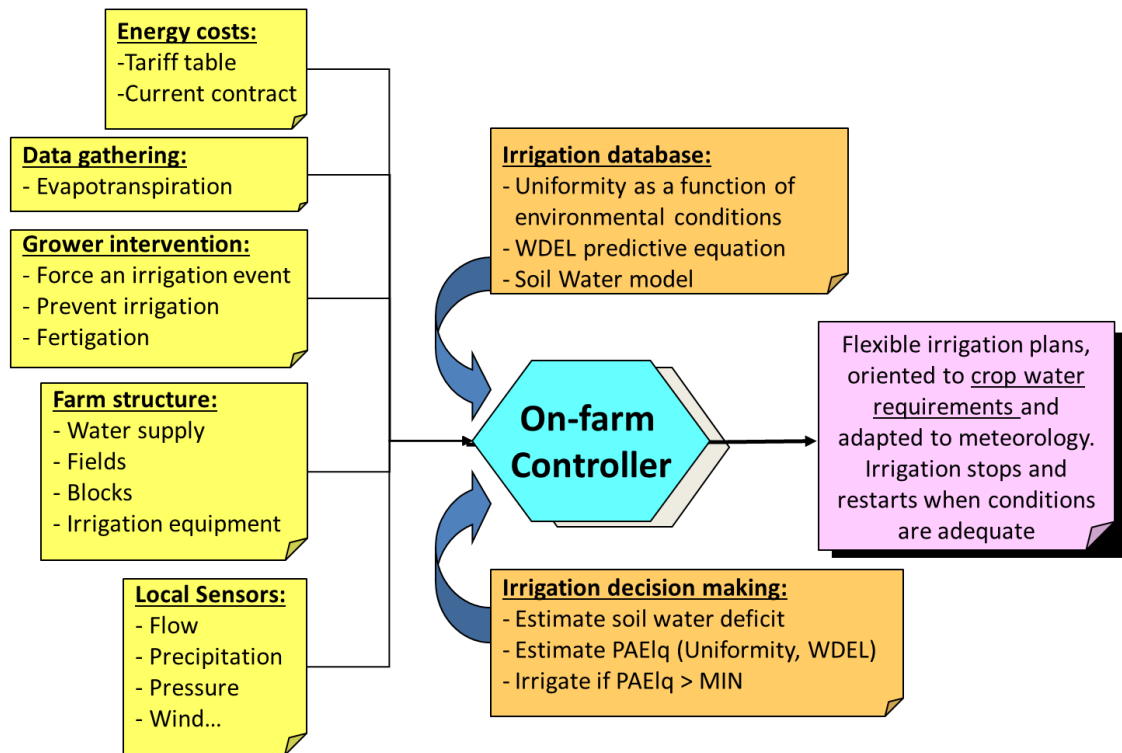
822 **LIST OF FIGURES**

823 **Figure I.** Schematic representation of an on-farm solid-set irrigation controller design
 824 driven by simulation models.

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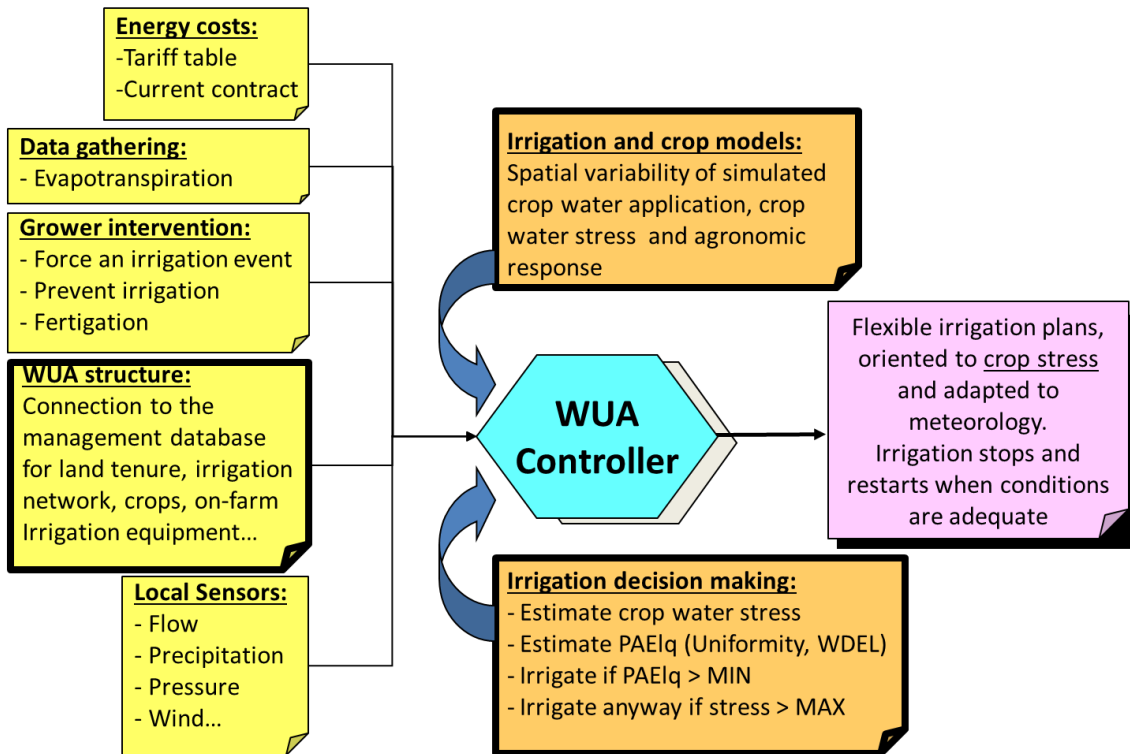
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830 **Figure 2.** Schematic representation of a WUA solid-set irrigation controller design
 831 driven by simulation models.

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837 **Figure 3.** Time distribution of electricity cost along the year and along the day in the
 838 Almudévar Water Users Association.

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Months / hour of the day	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
January, February, December																								
March, November																								
April, May, October																								
June (1 st half), September																								
June (2 nd half), July																								
August and Weekends																								

Prices						
Energy (€ kWh ⁻¹)	0.176	0.143	0.118	0.094	0.084	0.066
Power (€ kW ⁻¹ yr ⁻¹)	17.7	9.85	6.48	6.48	6.48	2.96

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