

1 Criteria to discriminate between wines aged in oak barrels and macerated with
2 oak fragments

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19 **ABSTRACT**

20 Wine ageing in barrels is carried out to increase stability and achieve more complex
21 aromas. In the last few years, however, the practice of macerating wine with small
22 fragments of toasted oak (chips) has become increasingly common. This conveys
23 similar tastes, aromas, and wooden notes to the wine as those obtained with traditional
24 barrel ageing, but much faster and at a fraction of the cost. Without proper regulation,
25 this could lead to fraud if wine macerated with chips is offered as barrel aged wine.

26 In the present study, 75 volatile compounds have been determined by applying gas
27 chromatography–mass spectrometry (MS) and flame ionization detection (FID). It has
28 been found that compounds directly related to the wood have greater discriminative
29 power for telling apart wines aged in barrels from those macerated with oak fragments,
30 but no single compound permits flawless classification. Therefore, we have studied the
31 effect of the addition of oak fragments of different origins, different oak types, different
32 formats and subjected to different toasting processes on a set of 231 samples from 6

33 Spanish Denominations of Origin wines (DOs), and compared them to those same
34 wines aged in oak barrels. In light of the results, we have developed a set of criteria
35 which allows to distinguish with high degree of accuracy between wines which have
36 been aged in barrels and those macerated with oak fragments. The application of these
37 criteria to different wines allows correct classification in over 90% of cases.

38

39 *Keywords*

40 Discriminate wines, barrel oak, oak chips, aroma compounds

41

42 **1. Introduction**

43 Wine ageing is a technique commonly used in wineries to increase the stability of
44 wines, spontaneously clarify them, and achieve more complex aromas. Normally, oak
45 barrels are used. The composition of the wine in direct contact with the barrel is
46 modified as the wine extracts compounds from the wood such as tannins, phenolic acids
47 and volatile compounds. Moreover, the coloring elements in wine stabilize due to the
48 micro-oxygenation produced when air flows through the barrel staves, increasing the
49 quality of the wine. However, this method is expensive and requires long periods of
50 time. In the last few years, the practice of macerating wine with small fragments of
51 toasted oak has become increasingly common, as it conveys similar tastes and aromas to
52 the wine as those obtained with traditional barrel ageing, but much faster and at a
53 fraction of the cost (wine macerated with oak fragments can be up to 10 times cheaper
54 than the same wine aged in barrel). The increased surface area of the fragments
55 accelerates the extraction of the compounds.

56 The use of oak fragments for macerating wines is already an alternative to oak barrel
57 ageing. New wine-producing countries such as Chile, Argentina, South Africa,
58 Australia or the United States have been using these techniques for several years. A
59 great variety of systems are used to elaborate wines this way, all based on adding pieces
60 of oak of different sizes, wood types and degree of toasting to the wine. Some of them
61 are introduced directly in the tank, and some of them to reuse old barrels.

62 Oak fragments can be found in a variety of forms (del Alamo Sanza, 2006). These
63 include shavings, known as *oak fragments*; cut into dices, named *cubes* or *oak beans*;
64 *oak powder*; pieces of granulated wood called *pencil shavings* or *granulates*; *dominoes*;
65 or square pieces referred to as *blocks* or *segments*. Additionally, bigger pieces designed

66 to be placed in the tank can also be found on the market, usually in the form of staves,
67 hence being called *tank staves*, *winewood* or *infusion staves*. Old barrels can also be
68 used by adding wooden pieces such as *oak chains*, *sticks*, or *barrel inserts*.

69 All the above-mentioned products are made from different kinds of oak wood
70 (American, French, Hungarian, Pyrenean) and are subjected to a variety of toasting
71 methods (fire, hot air, infrared radiation) and degrees of toasting (in addition to the well
72 known light, medium and strong levels, toasting is also offered as simple or double, or
73 performed at specific temperatures).

74 The effects produced by the addition of wooden pieces into wine depend on several
75 factors, which define the characteristics of the wine. These include the origin of the
76 wood (Chatonnet & Dubourdieu, 1998; Fernandez de Simon, Cadahia, & Jalocho, 2003;
77 Frangipane, De Santis, & Ceccarelli, 2007), the type of drying, (Masson, Baumes,
78 Moutounet, & Puech, 2000; Vivas & Glories, 1996) the toasting process (Fernandez de
79 Simon, Cadahia, del Alamo, & Nevares, 2010; Fernandez de Simon et al., 2003; Franco,
80 Castells, Martínez, & Pérez, 2007), the amount of fragments added to the wine (Fan,
81 Xu, & Yu, 2006), the contact time between wine and oak (Bautista-Ortin et al., 2008),
82 the size of the wooden pieces, and the age of the barrel (Arapitsas, Antonopoulos,
83 Stefanou, & Dourtoglou, 2004; Mosedale, Puech, & Feuillat, 1999; Singleton, 1995).

84 The aromas that the wood conveys to the wine come from the degradation of
85 compounds from the wood during its toasting process, or from the wood itself. Eugenol
86 and oak lactones add spicy character and oak flavor. When the lignin degrades during
87 the toasting process, volatile phenols such as guaiacol and aromatic aldehydes such as
88 vanillin and syringaldehyde are generated (Chatonnet, Cutzach, Pons, & Dubourdieu,
89 1999; Diaz-Maroto, Sanchez-Palomo, & Perez-Coello, 2004). Also, the degradation of
90 hemicelluloses produces furanic compounds such as furfural and 5-methyl furfural
91 (Garde-Cerdan & Ancin-Azpilicueta, 2006; Perez-Coello, Gonzalez-Vinas, Garcia-
92 Romero, Cabezudo, & Sanz, 2000) which are reminiscent of toasted almond and nuts.
93 These compounds appear preferentially at a specific temperature so if the toasting is
94 precise and homogeneous, clearly definable aromatic characteristics can be achieved. If
95 wooden pieces toasted at different temperatures are mixed, the compounds conveyed by
96 the wood will be more diverse.

97 In Europe the use of oak fragments to macerate wines is an alternative to oak barreling.
98 This enological practice is approved by EU regulations (CE) N° 2165/2005 and (CE) N°
99 1507/2006 which define the terms of use of oak fragments in wine.
100 Oak fragments are able to give wine a wooden touch without the need to use barrels.
101 Without proper regulation, this could lead to fraud if such wine is offered as barrel aged
102 wine. European regulations on wine protect specific labelings (crianza, reserva) for
103 wines which have obtained exclusively through aging in barrels. OIV resolutions in this
104 matter explicitly forbid wines with particular indications (crianza and reserva among
105 others) to be treated with wood fragments. Therefore, analytical tools must be found in
106 order to distinguish between these two types of treatments and so avoid possible frauds.
107 The main objective of this study is to find markers that allow us to discriminate between
108 wines aged in barrels and wines fermented or macerated with oak fragments. The aim is
109 to tell the difference between wines that have been made following two quite different
110 enological practices described in the enological CODEX published by the International
111 Organization of Vine and Wine (2006 edition) as “Ageing in small capacity wooden
112 containers (OENO 8/01)” and as “usage of pieces of oak wood in winemaking (OENO
113 9/01)”.

114

115 **2. Materials and methods**

116 *2.1 Reagents and standards.*

117 The aroma standards were supplied by Aldrich (Gillingham, UK), Fluka (Buchs,
118 Switzerland), Sigma (St. Louis, MO, USA), Lancaster (Strasbourg, France),
119 PolyScience (Niles, USA), Chemservice (West Chester, USA), Interchim (Monluçon,
120 France), International Express Service (Allauch, France) and Firmenich (Geneva,
121 Switzerland). LiChrolut EN resins (styrene-divinylbenzene) and polypropylene
122 cartridges were obtained from Merck (Darmstadt, Germany). Dichloromethane and
123 methanol of LiChrosolv quality were purchased from Merck (Darmstadt, Germany);
124 absolute ethanol, and ammonium sulfate were obtained from Panreac (Barcelona,
125 Spain), all of them of ARG quality. Pure water was obtained from a Milli-Q purification
126 system (Millipore, Bedford, MA, USA). Semi automated Solid Phase Extraction (SPE)
127 was carried out with a VAC ELUT 20 station supplied by Varian (Walnut Creek, CA,
128 USA).

129

130 2.2 *Samples.*

131 The grapes and wines used in the assay were of the vintages 2008 and 2009, vinified in
132 6 experimental centers in 6 different regions of Spain.

133 The first assay was made at the Centro de Transferencia Agroalimentaria de Aragón
134 (CTA), with wines of the “Garnacha Tinta” variety. The wines of the 2008 vintage were
135 vatted in 12 American oak barrels of 225 liters capacity. Three of them were new and
136 the other 9 semi new from the third, fifth and seventh year of usage, respectively. With
137 the wine from the same batch as mentioned above, 6 tanks of 250 L capacity were filled
138 and 2 different types of oak fragments were added in 6 g/L doses. The wines and
139 fragments were in contact during 60 days, after which the oak fragments were removed
140 by racking and 75 liters were bottled. With the rest of the macerated wine, 6 7-year old
141 American oak barrels were filled. Six and twelve months after vating the wine in the
142 barrels, corresponding 50 L samples were taken and bottled. In the second year of
143 experiment, with the wine of 2009 vintage, 15 American oak barrels of 225 L capacity
144 were filled, 3 new and 12 semi new from the assay of the previous year, which now
145 were 2, 4, 6 and 8 years old, respectively. In the same way as the first year but with
146 2009 vintage wine, three 250 L tanks were filled and other American oak fragments
147 were added. In addition, Pyrenean fragments were added to three other 250 L tanks, in
148 both cases in doses of 6 g/L. The assay was repeated in the same way as for the first
149 year, except for the wines macerated with oak fragments that were vatted in 8-year old
150 barrels.

151 The second assay was made at the Instituto Tecnológico Agrario, Estación enológica de
152 Castilla y León (ITACYL), with wines of the Tinta del País (Tempranillo) variety. In
153 the two years of the assay, wines of the 2008 and 2009 vintages were vatted in nine 225
154 L French oak barrels, 3 new and 6 semi new (3 3-year old and 3 5-year old barrels). Six
155 250 L tanks were filled with the same wine, to which two different types of French oak
156 fragments were added in 6 g/L doses. The working protocol was the same as that used
157 in the CTA on the first assay.

158 The third assay was made at the Centro de Investigación y Desarrollo Agrario de la
159 Rioja (CIDA), with wine of the Tempranillo variety. In the first year, 2 new French oak
160 barrels and 3 American oak barrels, all of 225 L capacity, were filled with wine of the
161 2008 vintage. Twelve 250 L tanks were filled with wine from the same vinification

162 batch, to which 2 different types of French oak fragments and 2 different types of
163 American oak were added, all in 6 g/L doses.

164 During the second year, 3 new French oak barrels and 3 American oak barrels were
165 filled with wine of the 2009 vintage. In addition, twelve 250 L tanks were filled with
166 wine from the same batch to which French, American and Pyrenean oak fragments were
167 added in 6 g/L doses. In 2009, six 250 L tanks containing French and American oak
168 hogshead staves in 0.33 m³/hl doses were also filled with wine. The wine was
169 macerated with the hogshead staves during 12 months. At six and twelve months
170 samples were taken and bottled.

171 The fourth assay was made at the Instituto Madrileño de Investigacion y desarrollo
172 Rural Agrario. During the first year, grapes of the Tempranillo variety, 2008 vintage,
173 were fermented in nine 50 L tanks with American oak fragments added in 3, 6 and 9
174 g/Kg doses. Once the fermentation concluded, 50 L of each treatment were bottled.

175 Similarly, grapes were fermented without fragments and the wine obtained was placed
176 in three new 225 L American oak barrels and nine 250 L tanks to which American oak
177 fragments were added in 2, 6 and 9 g/L doses. During the second year, the 2008 assay
178 was repeated using wine of the 2009 vintage. The fragments and barrels used in 2009
179 were made of French oak.

180 The fifth assay was made at the Estacion Enologica de Navarra (EVENA), with grapes
181 and wines of the Cabernet Sauvignon variety. During the first year, grapes of the 2008
182 vintage were fermented in nine 250 L tanks with 2 types of American oak fragments
183 and one type of French oak fragment, all in 6 g/Kg doses. Once the fermentation was
184 concluded, 50 L of each treatment were bottled. In addition, grapes of the 2008 vintage
185 were fermented in six 500 L wine tanks without wood fragments. The wines obtained
186 were vatted in 3 new French oak barrels and 3 new American oak barrels, all of 225 L
187 capacity. During the second year, the procedure was repeated with grapes of the 2009
188 vintage, using different barrels and wood fragments.

189 The sixth assay took place at the Estacion Enologica de Galicia (EVEGA), with wine of
190 the Mencia variety. During the first year, six new 225 L American oak barrels and three
191 new 225 L new French oak barrels were filled with wine of the 2008 vintage. In
192 addition, twelve 250 L tanks were filled with the same wine, to which American and
193 French fragments and a mixture of 50% of each were added in 6 g/L doses. During the

194 second year, the same procedure was repeated with wine of the 2009 vintage, using
195 different barrels and fragments.

196 In all the centers, 3 barrels were prepared for every assay. Two were used for the
197 samples and a subsequent analysis of the wines and the third was used to fill the two
198 first. Also, in all the centers the wines were in contact with the wood fragments during
199 60 days. Afterwards, the oak fragments were removed by racking and 75 L of wine
200 were bottled. Additionally, in the wines vatted in barrels, 50 L samples were taken at
201 the sixth and twelfth month in order to make the chemical and sensorial analysis

202 The barrels and fragments used in the assays were provided by wine enterprises located
203 in Spain. In all cases the materials were those commonly used for the vinification of
204 Spanish wines. The barrels were provided by the cooperages MAGREÑAN,
205 QUERCUS, VICTORIA and INTONA. Most of the barrels were made by natural
206 drying of the hogshead staves for between 18 and 36 months, medium toasted with
207 direct fire, at temperatures between 175 and 220 °C during 40 or 50 minutes. Only 15
208 barrels were toasted by the TRH system by infrared, at 200 °C during 35 minutes. The
209 oak fragments were provided by the companies AGROVIN, LAFFORT and SEPSA and
210 the cooperatives MAGREÑAN, QUERCUS and VICTORIA. All were made by natural
211 drying for between 18 and 34 months, toasted at average temperatures of 180 and 230
212 °C during 60 and 180 minutes. The toasting was carried out by air convection or by
213 infrared. Moreover, various types of fragments such as hogshead staves or segments
214 were used.

215 In brief: The study was carried out during two the consecutive years 2008 and 2009.
216 Samples were taken from each wine after 6 and 12 months. Each of the samples taken
217 was prepared twice. 75 volatile compounds were determined in 231 wines; 92 were
218 vatted in oak barrels, 115 were macerated or fermented with fragments and finally 24
219 were macerated with fragments and later vatted in oak barrels.

220

221 *2.3. Chemical quantitative analysis*

222 *2.3.1. Major Compounds (Liquid-Liquid Microextraction and GC-FID Analysis)*

223 The volatile compounds were analysed using the procedure proposed by Ortega et al.
224 (Ortega, Lopez, Cacho, & Ferreira, 2001) with slight modifications. The 2.7 mL sample
225 to be analysed was transferred into a 10 mL screw-capped centrifuge tube containing
226 4.05 g ammonium sulphate to which and the following were added: 6.3 mL water, 20

227 μL standard internal solution (2-butanol, 4-methyl-2-pentanol, 4-hydroxy-4-methyl-2-
228 pentanone, heptanoic acid, ethyl heptanoate and 2-octanol at 140 $\mu\text{g}/\text{mL}$ in absolute
229 ethanol) and 0.25 mL dichloromethane. The tube was shaken mechanically for 90 min
230 and later centrifuged at 2500 rpm for 10 min. The dichloromethane phase was recovered
231 with a 0.5 mL syringe, transferred to the autosampler vial, and analysed.
232 Chromatographic analysis was carried out in a GC-3800 supplied by Varian (Walnut
233 Creek, CA, USA) equipped with a DB-Wax column (30 m x 0.32 mm x 0.5 μm) from
234 J&W (Folsom, CA) and a 3 m x 0.32 mm uncoated precolumn (Agilent Technologies,
235 Santa Clara, CA, USA). The column temperature, initially 40 $^{\circ}\text{C}$, was raised after 5 min
236 by 4 $^{\circ}\text{C}/\text{min}$ to 102 $^{\circ}\text{C}$; 2 $^{\circ}\text{C}/\text{min}$ to 112 $^{\circ}\text{C}$; 3 $^{\circ}\text{C}/\text{min}$ to 125 $^{\circ}\text{C}$ during 5 min; 3 $^{\circ}\text{C}/\text{min}$
237 to 160 $^{\circ}\text{C}$; 6 $^{\circ}\text{C}/\text{min}$ to 200 $^{\circ}\text{C}$ and 30 min isotherm. The carrier gas was helium at 3
238 mL/min. The injection was in split mode 1:20 (injection volume 2 μL), with an FID
239 detector. The chromatographic peaks were normalized by one of the internal standards
240 and the relative area was then interpolated in the calibration graphs built by analysing
241 synthetic wines with known concentrations of volatile compounds. Thirty major (mg/L)
242 compounds were determined in this way.

243

244 *2.3.2. Minor Compounds (SPE and GC-Ion Trap-MS Analysis)*

245 This analysis was carried out using a previously proposed and validated method (Lopez,
246 Aznar, Cacho, & Ferreira, 2002) but with the following changes in the procedure:
247 Standard SPE cartridges (3 mL total volume) filled with 200 mg of LiChrolut EN resins
248 were placed in the vacuum manifold extraction system and the sorbent was conditioned
249 by rinsing the cartridges with 4 mL of dichloromethane, 4 mL of methanol and, finally,
250 4 mL of a water-ethanol mixture (12%, v/v). The cartridges were then loaded with a 50
251 mL wine sample and 26 μL of a surrogate standard solution containing 3-octanone, β -
252 damascone and heptanoic acid (all at 200 $\mu\text{g}/\text{g}$ of ethanol). This mixture was passed
253 through the SPE cartridges (2 mL/min), followed by a wash step using 5 mL of 40%
254 water-methanol, 1% NaHCO_3 solution. The resins were then dried by letting air pass
255 through the resin cartridges (negative pressure of 0.6 bar, 10 min). Analytes were
256 recovered in a 2 mL vial, by elution with 1.6 mL of dichloromethane. Thirty-four
257 microliters of an internal standard solution (300 mg/L of 4-hydroxy-4-methyl-2-
258 pentanone and 2-octanol) were added to the eluted sample. The extract was then
259 analyzed by GC with ion trap MS detection. A GC-450 gas chromatograph fitted to a

260 Saturn 2200 ion trap MS was used, supplied by Varian. Chromatographic analyses were
261 performed under the conditions described in ref. (Lopez et al., 2002). 45 minor ($\mu\text{g/L}$)
262 compounds were determined.

263

264 *2.4. Statistical analysis*

265 Statistical analyses were conducted with an SPSS vs 15.0 system supplied by SPSS Inc.
266 (Chicago, IL). A four factor ANOVA analysis (treatment x vintage x oak origin x
267 production zone) was performed on the analytical data of the wines. The interaction
268 between the treatment factor and the other 3 factors was also evaluated. Moreover, a
269 single factor ANOVA studies were also carried out. The results presented in this article
270 will focus on the treatment factor (use of barrel or oak fragments). Volatile aroma
271 composition data were analyzed by principal component analysis (PCA) using an
272 Unscrambler 9.7 (Camo, Norway) to illustrate the differences between the treatments.

273

274 **3. Results and discussion**

275 The main aim of this study has been to find out markers that allow us to discriminate
276 wines aged in barrels from those aged with other techniques.

277 For each of the years on which the study took place (2008 and 2009) and for each zone
278 (6 institutions), 1 factor ANOVAs (wooden fragments or barrel factor) have been
279 carried out to determine the existence or not of significant differences ($p < 0.05$) between
280 all the studied samples. The result of these ANOVA studies (data not displayed)
281 indicate that the compounds that show significant differences ($p < 0.05$) in all zones and
282 for each of the years are mainly those related to the wood.

283 Several Principal Component Analysis (PCA) studies have been performed on those
284 compounds which present significant differences over the two years of study (mainly
285 those related to wood), to find out which ones produce the maximum variability among
286 the different samples.

287 After carrying out these studies, it was found out that out of the 75 analysed
288 compounds, both major and minor, those which best enable discrimination between the
289 samples and explain the higher variance in function of the ageing treatment (barrel or
290 oak fragments) are the following: E-whiskylactone, Z-whiskylactone, vanillin,

291 acetovanillone, syringaldehyde, furfural, furfuryl alcohol, 5-methylfurfural, 5-hidroxy-
292 methylfurfural, eugenol, methyl vanillate and ethyl vanillate (figure 1a).

293 As can be observed in Figure 1b, the samples of wines macerated with fragments (C)
294 have been classified in the negative part of component 1, while the wines fermented in
295 barrels (B) can be found in the positive part of the PC1. Among the 115 samples of
296 wines macerated with fragments, 25 have a positive loading.

297 On the other hand, of the 92 samples that were aged in barrels, 38 have negative PC1.
298 Moreover, of the 24 wines that were first macerated with fragments and then vatted in
299 old barrels, 5 are classified in the barrel zone. The rest of the wines have the same
300 characteristics as those macerated with fragments.

301 Volatile phenols, lactones and furfural derivatives (Figure 1a) have a positive PC1 while
302 vanillin, acetovanillone and syringaldehyde have a negative PC1. It can thus be said that
303 wines aged in barrels have more volatile phenols, lactones and more furfural
304 derivatives, while wines elaborated with oak fragments have superior concentrations of
305 vanillin, acetovanillone and syringaldehyde.

306 The high concentrations of the different vanillin compounds found in wines aged with
307 oak fragments can be explained observing the results published by Chatonnet
308 (Chatonnet, 2008) This author found that when small oak fragments are toasted using
309 convection currents, the generation of phenolic aldehydes is increased in comparison
310 with toasting barrels over fire.

311 As the classification obtained is not completely satisfactory in relation to the selected
312 compound in the PCA and with all the analyzed samples (231), a 4 factor ANOVA has
313 been performed. Factor 1 is the treatment (vatted in oak barrels, macerated with
314 fragments, and macerated with fragments and later vatted in old oak barrels); factor 2 is
315 the year (vintage 2008 or 2009); factor 3 is the origin of the oak (American, French or
316 Pyrenean); and factor 4 is the production zone (Aragón, Castilla y León, Rioja, Madrid,
317 Navarra or Galicia).

318 The aim of the study is to discover which compounds that present significant
319 differences ($p < 0.05$) can be used to discriminate between all the samples in the study
320 depending on whether or not they have been vatted in oak barrels, and the effect of the
321 oak, the zone or the production year. The results are shown in Table 1. As can be seen
322 in this table, the treatment factor introduces significant differences in 11 of the 12
323 compounds, all except for furfuryl alcohol. Table 2 shows the mean concentrations of

324 all the compounds with $p < 0.05$ found in the wines according to the way the wines have
325 been elaborated. As we can see, wines elaborated in barrels show higher Z-
326 whiskylactone, eugenol, ethyl vanillate, furfural, 5-hydroxy-methylfurfural and 5-
327 methylfurfural concentrations than wines without wood or wines macerated with
328 fragments. On the other hand, the E-whiskylactone, vanillin, acetovanillone and
329 syringaldehyde concentrations are higher in wines without wood or wines macerated
330 with fragments. Moreover, wines that have been macerated with fragments and vatted in
331 old barrels afterwards show similar concentrations to wines that have only been
332 macerated with fragments. In any case, mean concentrations are similar to wines from
333 new barrels.

334 The vintage factor introduces significant differences (Table 1) in the extraction of
335 vanillin, ethyl vanillate, acetovanillone, syringaldehyde, 5-methylfurfural and 5-
336 hydroxy-methylfurfural. Moreover, the compounds of the vanillin group present a
337 significant interaction with the treatment. These compounds depend significantly on the
338 method of preparation of the wood even if it comes from the same maker, as Chatonnet
339 has already observed (Chatonnet, 1999).

340 The compounds that present significant differences (Table 1) depending on the origin of
341 oak used (American, French or Pyrenean) are E-whiskylactone, Z-whiskylactone,
342 eugenol, ethyl vanillate, furfural and 5-methylfurfural. Their mean concentrations and
343 significance are shown in Table 3. The American oak presents higher concentrations of
344 Z-whiskilactone, eugenol, furfural and 5-methylfurfural. Only the ethyl vanillate has a
345 higher concentration in the Pyrenean oak wood. On the other hand, French oak wines
346 present a higher concentration of E-whiskilactone.

347 Finally, for the area factor (Table 1) all the compounds present significant differences
348 except for vanillin and syringaldehyde. Similarly, there is significant interference for all
349 the compounds except for those of the vanillin group. Table 4 shows the mean values of
350 the compounds with significant differences for each area of the study. It can be seen that
351 Navarra wines have the highest concentrations for all the compounds except for those of
352 the vanillin group and the furfural. Data in Table 1 show that there is interdependence
353 between the area and the given treatment. This interaction can be explained by the
354 experimental design. In every area, the materials that were used are from different
355 suppliers. Therefore the differences are due to the disparity in the materials and not due
356 to the area. (Fernandez de Simon, Muino, & Cadahia, 2010) found high variability in

357 the composition of the volatile compounds extracted from commercially available
358 fragments. These authors could not clearly relate the composition to either the level of
359 toasting or to the species of oak.

360 The majority of experimental samples were obtained macerating finished wines with
361 oak fragments or vatting the wines in new barrels. As explained in the Materials and
362 Methods section, in some areas oak fragments were used for alcoholic fermentation
363 while in others wines were put in used barrels after maceration with oak fragments. The
364 experiment has also examined whether using fragments in fermentation or in
365 macerations significantly influences the concentration of the extracted compounds. For
366 this purpose, a one factor ANOVA was carried out (maceration during fermentation or
367 in a finished wine) using just the samples of the wines that were macerated during
368 fermentation and those that were macerated after fermentation had already finished.
369 Table 5 shows that of the 12 studied compounds only the vanillin, syringaldehyde,
370 furfural, 5-methylfurfural and 5-hydroxy-methylfurfural present significant differences
371 for this factor. In Table 6 mean concentrations of compounds with $p < 0.05$ are presented.
372 It can be seen that for all compounds, concentration is higher in wines that were
373 macerated with wood fragments after alcoholic fermentation was finished. Only the 5-
374 hydroxy-methylfurfural has similar concentrations in wines macerated during alcoholic
375 fermentation and in finished wines.

376 Finally in this experiment, the effects of using new barrels were compared with those of
377 using old barrels aged 2, 3, 4, 5, 6, 7, and 8 years. A single factor ANOVA was carried
378 out (the factor being the age of the barrel) in order to determine whether the extraction
379 of the compounds was different between new and old barrels.

380 In Table 5 it can be appreciated that in relation to this factor all the compounds except
381 for the ethyl vanillate have “p” values lower than 0.05.

382 Table 7 shows mean values for the 11 compounds that present significant differences
383 according to the age of the barrel. It can be observed that the majority of the compounds
384 are extracted mostly during the first year. From that moment, the extraction of the
385 compounds decreases as the barrel’s age increases. This is particularly marked in the
386 case of the derivatives of furfural. From the second year, these compounds present
387 concentrations that vary between 5 and 15% of the initial concentrations.
388 Concentrations of eugenol, vanillin and syringaldehyde decrease more than 50% from
389 the second year onwards. The Z-whyskilactone decreases about 30% during the second

390 year and from then on the extraction remains stable throughout the years. Only the
391 concentration of ethyl vanillate increases with the barrel's age. More than twice the
392 amount of this compound was extracted from 8 year-old barrels than from new barrels.
393 Mean values of acetovanillone oscillate between consecutive years. This result can be
394 explained considering the experimental design: Data from 1-year old barrels correspond
395 to wines of vintages 2008 and 2009 from 2 zones and 2 types of oak. Data obtained
396 from barrels 3, 5 and 7 years old are averages of the 2008 vintage wines from the two
397 zones, while 2, 4 6 and 8 years old barrels were filled with wines of the 2009 vintage
398 from the two zones and in two kinds of oak. Taking this experimental design in account,
399 it can be seen (table 7) that the variation of acetovanillone with barrel age is very small.
400 If results shown in Tables 6 and 7 are compared with those obtained for wines that were
401 never in contact with wood (control, data not shown), it is observed that all compounds
402 in the latter case exhibit lower concentrations than the lowest values present in the
403 tables.
404 The ANOVA studies show that various factors have a significant influence on the
405 compounds that are extracted from the wood into the wines. These factors are the age of
406 the barrel, the type of oak, the moment of introducing the wood fragments (fermentation
407 or maceration in finished wine), and the method of preparing wood fragments.
408 In every sample where the concentrations of the studied compounds are higher than the
409 odor threshold, the wood derived compounds influence the wine aroma.
410 It was possible to use a criterion to eliminate those wines that did not acquire the
411 organoleptic properties characteristic of wines in contact with wood. As seen in Tables
412 6 and 7, in compounds that present significant differences depending on the age of the
413 barrel and the fermentation/ maceration with fragments, extraction is reduced with the
414 age of the barrel and is inferior in wines fermented with fragments. Compounds that
415 show $p < 0.05$ in two studies (Table 5) are vanillin, syringaldehyde, furfural, 5-
416 methylfurfural and 5-hydroxy-methylfurfural. In the analyzed cases, all the wines that
417 present concentrations of syringaldehyde lower than 100 as well as concentrations lower
418 than 20 mg/L of vanillin or furfural have been kept in old barrels or have fermented
419 with fragments. In these wines we can consider that extraction has been minimal.
420 Mean concentrations of syringaldehyde and vanillin (**table 2**) are higher in wines
421 macerated with fragments (mean syringaldehyde=2749 $\mu\text{g/L}$; mean vanillin=640 $\mu\text{g/L}$)
422 compared with those kept in barrels (mean syringaldehyde=630 $\mu\text{g/L}$; mean

423 vanillin=153 µg/L). The concentrations of these compounds in wines aged in barrels are
424 just 22.93 and 23.92% of the concentrations found in the wines macerated with
425 fragments. Moreover, the concentration of acetovanillone of the barrel wines is 38.75%
426 of the value found in macerated wines. These values show that this compound can be
427 used as marker of the maceration of wines with oak fragments. Arapitsas et al.
428 (Arapitsas et al., 2004) suggested that syringaldehyde could be used as a marker for
429 wines aged with oak fragments. In addition, in a study carried out on wines from
430 different price categories, (San Juan, Cacho, Ferreira, & Escudero, 2012) found high
431 concentrations of this compound in low price wines and suggested its possible ageing
432 with wood fragments. On the other hand, Franco et al. (Franco et al., 2006; Ordóñez,
433 Suberviola, Ortega-Heras, & Gómez-Cordovés, 2006) found that vanillin and eugenol
434 were compounds that served to differentiate between wines whose ageing was carried
435 out in barrels and those macerated with wood fragments.

436 In **Table 2**, we can see that the mean concentration of eugenol is three times higher in
437 wines aged in barrels ($X= 34,0 \mu\text{g/L}$) compared to those macerated with fragments ($X=$
438 $9,24 \mu\text{g/L}$). Many authors have found similar results (Franco et al., 2006; Garde-Cerdan
439 & Ancin-Azpilicueta, 2006; Guchu, Diaz-Maroto, Perez-Coello, Gonzalez-Vinas, &
440 Ibanez, 2006; Ordóñez et al., 2006).

441 As all the compounds reveal significant differences depending on the factor under
442 study, only one compound is not enough to distinguish wines aged in barrels or
443 macerated with fragments. Acetovanillone, vanillin, syringaldehyde and eugenol are
444 four compounds that have an important influence on the classification of samples, as
445 seen in Figure 1. Relations between these compounds have been examined, leading to
446 the conclusion that the relationship that best determines whether the wines have been
447 aged in barrels or macerated with fragments is the sum of the concentrations of vanillin
448 and acetovanillone divided by the concentration of eugenol. Taking this into
449 consideration, the following criteria are proposed to discriminate between the wines.

450 Criterion 1. Wines with concentrations of syringaldehyde lower than $100 \mu\text{g/L}$ or
451 concentrations lower than $20 \mu\text{g/L}$ of vanillin or furfural are considered not to have
452 passed the extraction threshold, so they can be regarded as not having had contact with
453 wood.

454 Criterion 2. A relation $(\text{vanillin}+\text{acetovanillone})/\text{eugenol} < 20$, indicates that the wines
455 have been aged in barrels.

456 Criterion 3. A relation $(\text{vanillin} + \text{acetovanillone}) / \text{eugenol} > 20$, indicates that the wines
457 have been macerated with wood fragments.

458 To determine whether these criteria are applicable in all cases, they have been applied to
459 the wines analyzed in this project as well as in others. Extensive research in the
460 bibliography has also been carried out. The majority of authors have not analyzed
461 acetovanillone, so the verification of the criteria could not be done. Nevertheless, the
462 quantification of vanillin, eugenol and acetovanillone has been found in some articles,
463 allowing us to apply the criteria. Garcia Carpintero et al. (Garcia-Carpintero, Gallego,
464 Sanchez-Palomo, & Vinas, 2012) analyzed wines macerated with oak fragments during
465 alcoholic and malolactic fermentation. When applying the criteria, we verified that
466 when oak fragments were used during alcoholic fermentation, the concentrations of
467 vanillin, furfural or syringaldehyde were lower than $20 \mu\text{g/L}$. Thus, if we apply criterion
468 1, it is considered that these wines have had a minimum extraction. For the rest of the
469 wines analyzed in the paper, the relation between acetovanillinone + vanillin/eugenol
470 was higher than 20. According to our criteria, this means that the wines were aged with
471 oak fragments

472 In addition, Rodriguez Bencomo et al. (Rodriguez-Bencomo, Ortega-Heras, Perez-
473 Magarino, & Gonzalez-Huerta, 2009) analyzed wines macerated with 7 different types
474 of oak fragments. Applying the criteria we found that just one of the analyzed wines
475 was wrongly classified. This wine was macerated with Pyrenean oak fragments. Cerdan
476 et al. (Cerdan, Mozaz, & Azpilicueta, 2002) analyzed wines aged in barrels made of oak
477 of different origins. In all the samples the sum of acetovanillone + vanillin/eugenol was
478 lower than 20. According to our criteria, 100% of the wines presented in the paper were
479 correctly classified. Finally, Castro et al. (Castro-Vazquez et al., 2011) analyzed wines
480 kept in barrels over different periods of time. In all the samples, the concentrations of
481 acetovanillone and vanillin divided by the concentration of eugenol were lower than 20.
482 As in the previously cited study, 100% of the wines were correctly classified.

483 The results obtained show that using these criteria, more than 90% of wines analyzed
484 have been correctly classified both in the present study and in previous studies carried
485 out by other authors.

486

487 **4. Conclusions**

488 The compounds that best enable wine samples to be differentiated depending on
489 whether they have been treated in barrels or with wood fragments are E-whiskylactone,
490 Z-whiskylactone, eugenol, vanillin, acetovanillone, methyl vanillate, ethyl vanillate,
491 syringaldehyde, furfural, furfuryl alcohol, 5-methyl furfural, and 5-hydroxy-methyl
492 furfural.

493 The PC analysis shows that vanillin, acetovanillone and syringaldehyde are the
494 compounds that explain the variance of wines fermented or macerated with wood
495 fragment wines; they are present in higher concentrations than in wines aged in barrels.
496 Eugenol, E-whiskylactone and Z-whiskylactone are the compounds that explain the
497 variance in wines aged in barrels. The concentration of eugenol is significantly high in
498 wines aged in barrels.

499 The extraction of wood derived compounds is affected by many factors such as the age
500 of the barrel, the application of fermentation or maceration in wines, the dose, etc.

501 The vanillin + acetovanillone/eugenol ratio is essential for discrimination. It has been
502 observed that when wines have been aged in barrel, the ratio is lower than 20 while, on
503 the other hand, when the wines have fermented or been macerated with wood
504 fragments, the relation is higher than 20.

505

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Figure 1. Results of principal component analysis of volatile compound data matrix:

Figure 1a: scores of the 231 wine samples (92 barrel vatted (B), 115 macerated or fermented with oak fragments (C) and 24 macerated with oak fragments and then barrel vatted (BC) in the plane formed by the first two principal components.

Figure 1b: loading of the variables on the first two principal components.

Figure 1 a

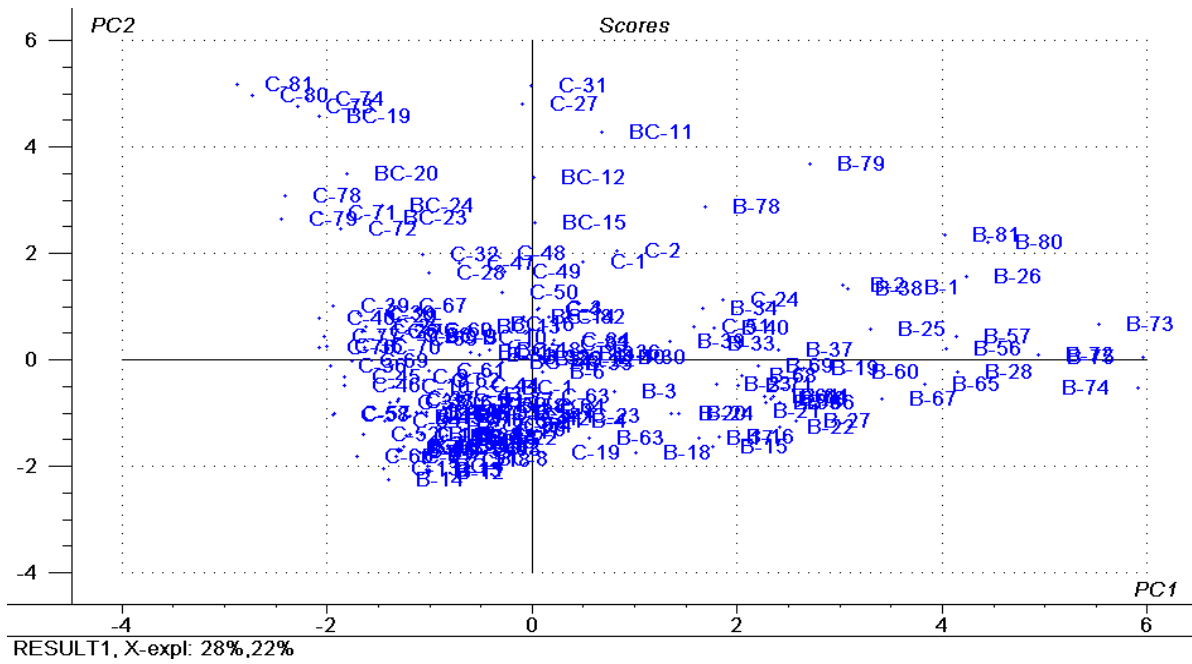


Figure 1b

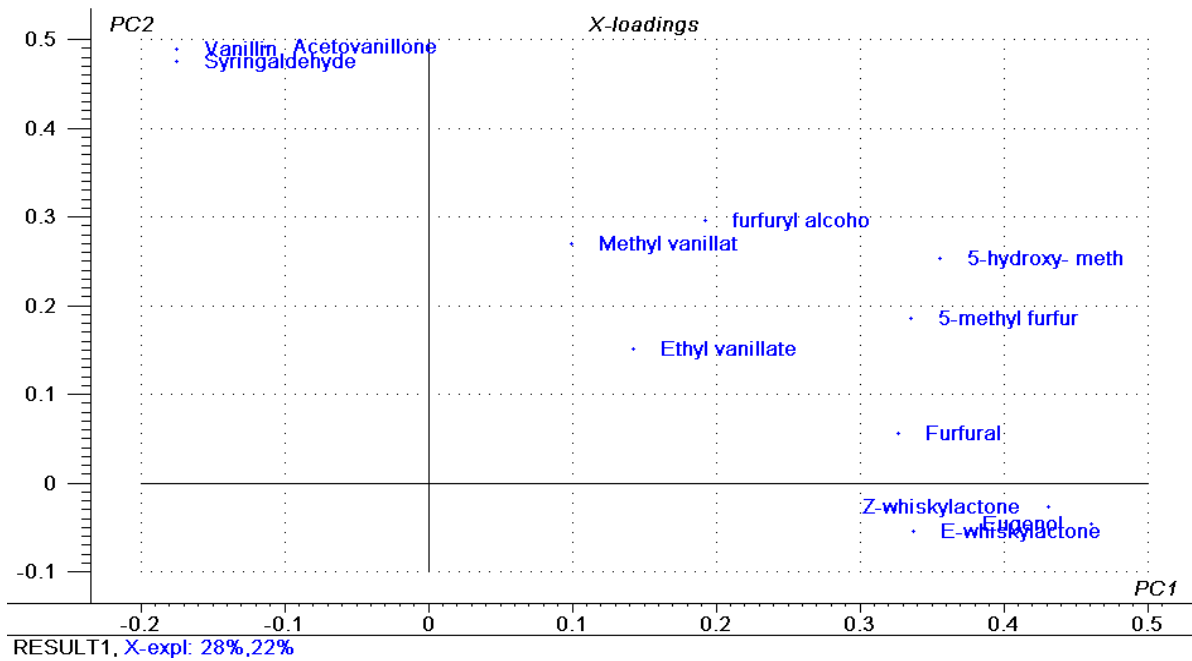


Table 1. Four factor ($p < 0.05$) ANOVA study performed with wines macerated with fragments or kept in oak barrels. The study was carried out in 2008 and 2009. Samples were taken after 6 and 12 months of ageing in 6 different zones. Factors: F1: ageing treatment (fragments or barrels); F2: Vintage (2008, 2009); F3: oak origin (French, American or Pyrenean) and F4: production zone (Aragón, Castilla y León, Rioja, Madrid, Navarra and Galicia).

	Probability (p)						
	Treatment	Vintage	Oak origin	Production	Interactions		
				zone	F1/F2	F1/F3	F1/F4
Factor 1	Factor 2	Factor 3	Factor 4				
E-whiskylactone	0.032	0.305	0.001	0.000	0.028	0.385	0.000
Z-whiskylactone	0.014	0.496	0.000	0.000	0.001	0.120	0.000
Eugenol	0.000	0.551	0.008	0.000	0.587	0.004	0.000
Vanillin	0.000	0.000	0.666	0.301	0.000	0.522	0.426
Methyl vanillate	0.000	0.351	0.077	0.000	0.000	0.808	0.820
Ethyl vanillate	0.000	0.000	0.000	0.000	0.000	0.356	0.008
Acetovanillone	0.000	0.000	0.567	0.000	0.000	0.631	0.320
Syringaldehyde	0.000	0.002	0.596	0.602	0.019	0.726	0.366
Furfural	0.003	0.235	0.000	0.000	0.852	0.601	0.000
5-methyl furfural	0.006	0.008	0.004	0.005	0.638	0.131	0.000
5-hydroxy- methylfurfural	0.000	0.000	0.204	0.000	0.358	0.000	0.000
Furfuryl alcohol	0.268	0.083	0.100	0.000	0.120	0.009	0.000

Table 2: Mean concentration ($\mu\text{g/L}$) of compounds related with wood showing significant differences according to the treatment factor (barrel vating, macerated with oak fragments and macerated with oak fragments and then vatted in barrels)

Concentration ($\mu\text{g/L}$)	Factor treatment		
	Barrel	Fragment	Fragment+barrel
E-whiskylactone	10,6 a	48,2 c	84.7 b
Z-whiskylactone	404 a	185c	282 b
Eugenol	34,0 a	9.24 c	14.9 b
Vanillin	153 b	640 a	600 a
Methyl vanillate	16.8 b	16.0 b	20.9 a
Ethyl vanillate	479 a	330 b	262 c
Acetovanillone	156 b	250 a	279 a
Syringaldehyde	630 b	2749 a	3318 a
Furfural	174 a	61.7 b	28.9 b
5-methyl furfural	81.4 a	44.0 b	28.2 b
5-hydroxy- methylfurfural	12.2 a	6.10 b	5.12 b

Means with different letters are significantly different according to ANOVA results ($P < 0.05$).

Table 3: Mean concentration ($\mu\text{g/L}$) of compounds related with wood that present significant differences according to oak origin (American, French or Pyrenean).

Concentration ($\mu\text{g/L}$)	Factor Oak origin		
	American	French	Pyrenean
E-whiskylactone	64.7b	89.8a	56.6b
Z-whiskylactone	430a	193b	236b
Eugenol	25.7a	17.1b	20.1b
Ethyl vanillate	434b	233c	961a
Furfural	130a	99.1ab	44.9b
5-methyl furfural	85.3a	43.9b	16.2b

Means with different letters are significantly different according to ANOVA results ($P < 0.05$).

Table 4: Mean concentration ($\mu\text{g/L}$) of compounds related with wood that present significant differences according to the production zone factor (Aragón, Castilla y León, Rioja, Madrid, Navarra and Galicia).

Factor production zone						
Concentration ($\mu\text{g/L}$)	Aragón	Castilla y León	Rioja	Madrid	Navarra	Galicia
E-whiskylactone	51.7 c	83.9 b	65.4 bc	54.7 c	210 a	73.1 bc
Z-whiskylactone	352 b	185 d	290 c	206 cd	593 a	349 b
Eugenol	20.8 b	12.4 c	20.2 b	17.4 bc	56.2 a	21.2 b
Methyl vanillate	40.9 a	7.14 c	8.44 c	8.24 c	21.6 b	18.0 b
Ethyl vanillate	613 b	135 e	169 de	250 d	393 c	1043 a
Acetovanillone	321 a	180 c	171 c	249 b	105 d	183 c
Furfural	27.5 c	31.0 c	132 b	145 b	181 b	259 a
5-methyl furfural	43.2 bc	22.0 c	83.9 ab	50.1 bc	78.3 ab	104 a
5-hydroxy- methylfurfural	8.80 bc	2.53 d	6.72 c	11.7 b	15.8 a	18.8 a
furfuryl alcohol	319 a	173 bc	122 c	131 c	385 a	285 ab

Means with different letters are significantly different according to ANOVA results ($P < 0.05$).

Table 5: “p” values obtained in two studies of one factor ANOVA. In the first column the comparison is between wines macerated with oak fragments. In the second column the comparison is between wines aged in barrels of different ages.

Probability (p)		
	Factor maceration In fermentation or in finished wines	Factor Age of the barrel
E-whiskylactone	0.690	0.000
Z-whiskylactone	0.640	0.009
Eugenol	0.795	0.000
Vanillin	0.000	0.000
Methyl vanillate	0.950	0.016
Ethyl vanillate	0.743	0.673
Acetovanillone	0.266	0.000
Syringaldehyde	0.000	0.004
Furfural	0.002	0.004
5-methyl furfural	0.000	0.000
5-hydroxy- methylfurfural	0.040	0.000
Furfuryl alcohol	0.748	0.002

Table 6: mean concentration ($\mu\text{g/L}$) of compounds related with wood that present significant differences according to the oak fragments maceration factor (in fermentation or in finished wine)

Factor maceration					
Concentration ($\mu\text{g/L}$)	Vanillin	Syringaldehyde	Furfural	5-methyl furfural	5-hydroxy- methylfurfural
Fermentation	18.4	92.7	13.2	0.36	4.35
Finished wine	537	2279	63.6	44.4	6.05

Table 7: Mean concentration ($\mu\text{g/L}$) of compounds related with wood that present significant differences according to the age of the barrels factor.

Concentration ($\mu\text{g/L}$)	Factor: Age of the barrel (years)							
	1	2	3	4	5	6	7	8
E-whiskylactone	110	40.8	62.8	56.2	52.8	55.9	30.8	27.8
Z-whiskylactone	436	314	265	300	213	245	303	299
Eugenol	36.6	28.2	17.1	17.5	9.02	13.6	16.5	16.8
Vanillin	196	131	40.7	19.2	36.7	25.9	34.5	0.13
Methyl vanillate	17.1	22.6	24.0	22.3	25.8	23.0	39.3	39.7
Acetovanillone	146	248	116	240	116	242	160	343
Syringaldehyde	636	624	86.2	173	73.5	148	65.5	46.8
Furfural	228	63.8	26.5	10.4	23.2	11.9	22.8	9.52
5-methyl furfural	104	39.3	0.91	1.42	1.15	1.48	0.00	0.86
5-hydroxy- methylfurfural	16.5	7.89	1.63	3.58	1.51	3.61	1,37	5.44
furfuryl alcohol	285	177	109	110	110	109	109	111