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29 Wettability, polarity and water absorption of *Quercus ilex* leaves: effect of leaf side

30 and age

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56 57 **Summary**

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59 The highly pubescent abaxial side of *Q. ilex* leaves is unwettable and water-repellent.

60 The adaxial side is however wettable and can take up water, which may be an 61 adaptation to growing under Mediterranean conditions

- 63 Footnotes
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78 ABSTRACT

79 Plant trichomes play important protective functions and may have a major influence on leaf surface wettability. With the aim of gaining insight into trichome structure, 80 81 composition and function in relation to water-plant surface interactions, we analyzed the adaxial and abaxial leaf surface of *Quercus ilex* L. (holm oak) as model. By measuring 82 83 the leaf water potential 24 h after the deposition of water drops on to abaxial and adaxial surfaces, evidence for water penetration through the upper leaf side was gained in young 84 and mature leaves. The structure and chemical composition of the abaxial (always 85 present) and adaxial (occurring only in young leaves) trichomes were analyzed by 86 various microscopic and analytical procedures. The adaxial surfaces were wettable and 87 88 had a high degree of water drop adhesion in contrast to the highly unwettable and water 89 repellent abaxial holm oak leaf sides. The surface free energy, polarity and solubility 90 parameter decreased with leaf age, with generally higher values determined for the abaxial sides. All holm oak leaf trichomes were covered with a cuticle. The abaxial 91 92 trichomes were composed of 8% soluble waxes, 49% cutin, and 43% polysaccharides. 93 For the adaxial side, it is concluded that trichomes and the scars after trichome shedding 94 contribute to water uptake, while the abaxial leaf side is highly hydrophobic due to its high degree of pubescence and different trichome structure, composition and density. 95 Results are interpreted in terms of water-plant surface interactions, plant surface 96 97 physical-chemistry, and plant ecophysiology.

99 INTRODUCTION

Plant surfaces have an important protecting function against multiple biotic and abiotic stress factors (Riederer, 2006). They may for example limit the attack of insects (Eigenbrode and Jetter, 2002), or pathogenic fungi (Gniwotta et al., 2005; Łaźniewska et al., 2012), avoid damage caused by high intensities of UV and visible radiation (Reicosky and Hanover, 1978; Karabourniotis and Bormann, 1999), help to regulate leaf temperature (Ehleringer and Björkman, 1978; Ripley et al., 1999), and chiefly prevent plant organs from dehydration (Riederer and Schreiber, 2001).

107 The epidermis of plants has been found to have major degree of physical and 108 chemical variability, and may often contain specialised cells such as trichomes or 109 stomata (Roth-Nebelsick et al., 2009; Javelle et al., 2011). Most aerial organs are covered with an extra-cellular, generally lipid-rich layer named cuticle, which is 110 111 typically composed of waxes embedded into (intra-cuticular) or deposited on to (epicuticular waxes) a biopolymer matrix of cutin (forming a network of cross-esterified, 112 hydroxy C_{16} and/or C_{18} fatty-acids) and/or cutan, with variable amounts of 113 polysaccharides and phenolics (Domínguez et al., 2011; Yeats and Rose, 2013). 114 Different nano- and/or micro-scale levels of plant surface sculpturing have been 115 observed by scanning electron microscopy (SEM), generally in relation to the 116 topography of epicuticular waxes, cuticular folds and epidermal cells (Koch and 117 Barthlott, 2009). Such surface features together with their chemical composition 118 (Khayet and Fernández, 2012) may lead to a high degree of roughness and 119 120 hydrophobicity (Koch and Barthlott, 2009; Konrad et al., 2012). The interactions of plant surfaces with water have been addressed in some investigations (Brewer et al., 121 1991; Brewer and Schmidt, 1997; Pandey and Nagar, 2003; Hanba et al., 2004; Dietz et 122 al., 2007; Holder, 2007a, b; Fernández et al., 2011, 2014; Roth-Nebelsick et al., 2012; 123 Wen et al., 2012; Urrego-Pereira et al., 2013), and is a topic of growing interest for 124 plant ecophysiology (Helliker and Griffiths, 2007; Aryal and Neuner, 2010; Limm and 125 126 Dawson, 2010; Kim and Lee, 2011; Berry and Smith, 2012; Rosado and Holder, 2013; 127 Berry et al., 2013; Helliker, 2014). On the other hand, the mechanisms of foliar uptake of water and solutes by plant surfaces are still not fully understood (Fernández and 128 Eichert, 2009; Burkhardt and Hünsche, 2013), but they may play an important 129 ecophysiological role (Limm et al., 2009; Johnstone and Dawson, 2010; Adamec, 2013; 130 Berry et al., 2014). 131

The importance of trichomes and pubescent layers on water drop-plant surface 132 interactions and on the subsequent potential water uptake into the organs has been 133 analysed in some investigations (Fahn, 1986; Brewer et al., 1991; Grammatikopoulos 134 135 and Manetas, 1994; Brewer and Smith, 1997; Pierce et al., 2001; Kenzo et al., 2008; Fernández et al., 2011, 2014; Burrows et al., 2013). Trichomes are unicellular or multi-136 cellular, glandular or non-glandular appendages, which originate from epidermal cells 137 138 only, and develop outwards on the surface of plant organs (Werker, 2000). Non-139 glandular trichomes are categorised according to their morphology and exhibit a major 140 variability in size, morphology, and function. On the other hand, glandular trichomes are classified by the secretory materials they excrete, accumulate or absorb (Johnson, 141 1975; Werker, 2000; Wagner et al., 2004). Trichomes can be often found in 142 xeromorphic leaves and in young organs (Fahn, 1986; Karabourniotis et al., 1995). The 143 144 occurrence of protecting leaf trichomes has been also reported for Mediterranean species such as Quercus ilex L. (Karabourniotis et al., 1995, 1998; Morales et al., 2002; 145 146 Karioti et al., 2011; Camarero et al., 2012). There is limited information about the nature of the surface of trichomes, but they are also covered with a cuticle similarly to 147 other epidermal cell types (Fernández et al., 2011, 2014). 148

149 In this study and using Q. ilex as model, we assessed for the first time the leaf surface-water relations of the abaxial (always pubescent) versus the adaxial (only 150 pubescent in developing leaves and for a few months) surface, including their capacity 151 152 to absorb surface-deposited water drops. Based on membrane science methodologies (Fernández et al., 2011; Khayet and Fernández 2012) and following a new integrative 153 154 approach, the chemical, physical and anatomical properties of holm oak leaf surfaces 155 and trichomes were analyzed, with the aim of addressing the following questions: (i) are young and mature adaxial and abaxial leaf surfaces capable of absorbing water 156 deposited as drops on to the surfaces?, (ii) are young and mature abaxial and adaxial 157 leaf surfaces similar in relation to their wettability, hydrophobicity, polarity, work-of-158 adhesion for water, solubility parameter and surface free energy?, and (iii) what is the 159 160 physical and chemical nature of the adaxial versus the abaxial trichomes, chiefly in 161 relation to young leaves?

163 **RESULTS**

164 Leaf surface water absorption

The water absorption results for both young and mature holm oak shoots are shown 165 in Figure 1. The initial shoot water potential (Ψ_0) measured for young shoots were -2.47 166 \pm 0.10 MPa. Twenty-four h after wetting the adaxial side of the leaves, the final water 167 potential (Ψ_f) became -1.51 ± 0.15 MPa. In contrast, after wetting the abaxial side, Ψ_f 168 reached -3.00 ± 0.09 MPa. As expected, the untreated (non-wetted) shoots, reached a 169 170 lower Ψ_f of -3.19 ± 0.07 MPa (Fig. 1A). All Ψ_f values were statistically different from Ψ_{0} ($\alpha = 0.05$). A similar trend was found for mature shoots (Fig. 1B). In addition, the 171 relative water content (RWC) varied according to the water potential variations. When 172 173 the adaxial holm oak leaf side was wetted, RWC increased from 88.79 ± 0.54 to $93.45 \pm$ 174 0.67%. When drops were applied on to the abaxial side, RWC decreased from 87.52 \pm 175 0.63 to 84.22 \pm 0.65%. Finally, the RWC of untreated leaves (non-wetted) decreased from 88.05 ± 0.99 to $82.48 \pm 0.89\%$ (Fig. 1C). Similar variations were recorded for 176 mature leaves (Fig. 1D). 177

The adaxial and abaxial surface cuticular conductances of young holm-oak leaves were 3.8 ± 0.2 and 3.4 ± 0.1 m s⁻¹ 10⁻⁵, respectively. The cuticular conductance values measured for mature leaves were 3.1 ± 0.2 (adaxial) and 3.3 ± 0.3 (abaxial) m s⁻¹ 10⁻⁵. Regardless of leaf age, no significant cuticular conductance differences were found between upper and lower leaf sides. Statistically significant conductance differences were however recorded for the adaxial cuticle of young versus mature leaves (P<0.05).

184 Holm oak leaf surface structure

Fresh, young and mature holm oak leaves were examined by SEM. The young leaves were covered with trichomes, the adaxial surfaces being much less pubescent than the abaxial surfaces, where dense, imbricated trichome layers were found (Fig. 2, A and B). An abaxial dense indumentum was also present in mature leaves, but their upper surfaces had almost no trichomes (Fig. 2, C and D).

Concerning the adaxial leaf epidermis, a rather smooth epicuticular wax layer could be observed in mature leaves, which was only partially visible as patches in the young leaves (Fig. 2, A and C). The adaxial side of young leaves had a trichome density of approximately 87 per mm² leaf surface. The cuticle surface covered with trichomes was

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estimated to be about 43% (Fig. 2A). Some protruding trichome-scars were especiallyvisible in the mature leaf adaxial surface (Fig. 2C).

Trichomes were observed to be non-glandular, multicellular, stellate, with the arms 196 197 fusing into a short, erect stipe and then diverging horizontally (Hardin, 1976). Most of the adaxial trichomes of the young leaves had eight arms, while single long hairs, and 198 from four to seven-arm-trichomes were also eventually found. Their length and 199 200 diameter were measured on eight-arm-trichomes from the fusing point and at 10 µm 201 above, respectively. The length of the arms was approximately $115 \pm 24 \,\mu\text{m}$, and the 202 diameter was $6.2 \pm 0.6 \,\mu\text{m}$. The overlapping of trichome arms and the existence of 203 various trichome layers on the lower leaf sides prevented the accurate estimation of the 204 number of arms, arm length and diameter.

When analyzing the epidermal structure of holm oak leaves we noticed that the base 205 206 of abaxial and adaxial trichomes was cutinized, since it was stained with Sudan IV (Fig. 207 3A). The structure of the base of adaxial versus abaxial trichomes is different (Fig. 3, B 208 to E). Abaxial trichomes are anchored deeper into the epidermis by a group of cells 209 (Fig. 3, C and E), while those present in the upper side of young leaves are bound to the 210 underlying tissue in a more superficial manner, the union largely formed by cuticle and 211 cell wall material appearing more susceptible to shedding (Fig. 3, B and D). The scars 212 remaining in the upper leaf sides after trichome abscission seemed to have a heterogeneous topography in both young (Fig. 3F) and mature leaves (Fig. 3G). 213 214 Trichomes and trichome scars were often seen directly or in the vicinity of bundle sheath extensions (Fig. 3, A and G). 215

216 Chemical composition of trichomes

The proportion of chemical constituents of isolated holm oak trichomes was assessed by the weight loss after successive chemical treatments, coupled with Fourier transform infrared spectroscopy (FTIR) measurements. Isolated trichome SEM observations, however, led us to discard the data concerning the adaxial side, since epidermal pieces of considerable size were removed together with the trichomes due to their scarcity. Hence, we are only showing the chemical composition of trichomes isolated from the lower leaf side to avoid misinterpretations.

The fractions corresponding to depolymerized material (chiefly cutin) represented the greatest percentage of the trichomes (49.0%). After cutin depolymerization a residue

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(mainly of polysaccharides) of 42.9% was determined. A proportion of soluble waxes of
7.9% of the trichome mass was recorded after chloroform extraction.

The FTIR spectra of isolated intact and chemically treated abaxial holm oak 228 229 trichomes are shown in Figure 4. Cuticular material assignment was based on previous 230 data (Ramírez et al., 1992; Villena et al., 2000). For intact hairs, bands associated with 231 different chemical functional groups, and consequently to cuticle components, were identified (Fig. 4A): long-chain aliphatic waxes and cutin (intense and thin asymmetric 232 and symmetric CH₂ stretching absorptions at 2919 and 2849 cm⁻¹ and the CH₂ bending 233 one at 1463 cm⁻¹), the polyester cutin (C-O stretching vibration in ester environments 234 band at 1729 cm⁻¹ and the asymmetrical and symmetrical C-O-C stretching absorptions 235 at 1166 and 1107 cm⁻¹, respectively), polysaccharides (mainly for the band associated 236 with the glycosidic bond at 1054 cm⁻¹), and some unsaturated/aromatic compounds 237 238 (stretching of C=C double bonds, aromatic rings, and aromatic rings conjugated with double bonds at 1653, 1610 and 1515 cm⁻¹, respectively). The broad and very strong 239 band at 3350 cm⁻¹, assigned to the O-H stretching vibration, could be related to tissue 240 241 hydration. When waxes were extracted in chloroform, a remarkable reduction of the aliphatic bands was observed (CH₂ stretching absorptions decreased and broadened; 242 243 Fig. 4B). Finally, the saponification step led to the depolymerization of cutin and hence 244 decreasing its characteristic absorption peaks. The remaining material can be considered a polysaccharide fraction with a small contribution of unsaturated/aromatic compounds 245 246 (Fig. 4C).

When examining the isolated trichomes of young holm oak leaves by SEM, a 247 248 generally smoother surface with some shallow cracks was noticed after wax removal as 249 compared to the intact trichomes (Fig. 5, A to D). This phenomenon could be observed 250 more clearly in the abaxial trichomes, since they initially had a rougher epicuticular wax 251 layer as compared to adaxial ones (Fig. 5, B and D). After cutin depolymerization, 252 trichomes appeared to be flatter, with twisted arms, and showing the cell wall structure 253 (Fig. 5, E and F). Adaxial, intact (isolated and attached to the leaf surface) and 254 chloroform-extracted trichomes seemed to be covered with only a partially-developed 255 cuticle (Figs. 5, A and C and 6A).

The effect of immersing holm oak leaves in cellulase and pectinase solutions for 4 or 7 days is shown in Figure 6. The adaxial trichomes of young leaves were hydrolyzed when immersed in 2% cellulase (Fig. 6C). The process of trichome degradation seemed to take place from the fusing point of each arm at the side which is exposed to the

environment (Fig. 6B). After seven days of cellulase hydrolysis, almost only the cuticle 260 261 covering the base of the trichomes remained attached to the epidermis underneath (Fig. 6C), which finally led to trichome shedding. The opening of the cuticle fusing line 262 263 (observed as a central furrow in the arms) located near the base in some arms of intact 264 trichomes (Fig. 6A) may somehow facilitate cellulase accessibility and cell wall degradation. These cuticular fractures in the adaxial trichomes were more common in 265 266 the fusing point and towards the tip of the arms (see Fig. 6A as an example). Leaf 267 immersion in cellulase did not alter the structure of abaxial leaf trichomes which were 268 similar to those present in intact holm oak surfaces (Fig. 6D). Leaf pectinase digestion 269 did not affect the structure of either the adaxial or the abaxial trichomes (data not 270 shown).

271 Contact angle measurements

272 The mean θ values measured after depositing drops of three liquids with different 273 polar and apolar surface tension components on to the adaxial and abaxial surfaces of 274 young and mature holm oak leaves are summarized in Table I. The presence of 275 trichomes in young leaf adaxial surfaces led to initially high θ values for water and 276 especially glycerol, which sharply decreased over time before reaching a steady value 277 when the drop became static. This phenomenon was however not observed for the fully apolar diiodomethane drops which remained static when deposited on to the adaxial 278 279 young leaf surfaces. This indicates that water and glycerol drops (having a significant 280 polar (acid-base) surface tension component) interacted with the trichomes present in 281 the adaxial surface of young leaves which led to a sharp θ decrease shortly after drop 282 deposition (Fig. 2A; Table I). On the contrary, the glabrous upper leaf surface of mature 283 leaves and the highly pubescent abaxial young and mature leaf surfaces enabled the 284 measurement of static contact angles immediately after drop deposition which implies a 285 lower degree of chemical interactions between such liquids and the plant surfaces 286 analyzed (Fig. 2, B to D).

With regard to leaf age, higher water and glycerol θ values were measured on the upper side of mature versus young leaves, but similar results were recorded for all abaxial leaf surfaces. In contrast, diiodomethane θ measurements were similar for the adaxial surface of young and mature leaves and slightly higher for the abaxial side of young leaves.

The total surface free energy (γ) of the holm oak leaf surfaces evaluated was 292 293 determined from contact angle measurements (Fernández et al., 2011, 2014) using the 294 so-called Lifshitz-van der Waals, van Oss, Good, and Chaudhury method (van Oss et 295 al., 1987, 1988). The γ of young leaf adaxial surfaces was higher than that of mature 296 surfaces (Table II). In contrast, the abaxial surface of mature leaves had a higher γ value 297 as compared to the upper side. On the other hand, the total γ results of young and mature 298 adaxial leaf surfaces were higher than the values determined for the corresponding 299 abaxial surfaces. The γ values of the upper surfaces can be mainly ascribed to their relatively higher dispersive component (γ^{LW}). For the adaxial surface, the non-dispersive 300 component (γ^{AB}) was higher for young leaves and decreased with maturity (Table II). 301

The highest degree of surface polarity was generally determined for the abaxial leaf side. For the upper side, the surface polarity values of young leaves were however higher than those determined for mature leaves (Table II).

305 The work-of-adhesion (W_a ; Kwok and Neumann, 1999) for the three liquids and the 306 solubility parameter (δ) were calculated as described by Fernández et al. (2011, 2014) 307 and Khayet and Fernández (2012) (Table III). The adaxial surface of young and mature 308 holm oak leaves exhibited a higher adhesion for water drops, followed by glycerol and 309 diiodomethane, compared to the water drop repellence of the lower leaf side. Of the 310 three liquids, the highest W_a values were recorded for water drops deposited on to the upper leaf side. Regardless of leaf age, the abaxial surfaces were found to have similar 311 W_a values for water and diiodomethane, which were higher than those for glycerol. The 312 solubility parameter (δ) values of the adaxial surface of young and mature leaves were 313 314 considerably higher than those of the lower leaf side. Regarding the effect of leaf age, 315 younger leaves had higher δ values as compared to mature leaves.

316 **DISCUSSION**

317 In this study, we analyzed the physico-chemical properties of the adaxial and abaxial 318 surface of holm oak (O. *ilex L.*) leaves as model for a typically Mediterranean species, 319 and also in relation to leaf maturity. We selected this species since it constitutes an 320 interesting system for assessing the nature and functionality of adaxial and abaxial leaf 321 surfaces, and also for evaluating leaf water absorption and solid-liquid interactions. As a 322 preliminary trial, we assessed the water absorption capacity of holm oak leaves by 323 measuring the leaf water potential 24 h after depositing water drops either on to the 324 adaxial or abaxial leaf side. After gaining evidence for leaf hydration via the upper leaf side (independently of leaf age), we analyzed in detail the physico-chemical and structural properties of abaxial and adaxial holm oak leaf surfaces, focussing on trichomes. The structure and morphology of the adaxial and abaxial trichomes were similar to those described in previous holm oak leaf studies (e.g., Karabourniotis et al., 1998; Karioti et al., 2011; Camarero et al., 2012).

Trichome chemical composition and structure

331 The presence of trichomes in developing organs has been reported in previous studies (e.g., Karabourniotis et al., 1995). The adaxial and abaxial holm oak leaf side 332 333 performed differently in terms of wettability (Table I), as previously found for other plant species (e.g., Brewer and Smith, 1997; Fernández et al., 2014). We showed that 334 the hydrophobic character of the abaxial leaf side is clearly associated with the 335 roughness provided by the high density, chemical composition and structure of 336 337 trichomes. According to the classification suggested by Brewer et al. (1991) and Brewer 338 and Smith (1997) the abaxial oak leaf trichomes may belong to the "lifting strategy" 339 group, while further trials will required for characterizing the performance of liquid 340 water with the adaxial oak leaf side at a macroscopic level.

341 Leaf surface hydrophobicity has been often interpreted as either an adaptive trait to 342 dry climates (Holder, 2007a) or to wet environments (Holder, 2007b). The occurrence of trichome layers on the abaxial leaf surface may be considered as a xeromorphic 343 character which provides adaptive advantages mainly under biotic and abiotic stress 344 conditions (Karabourniotis et al., 1992; Karabourniotis and Bornman, 1999; 345 346 Liakopoulos et al., 2006). In spite of this, the relationship between leaf surface 347 hydrophobicity and the ecological conditions where a plant species is native to remains 348 controversial.

349 By measuring the contact angles of three liquids with different polarity, we gained 350 evidence for the wettable character of holm oak adaxial leaf surfaces. The increased 351 wettability and work-of-adhesion for water of the adaxial young leaf surfaces is 352 associated with the occurrence of hydrophilic trichomes, which interacted with polar 353 liquids (i.e., water and glycerol) immediately after drop deposition. The degradation of 354 adaxial trichomes after leaf immersion in cellulase showed that they were permeable to 355 water in contrast to the abaxial ones which were not altered by the enzymatic treatment. 356 The cuticular irregularities observed in the surface and base of the adaxial trichomes are

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likely to be the main uptake pathway for the cellulase solution, which finally led totrichome degradation.

359 The gradual extraction of cuticular components of the abaxial leaf surface trichomes 360 coupled to SEM observations, facilitated the quantification of cuticular constituents which amounted to 8% soluble waxes, 49% depolymerized material (likely cutin) and 361 43% residue (chiefly polysaccharides). The adaxial holm oak leaf trichomes were 362 363 largely found to have a higher proportion of cutin and a lower content of 364 polysaccharides as compared to the peach fruit trichomes analyzed by Fernández et al. 365 (2011; having 15% waxes, 19% cutin and 66% polysaccharides). Scanning and transmission electron microscopy observations also in relation to the chemical removal 366 367 of cuticular components showed that both the adaxial and abaxial trichomes are covered 368 with a cuticle, which seemed to be homogeneously distributed along the surface of the 369 more long-lasting, abaxial trichomes but appeared to develop heterogeneously over the cell wall of the adaxial trichomes of young holm oak leaves. Apart from being 370 371 hydrophilic, such trichomes fractured more easily. Moreover, trichome shedding left a 372 scar on the adaxial leaf surface, and the base of the abscised trichomes may represent a 373 site for water entry since the cuticle in this area was observed to be thinner and more 374 heterogeneous (Fig. 3, F and G). In addition, adaxial trichomes were often located in the vicinity of bundle sheath extensions (Fig. 3, F and G). Bundle sheath extensions may 375 376 facilitate the transport of water in such heterobaric leaves (Wylie, 1943), and may be 377 related to water economy (Nikolopoulos et al., 2002).

378 Leaf surface wettability, polarity, solubility parameter and work-of-adhesion

Measurement of water contact angles provides information about the wettability and retention of water drops by different leaf surfaces, and may serve as tool to classify species growing in contrasting environmental conditions (e.g., Brewer et al., 1991; Brewer and Nuñez, 2007; Holder, 2007a, b). Additional determinations of contact angles of liquids with different polarity (Fernández et al., 2011; Khayet and Fernández, 2012), facilitate the quantitative characterisation of plant surfaces and their solid-liquid interactions, in relation to the combined effect of surface chemistry and roughness.

The most abundant epicuticular waxes found on Q. *ilex* young leaves are *n*-alkyl esters, representing up to 56% of the total chloroform soluble waxes, followed by *n*primary alcohols (Martins et al., 1999). While an increased surface roughness due to pubescence (Fernández et al., 2011) seems again to have a major effect on leaf surface

wettability, the contribution of surface chemistry should also be considered, and is the 390 major factor affecting water-solid interactions in the adaxial surface of mature leaves 391 (rather flat and glabrous). The predominant role of surface chemistry in relation to the 392 adaxial, mature leaf side was supported by the solubility parameter value obtained 393 experimentally (approximately 16 $MJ^{1/2}$ m^{-3/2}), which is within the range calculated by 394 Khayet and Fernández (2012) for model epicuticular wax molecular structures (between 395 16 to 17 $MJ^{1/2}$ m^{-3/2}). In contrast, the dense indumentum of the lower leaf sides led to 396 extremely low solubility parameter values, which were even below those determined for 397 398 the almost super-hydrophobic juvenile Eucalyptus globulus leaf surface (Khayet and 399 Fernández, 2012). This may be due to the high level of surface roughness and to the 400 occurrence of air pockets within the trichome layers covering the abaxial leaf side (Fernández et al., 2011), which may be even denser when leaves are young. 401

402 Irrespective of leaf age, the upper leaf side had a higher surface free energy than the lower one, while polarity followed an inverse trend. The work-of-adhesion for water 403 404 provides a quantification of the degree of water drop adhesion or repellence to a certain 405 plant surface (Fernández et al., 2014). The work-of-adhesion for water was extremely high for the adaxial holm oak leaf surface as compared to the values previously obtained 406 407 for other pubescent or glabrous plant materials (Khayet and Fernández, 2012; Fernández 408 et al., 2014). The presence of trichomes in the adaxial side of the young holm oak leaves 409 additionally increased the adhesion of water drops to such surfaces. However, the lower 410 leaf sides had a degree of water drop repulsion similar to the one of the peach fruit (Fernández et al., 2011), but their work-of-adhesion for water was above the values 411 412 estimated for the even more water-repellent and unwettable, hairy, adaxial wheat leaf 413 surface (Fernández et al., 2014).

414 Leaf water uptake and ecophysiological implications

The ability to capture water via the leaf has been indirectly reported in species occurring in deserts (Martin and von Willert, 2000), tropical climates (Yates and Hutley, 1995), cloud-immersed mountain habitats (Berry and Smith, 2012; Berry et al., 2013, 2014) and in coastal mountain regions where fog is a significant climatic contributor (Burgess and Dawson, 2004). However, this is the first time when foliar water uptake has been analysed in strict physico-chemical terms, while recording significant water potential changes in response to leaf hydration.

Regardless of leaf age, we observed a markedly different performance of the adaxial 422 423 (wettable and retaining water drops) versus the abaxial (unwettable and water-repellent) 424 holm oak leaf surfaces when in contact with water drops. We have demonstrated that 425 the major wettability of the adaxial side of holm oak leaves allows for leaf rehydration both in terms of RWC and leaf water potential (Fig. 1). Drop adherence to the plant 426 surface is a pre-requisite for foliar uptake to occur (Fernández and Brown, 2013; 427 428 Fernández et al., 2014). Thereby, in the case of holm oak leaves, foliar absorption of 429 pure water (i.e., in the absence of surfactants) may only take place through the upper 430 leaf side to which water drops strongly adhere (having a high work-of-adhesion for water; Table III). In contrast, the repulsion of water drops by the abaxial leaf side will 431 432 impede the penetration of liquid water. The hydrophobic nature of the abaxial leaf 433 trichomes will ensure the occurrence of an air layer above stomatal pores, and hence 434 help to preserve an adequate gas exchange rate even under wet conditions.

435 The permeability of plant surfaces to water and solutes has been a matter of scientific 436 interest since the last century, but the mechanisms involved are still not fully characterised (Fernández and Eichert, 2009). Water deposited on to a leaf surface may 437 penetrate via stomata, the cuticle, cuticular cracks and irregularities, or through 438 439 specialised epidermal cells such as trichomes (Fernández and Brown, 2013). In the case 440 of holm oak adaxial leaf surfaces, trichomes and the remaining scars after trichome shedding may play a key role in water absorption. The high surface tension, polarity and 441 442 H-bonding capacity of water theoretically pose restrictions for the penetration of this 443 liquid through the cuticle (Guzmán et al., 2014 a,b) and also via stomata (Schönherr and 444 Bukovac, 1972; Burkhardt et al., 2012). The actual contribution of leaf trichomes to the 445 absorption of water and solutes remains unclear (Fernández et al., 2014). While some studies suggest that trichomes may actively participate in the uptake of foliar-applied 446 nutrient solutions (e.g., Benzing et al., 1976; Schlegel and Schönherr, 2002), and water 447 in Bromeliads (e.g., Pierce et al., 2001; Reyes-García et al., 2011), the contribution of 448 449 Phlomis fruticosa leaf trichomes to the absorption of water could not be clarified 450 (Grammatikopoulos and Manetas, 1994). A traditional problem when investigating on the mechanisms of foliar penetration is the occurrence of technical constraints 451 associated with optical and fluorescence microscopy and attempting to observe the 452 453 uptake of specific dyes and solutes by leaf surface micro- and nano-structures 454 (Fernández and Eichert, 2009). Fahn (1986) and more recently Burrows et al. (2013) 455 reported that leaf trichomes having a cutinised base would fail to take up the surfaceapplied dye solutions and to transport them into the leaf interior. While the base of the adaxial and abaxial holm oak leaf trichomes that we analysed was cutinised, when applying water drops on to the adaxial surface of young Q. *ilex* leaves we gained evidence for hydration as derived from the resulting leaf water potential increase. Our results suggest that there may be a major degree of variability among trichome structures and functions that may sometimes impede or facilitate the uptake of water.

Foliar water uptake due to natural phenomena such as fog or dew may be an
important mechanism of hydration in some areas of the world subjected to temporary
drought (e.g., Burgess and Dawson, 2004; Oliveira et al., 2005; Breshears et al., 2008;
Limm et al., 2009; Simonin et al., 2009; Limm and Dawson, 2010; Berry et al., 2013,
2014; Gotsch et al., 2014).

467 Holm oak is a species native to Mediterranean-type climates where summer drought 468 is imposed by a combination of high temperatures and low precipitation. In spite of the 469 lower precipitation level during this season, summer rainfall constitutes 24% of the 470 whole year precipitation (referring to the Iberian Peninsula; www.aemet.es). In this 471 season, short-term storms are the most common precipitation form, being up to 100% of 472 the fallen rain during July and August (Mosmann et al., 2004). While most of the water 473 falling after high precipitation summer storms is not available for plant roots due to 474 storm water run-off, low precipitation storms (below 1 mm) are considered negligible in 475 terms of soil water balance (Allen et al., 2000), the latter accounting for 54% of the total 476 summer rainfall (www.aemet.es). Therefore, direct water uptake by the foliage may 477 positively contribute to water economy of holm oak during the summer. Since the 478 beginning of a storm (high or low precipitation), the environmental conditions (air 479 temperature and humidity, and vapour pressure deficit (VPD) sharply change as shown in Figure 7 (data gathered with a Hobo Pro temp/RH (Onset Computer Bourne, MA, 480 USA) and a Rain Collector II (Davis Instruments, CA, USA)). The extreme VPD 481 482 reduction (close to 0 kPa, as simulated in our rehydration trials) provides optimal conditions for foliar water absorption, since the low VPD retards the evaporation of 483 484 water drops hence increasing the chance for water uptake by the foliage.

An additional important source for leaf water absorption for evergreen holm oak is the formation of dew due to condensation, which may occur all year round but may be especially relevant during the summer. This mechanism occurs on approximately 20% of summer days in the holm oak growing areas of the Iberian Peninsula (www.aemet.es). Of the surface condensation mechanisms described (Nourbakhsh,

1989) two can be expected to occur under field conditions: (i) film condensation on the 490 wettable adaxial leaf side, and (ii) dropwise condensation on the unwettable abaxial 491 surface. While film condensation may lead to direct foliar water uptake, dropwise 492 493 condensation will favour drop dripping either to the soil or to the foliage below, which may again turn available for foliar absorption. Finally, a further water deposition 494 phenomenon of limited relevance under Mediterranean conditions is the occurrence of 495 496 fog, in which the expected leaf wetting and water absorption mechanisms will be 497 similar to those described above for dew deposition.

It could be reckoned that adaxial leaf trichomes and scars may also contribute to water loss and leaf dehydration. However, the mechanisms of uptake of liquid water and loss probably as water vapour (Rockwell et al., 2014), and processes of water adsorption and desorption in the cuticle (Reina et al., 2001) may be different and will require further investigation. The functional advantage of water absorption by the upper leaf side is not linked to a higher cuticular conductance, since no statistical differences between adaxial and abaxial cuticular conductances were found.

505 In summary, in this study we analysed the water-leaf surface interactions of the 506 adaxial and abaxial leaf side of holm oak, a typical evergreen Mediterranean species. 507 While the adaxial trichomes present in young leaves were hydrophilic, the dense 508 indumentum of the lower leaf side led to a high degree of hydrophobicity. The upper holm oak leaf side of young and mature leaves were wettable and absorbed water, and 509 510 the uptake mechanisms have been related to the presence of trichomes and trichomes scars. It is concluded that trichome structure, chemical composition and function may 511 512 vary even for the same organ, but in relation to their occurrence in the abaxial versus the 513 adaxial leaf side. The water absorption capacity of holm oak leaves may be ecologically advantageous for competition under Mediterranean conditions and in relation to 514 different means of water precipitation (e.g., condensation, rain or fog) all year round, 515 especially during summer and when growing in soils with low water storage capacity. 516

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519 MATERIALS AND METHODS

520 Plant material

Quercus ilex L. seeds were planted in 500 ml containers filled with a mixture of 80% 521 522 substratum and 20% perlite and were kept in a greenhouse. Seedlings were transplanted to 25 L containers after the first growing season, and they were subsequently 523 transplanted to an experimental field plot (CITA, Zaragoza, Spain). Plants always grew 524 525 under typically Mediterranean environmental conditions and were irrigated when 526 necessary, avoiding also the incidence of pests and diseases. Ten-year-old trees were 527 finally selected for the development of this study. Shoots with undamaged, fully developed, 2-month old leaves with pubescent adaxial and abaxial sides (hereinafter 528 529 referred to as young leaves) were collected at early summer, whereas shoots having 8-530 month old leaves (hereinafter referred to as mature leaves) with a glabrous adaxial and a 531 pubescent abaxial surface were collected at late autumn.

532 Leaf surface water absorption

533 By mid-June, two holm oak twigs per tree from five different trees of Q. *ilex* were 534 cut and enclosed in plastic bags until measurement. The initial water potential (Ψ_{o}) and initial fresh weight (FW_0) were measured in three young shoots of each of the ten twigs 535 536 selected. Then, 2 mL of distilled water were carefully deposited on to: (i) the adaxial 537 side of the leaves of one of the shoots, and (ii) the abaxial side of the leaves of another shoot. For comparison, the third shoot was used as an untreated control shoot. 538 539 Thereafter, young shoots were enclosed in single plastic bags and stored in the dark at 540 room temperature (at approximately 20° C). After 24 h, the weight and water potential 541 were measured again in all shoots to obtain the final water potential (Ψ_f) and final fresh weight (FW_f). Then, shoots were dried in an oven (65° C, 24h) and they were weighed to 542 obtain the dry weight (DW). With the remaining young shoots of each twig not used in 543 this process, we obtained a relationship between shoot full saturation weight (turgid 544 weight, TW) and dry weight (DW). Afterwards, weight measurements were used to 545 546 calculate the relative water content (RWC) for each shoot as RWC = (FW-DW)/(TW-W)547 DW). A t-test paired sample comparison was used to compare leaf water potential and 548 relative water content before (Ψ_o , RWC_o) and after (Ψ_b , RWC_f) surface wetting. This 549 leaf surface water absorption process was repeated again at late autumn on mature leaves. 550

551 Cuticular conductance

Cuticular water losses were measured gravimetrically on fully rehydrated young and 552 mature leaves (Anfodillo et al., 2002). To differentially assess the transpiration loss of 553 adaxial and abaxial surfaces, silicon grease was applied on to the opposite surface to 554 555 seal it against water loss. After initially weighing the leaves, they were placed for 72 h 556 in a dark chamber with an electric fan ensuring air circulation, under constant humidity and temperature conditions (22.4 \pm 0.1 °C and 76.4 \pm 0.1 %). Cuticular conductance 557 (ms⁻¹) was calculated from the transpiration data and according to Anfodillo et al. 558 (2002). 559

560 Quantitative and qualitative estimation of chemical components of holm oak 561 trichomes

562 Adaxial and abaxial trichomes of young holm oak leaves were mechanically isolated by gently scraping the leaf surfaces with a scalpel. Trichomes were subjected to the 563 564 successive removal of soluble cuticular lipids and cutin, while performing simultaneous 565 Fourier transformed infrared spectra (FTIR) analyses using a Nexus 670-870 NICOLET 566 FTIR spectrometer (Thermo Fisher Scientific, Waltham, USA; transmission mode, 3850 to 850 cm⁻¹ with 4 cm⁻¹ resolution, and accumulating 64 scans). For FTIR, samples were 567 ground with 1% potassium bromide, and thin tablets were subsequently formed and set 568 into the apparatus. A blank corresponding to the spectrum of potassium bromide was 569 also recorded and substracted from sample spectra. For chemical removal, hairs were 570 first immersed in chloroform for 4 h, and then cutin was depolymerized by 571 saponification in 1M sodium hydroxide (KOH) for 24 h under reflux conditions. 572 Percentages of each chemical fraction (soluble waxes, cutin and remaining residue) 573 574 were calculated according to the corresponding weight loss.

Additionally, young holm oak leaves were enzymatically digested for four and seven
days in either 2% cellulase or 2% pectinase (both from Novozymes, Bagsvared,
Denmark) plus 2 mM sodium azide, adjusting the pH to 5.0 by adding sodium citrate.

578 Microscopy

Gold-sputtered intact and enzymatically digested adaxial and abaxial holm oak leaf
surfaces were examined with a variable pressure scanning electron microscope (SEM;
Hitachi S-3400 N, Tokyo, Japan; acceleration potential, 20 kV; working distance, 15-17

582 mm). Changes in adaxial and abaxial isolated trichome structure were analysed in intact
583 hairs, and after soluble lipid extraction and alkaline hydrolysis.

For transmission electron microscopy (TEM) Samples were fixed in 2.5% 584 585 glutaraldehyde-4% paraformaldehyde (both from Electron Microscopy Sciences (EMS), Hatfield, USA) for 6 h at 4°C, rinsed in ice-cold phosphate buffer, pH 7.2, four times 586 within a period of 6 h and left overnight. Tissues were then post-fixed in a 1:1 2% 587 588 aqueous osmium tetroxide (TAAB Laboratories, Berkshire, UK) and 3% aqueous 589 potassium ferrocyanide (Sigma-Aldrich) solution for 1.5 h. Samples were then washed 590 with distilled water (x3), dehydrated in a graded series of 30, 50, 70, 80, 90, 95 and 100% acetone (x2, 15 min each concentration) and embedded in acetone-Spurr's resin 591 592 (TAAB Laboratories) solutions (3:1, 2h; 1:1; 2h; 1:3; 3h (v:v)) and in pure resin 593 overnight at room temperature. Final embedding was done in blocks which were 594 incubated at 70°C for 3 days for complete polymerization. Prior to TEM observation, 595 sections were post-stained with Reynolds lead citrate (EMS) for 5 min.

596 Thin, cryo-sectioned leaf tissues (30 μ thick) were observed with a Olympus BX40 597 fluorescence microscope. Transversal sections were examined by either visible light transmission or under UV excitation (emission of blue fluorescence by simple phenols 598 599 and lignin) after immersion in 10% (w:v) KOH for 2 min, followed by a thorough 600 distilled water rinse. A U-MWU filter combination (exciter filter 330-385 nm, barrier 601 filter 420 nm) was used. Leaf sections were also stained with Sudan IV. Microphotographs were taken using a Olympus DP71 digital camera (Olympus 602 603 Corporation, Tokyo, Japan).

The average number of trichome arms, arm length, arm diameter, trichome densities, and leaf area covered with hairs were assessed by image analysis of adaxial and abaxial SEM micrographs (ImageJ 1.45s, W.R., National Institutes of Health, Bethesda, Maryland, USA).

608 **Contact angle determinations and leaf surface properties**

Advancing contact angles (θ) of drops of double-distilled water, glycerol and diiodomethane (both 99% purity; Sigma-Aldrich) were measured at room temperature (25°C) using a CAM 200 contact angle meter (KSV Instruments, Helsinki, Finland) equipped with a CCD camera, frame grabber and image analysis software. Contact angles were determined on adaxial and abaxial surfaces of intact, young and mature leaves. After removing the midrib and margins, leaf sections of approximately 2 x 0.5

cm² were cut with a scalpel and mounted on a microscope slide with double-sided 615 adhesive tape. Two µL drops of each liquid were deposited onto the adaxial or abaxial 616 holm leaf surfaces with a manual dosing system holding a 1 mL syringe with 0.5 mm 617 diameter needle (30 repetitions). Side view images of the drops were captured at a rate 618 of 6 frames s⁻¹. Contact angles were automatically calculated by fitting the captured 619 drop shape to the one calculated from the Young-Laplace equation. For mature leaves 620 621 and all abaxial leaf surfaces, θ for all the liquids were measured shortly after drop 622 deposition. On young adaxial surfaces, however, the θ of water and chiefly glycerol 623 were initially high and decreased over time. Hence, θ values were recorded immediately after drop deposition and also when they were static (taking approximately 15 sec for 624 625 water and 10 min for glycerol).

For all the surfaces evaluated, the total surface free energy or surface tension (γ) and its components, i.e. the Lifshitz-van der Waals (γ^{LW}) and acid-base (γ^{AB} ; γ^+ and γ^-) components, as well as the work-of-adhesion for the three liquids and the solubility parameter were calculated, considering the surface tension components of water (γ^{LW} = 21.8 mJ m⁻², $\gamma^+ = \gamma^- = 25.5$ mJ m⁻²), glycerol ($\gamma^{LW} = 34.0$ mJ m⁻², $\gamma^+ = 3.92$ mJ m⁻², $\gamma^- =$ 57.4 mJ m⁻²) and diiodomethane ($\gamma^{LW} = 50.8$ mJ m⁻², $\gamma^+ = \gamma^- = 0$ mJ m⁻²) (Fernández et al., 2011; Khayet and Fernández, 2012).

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865

Table I. Contact angles of water (θ_w) , glycerol (θ_g) and diiodomethane (θ_d) with the

Lasfaga	θ	_w (°)	θ_{g}	(°)	θ_{d} (°)		
Leal age	Adaxial	Abaxial	Adaxial	Abaxial	Adaxial	Abaxial	
Young	40.7±3.8	130.1±6.5	50.2±5.1	141.4±4.4	56.6±4.9	128.4±6.5	
	91.1 ± 6.0^{i}		143.5 ± 6.0^{i}				
Mature	55.5±5.1	134.3±10.1	68.6±5.9	142.5±3.9	59.1±4.1	119.3±10.2	

adaxial and abaxial surface of young and mature holm oak leaves

ⁱInitial θ of H₂O and glycerol when deposited on to the adaxial young leaf surfaces.

867 The remaining θ values were recorded when the drops were stable and did not vary

868 when deposited on to the surfaces.

Acta-base component (γ), total surface free energy (γ) and surface potantity (γ - γ) of									
the adaxial and abaxial surface of young and mature holm oak leaves									
Leaf side	γ ^l (mJ	⊥w m ⁻²)	γ (mJ	ав m ⁻²)	(mJ	γ m ⁻²)	γ ^{AI} (%	$^{3}\gamma^{-1}$	
	Young	Mature	Young	Mature	Young	Mature	Young	Mature	

0.55

2.45

43.05

4.24

29.66

5.76

29.04

57.02

1.85

42.53

Table II. Surface free energy per unit of area. Lifshitz van der Waals component (γ^{LW}) , Acid-base component (γ^{AB}) , total surface free energy (γ) and surface polarity $(\gamma^{AB} \gamma^{-1})$ of the adaxial and abaxial surface of young and mature holm oak leaves

871

Adaxial

Abaxial

30.55

1.82

29.11

3.31

12.50

2.42

872

873

Table III. Solubility parameter (δ) and work-of-adhesion for water $(W_{a,w})$, glycerol $(W_{a,g})$ and diiodomethane $(W_{a,d})$ and solubility parameter (δ) of intact, adaxial and abaxial surfaces of young and mature holm oak leaves

Leaf age	$W_{a,w}$ (mJ m ⁻²)		W _{a,g} (mJ m ⁻²)		W _{a,d} (mJ m ⁻²)		$\delta (MJ^{1/2} m^{-3/2})$	
-	Adaxial	Abaxial	Adaxial	Abaxial	Adaxial	Abaxial	Adaxial	Abaxial
Young	127.99	25.93	104.98	14.00	78.79	19.25	20.85	3.67
Mature	114.04	21.99	87.40	13.25	76.91	25.94	15.77	4.62

877 FIGURES LEGENDS

878

Figure 1. Leaf water potential (ψ) and relative water content (RWC) for young (A,

- 880 C) and mature (B, D) shoots of *O. ilex* before (grey bars) and after (black bars)
- surface wetting. AdW = leaf adaxial wetting; AbW = leaf abaxial wetting; NW = no
- wetting. Significant difference before and after surface wetting were found in all cases.
- 883

Figure 2. SEM micrographs of intact adaxial and abaxial holm oak leaf surfaces.
(A, B) Adaxial and abaxial sides of young leaves, (C, D) adaxial and abaxial sides of
mature leaves.

887

888 Figure 3. Optical and transmission electron microscopy micrographs of intact 889 adaxial and abaxial surfaces of holm oak young (A to F) and mature (G) leaves. 890 (A) transversal section of a young leaf stained with Sudan IV, (B) adaxial leaf trichome, (C) abaxial leaf trichome, (D) base of an adaxial leaf trichome observed by TEM, (E) 891 892 base of an abaxial leaf trichome observed by TEM, (F) detail of scar on a young, adaxial 893 leaf surface after trichome shedding, and (G) detail of two scars on a mature, adaxial 894 leaf surface after trichome shedding. Note that the bases are near the bundle sheath extension 895 (dark blue).

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Figure 4. FTIR spectra of isolated (A), dewaxed (B), and cutin depolymerized (C)
abaxial holm oak leaf trichomes.
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- 900

Figure 5. Isolated trichomes of adaxial and abaxial holm oak leaf surfaces after
gradual extraction of chemical components. (A, B) intact trichomes, (C, D) trichomes
after chloroform extraction, and (E, F) trichomes after cutin depolymerization.

- 904
- 905 Figure 6. Effect of cellulose hydrolysis on holm oak adaxial (A, B, C) and abaxial
- 906 (D) leaf surfaces. (A) intact, adaxial leaf surface, (B) adaxial leaf surface after 4 days in
- 907 cellulase solution, (C) adaxial leaf surface after 7 days in cellulase solution, and (D)
- abaxial leaf surface after 7 days in cellulase solution.
- 909

- 910 Figure 7. Hourly evolution of Temperature (T), Relative Humidity (RH) and
- 911 Vapor Pressure Deficit (VPD) during five consecutive summer days in a typically
- 912 Mediterranean holm oak area. The gray area indicates a precipitation event lower
- 913 than 1 mm.



Figure 1. Leaf water potential (ψ) and relative water content (RWC) for young (A, C) and mature (B, D) shoots of Q. *ilex* before (grey bars) and after (black bars) surface wetting. AdW = leaf adaxial wetting: AbW = leaf Downleaded from www.plantphysiol.org on June 20, 2014 - Published abaxial wetting; NW = no wetting. Specific and deficience bettor clant dializes. All rights surface wetting were found in all cases.



Figure 2. SEM micrographsoft/intactradaxiabanch/abaxiab bolmooradk/leafblished by ww surfaces. (A, B) Adaxial and abaxial sides of young reaves, (C, B) adaxial and abaxial sides of mature leaves.



Figure 3. Optical and transmission electron microscopy micrographs of intact adaxial and abaxial surfaces of holm oak young (A to F) and mature (G) leaves. (A) transversal section of a young leaf stained with Sudan IV, (B) adaxial leaf trichome, (C) abaxial leaf trichome, (D) base of an adaxial leaf trichome observed by TEM, (E) base of an abaxial leaf trichome observed by TEM, (F) detail of scar on a young, adaxial leaf surface after trichome shedding. Note that the bases are near the bundle sheath extension (dark blue).



Figure 4. FTIR spectra of isolated (A), dewaxed (B), and cutin depolymerized (C) abaxial holm oak leaf trichomes.



Figure 5. Isolated trichomes of adaxial and abaxial holm oak leaf surfaces after gradual extraction of chemical

components. (A, B) intact trichomes (C, D) trichomes after chloroform extraction, and (E, F) the provide a set of the provided from Society of Plant depolymerization.



Figure 6. Effect of cellulose hydrolysis on holm oak adaxial (A, B, C) and abaxial (D) leaf surfaces. (A) intact, adaxial leaf surface, (B) adaxial leaf surface after 4 days in cellulase solution, (C) adaxial leaf surface after by real to by real to by the antibility solution of the cellulase solution.



Figure 7. Hourly evolution of Temperature (T), Relative Humidity (RH) and Vapour Pressure Deficit (VPD) during five consecutive summer days in a pownloaded from www.plantphysiol.org on June 20, 2014 - Published by typically Mediterranean holmcopyadet care a American Secrety of area Biologises a cost gives for precipitation event lower than 1 mm.