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4	"Low-cost" initial burst of root development in whole Fagus crenata seedlings: The key to survival?
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25 Abstract

26Terrestrial plants are rooted in one place, and therefore their metabolism must be flexible to adapt to continuously changing environments. This flexibility is probably influenced by the 27divergent metabolic traits of plant organs. However, direct measurements on organ-specific 28metabolic rates are particularly scarce and little is known about their roles in determining 29whole-individual meatabolism. To reveal this on seedlings of Fagus crenata, which is one of the 30 31 most widespread dominant genus in temperate deciduous broad leaf forests in the circum-polar Northern Hemisphere, we measured respiration, fresh mass and surface area for total leaves, stems 3233 and roots of 55 individuals in two years from germination and analyzed their relationships with 34 individual metabolism. Proportion of roots to whole plant in mass increased from approximately 17% to 74%, and that in surface area increased from about 11% to 82% in the two years. 35 Nonetheless, the increment of the proportion of root respiration to whole-plant respiration was from 36 9.2% to only 40%, revealing that the increment in mass and surface area of roots was much larger 37 than the increment in energetic cost. As a result, only the roots showed a substantial decline in both 38respiration/surface area and respiration/mass among the three organs; roots had about 90% decline in 39 40 their respiration/surface area, and 84% decline in their respiration/mass, while those in leaves and 41 stems were relatively constant. The low-cost and rapid root development is specific to the two years after germination and would be effective for avoiding water and nutrient deficit, and possibly helps 42seedling survival. This drastic shift in structure and function with efficient energy use in 43developmental change from seeds to seedlings may underpin the establishment of F. crenata forests. 44We discuss significance of lowering energetic cost for various individual organisms to effectively 4546 acquire resources from a wide perspective of view.

47

48 Introduction

49

Individual metabolism is a fundamental process that transforms energy and materials to

50 support various biological processes as a base for adaptation to changing environments [1,2].
51 Therefore, the metabolic rate has profound physiological, ecological and evolutionary implications
52 [3,4], which would be a key to understand and predict the effects of climate change on organisms
53 and ecosystems [1,2].

54 In general, the metabolic rate (i.e. respiration rate, R) of individual organisms scales with body 55 size (X), and is usually described as the simple power function of body mass:

 $R = aX^b \#(\mathbf{1})$

where *a* is a normalization constant, and *b* is the scaling exponent (slope on the log-log coordinates) 57[5–7]. The equation (1) represents the emergent outcomes of the metabolism of individuals under 5859various constraints [2,8,9]. Therefore, to obtain a mechanistic insight into the regulation of scaling of metabolic rate, we need empirical evidence of whole-organism measurements. However, little is 60 known about the relationships between metabolic rate and body size with the reliable data from small 61to giant individuals [10]. This is because most of the studies on metabolic scaling have been based on 62 indirect evidence and aimed to construct theoretical models to explain the exponent b, which has 63 widely been assumed to be 3/4 as suggested by the WBE model [5–9]. The size scaling of individual 64 65organisms results from the sum of differentiated organs with distinctive functions and structure, each 66 one showing contrasting responses under changing environments [11-13]. Therefore, evaluating metabolic rate of each organ is crucial to understand the scaling of metabolism associating with body 67 68 size, namely mass or surface area.

Terrestrial plants are supposed to adapt to various environments by adjusting the biomass partitioning among organs, as typically shown in the root/shoot ratio [11–17]. To date, the optimal partitioning theory has mainly evaluated allocation between shoot and roots in mass. It suggests that plants should allocate more biomass to the shoot when limiting resource is carbon and to the roots when limiting resource is water or nutrient [11,17]. However, in spite of the significant implication of metabolic rate, few studies have compared metabolic rate of shoot (leaves + stems) and roots at

the whole-plant level [18]. The comparison of respiration rate between shoot and roots, with measurement of organ-specific respiration at the individual level, would provide a new insight into the energy partitioning and would progress our understanding about whole-plant adaptation for resource acquisition.

The purpose of this study is to understand the processes of establishment of individual 79seedlings of Fagus crenata under varying environments on the basis of the partitioning theory 80 81 [11,17], considering the allocation of individual mass, surface area, and energy to the different organs. Fagus is one of the most widespread dominant genus in temperate deciduous broad leaf 82 forests in the circum-polar Northern Hemisphere [19–21]. Under low light conditions, Fagus 83 seedlings are characterized by high mortality in current year of germination, which is called as 84 bottleneck phase [22–26]. We hypothesize that the effective adaptation of seedlings for survival 85 beyond the bottleneck phase is largely dependent on the partitioning of energy within each 86 individual. To test this assumption, we need size-scaling of respiration that cover seedlings in 87 current-year of germination and 1-year old. 88

Here, we show the respiration rates of total leaves, stems, and roots of various sized seedlings 89 90 and size-scaling of organ-specific respiration to discuss the shift of the partitioning of energy within 91individuals during the two years after germination. To date, little is known about the whole-plant physiology of Fagus seedlings in the bottleneck phase. Our empirical study on the partitioning of 92energy would clarify how seedlings survive over the bottleneck phase, which would greatly affect 93 the population dynamics of forest in the circum-polar Northern Hemisphere under changing 94environment [14,26,27]. Finally, we discuss efficient energy use in divergent individual organisms to 95effectively acquire resources. 96

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98 Materials and methods

99 Ethics statement

100 Our study included fieldwork activities for collecting *F. crenata* seeds and seedlings, and were 101 conducted in Japanese National Forest. The field work was permitted by the Shonai District Forest 102 Office and did not involve any endangered or protected species.

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Seed collection and plant materials

The measurements were performed on current-year (n = 46) and 1-year-old (n = 9) seedlings of *F. crenata*, raised from seeds in pots outside the Yamagata University Tsuruoka Campus (38°73'N, 139°82'E). The seeds were collected from a mature forest of *F. crenata* in Tsuruoka (38°30'N, 139°57'E), Yamagata prefecture in 2015 and 2016 to prepare 1-year-old and current-year seedlings, respectively.

The pots were filled with commercially available Kanuma pumice mixed with leaf mold, and kept in a place with sufficient natural light and well-watered. We conducted every measurement at the whole-plant level, using total leaves (including cotyledon), stems, and roots. The whole-plant fresh mass ranged between 41.2×10^{-5} and 23.5×10^{-3} (kg) from the smallest current-year seedling to the largest 1-year-old individual, as compiled in Table 1.

115

Table 1. Compilation of the minimum and maximum values of fresh mass, surface area, and respiration of whole plant, roots, leaves, and stems at the individual level among all seedlings (n = 55).

	Fresh mass			Surface area			Respiration rate		
	(×10 ⁻⁵ kg)			$(\times 10^{-4} \text{ m}^2)$			$(\times 10^{-4} \ \mu mol \ sec^{-1})$		
	min.	max.	max./min.	min.	max.	max./min.	min.	max.	max./min.
Whole plant	41.2	2350	57.0	23.6	1210	51.3	8.70	131	15.1
Roots	7.04	1730	246	2.54	998	393	0.800	52.6	65.8
Leaves	19.3	172	8.91	19.2	185	9.64	4.60	53.3	11.6
Stems	8.52	480	56.3	1.43	31.8	22.2	1.10	42.3	38.5

119 Every measurement is including both current-year and 1-year-old seedlings.

120

121 Measurement of respiration rates and surface area

We separated the seedlings into leaves, stems, and roots, and enclosed them separately in 122123custom-made chambers (80 or 160 cm³), promoting air circulation within the chamber using a DC fan. We confirmed that the separation did not have an effect on the measured values of whole-plant 124respiration, as reported by Mori et al. [10]. Increment rates of CO₂ concentrations within the closed 125air-circulation system were measured every 5 seconds using an infrared CO₂ analyzer (GMP343, 126Vaisala, Helsinki, Finland), and normalized to 20° C assuming a standard $Q_{10} = 2$. During the 127128measurements, we kept the plant materials wrapped in wet paper to prevent transpiration, and the measurements were taken within 20 minutes of the excavation for each seedling. 129

Leaf surface area was measured with an area meter (LI-3100C, LICOR, Lincoln, NE, USA), and stem surface area was determined as sum of the surface area of stem sections, following a cylindrical approximation from their diameter and length. Roots were scanned at 800 dpi resolution with a flatbed scanner (Epson Perfection V800, Seiko Epson, Japan), followed by the measurements of root surface area with image analytical software (WinRhizo, Regent Instruments, Quebec, Canada).

136

137 Data analysis

138We fitted the respiration-fresh mass and respiration-area scaling relationships using a simple-power function on log-log coordinates, based on reduced major axis regression (RMA) [28] 139140 of the log transformed version of equation (1), using all the measurement data of the 55 seedlings from current-year to 1-year-old. We also analyzed the size-scaling values for surface area in relation 141142to fresh mass (based on RMA) for organs, and their respiration per unit mass and surface area in 143relation to whole-plant mass (based on ordinary least squares regression, OLS) on the log-log 144coordinates. In the analysis for the size-scaling of respiration per unit mass and surface area, OLS analysis performed adequately rather than RMA. All of the data used for the regression analysis 145146were compiled in S1 File.

147

148 **Results**

149 Whole-plant respiration

150Whole-plant respiration reflects individual adaptation as an integrated use of energy partitioned to leaves, stems, and roots. To consider the total energy use of individuals, we showed 151152relationships of whole-plant respiration (*R*; μ mol s⁻¹) to fresh mass (*M*; kg) and surface area (*S*; m²) of the seedlings from current-year to 1-year old on log-log coordinates. We fitted them with a simple 153power function (1) (Fig. 1, Table 2). Astonishingly, there was no significant difference between the 154exponents for the scaling of R to M (b = 0.647, 95% CI of b: 0.584 to 0.702, $r^2 = 0.898$; Fig.1a) and 155that of R to S (b = 0.685, 95% CI of b: 0.625 to 0.738, $r^2 = 0.892$; Fig. 1b). The b = 0.647 for R to M 156was closer to $2/3 \approx 0.667$, being significantly different from the b = 3/4 = 0.75 that was predicted by 157158the WBE model.

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Fig. 1 Relationship between whole-plant respiration and (a) whole-plant fresh mass and (b)
 whole-plant surface area. Each point is an individual seedling of current-year (filled, n = 46) and

- 162 1-year-old (open, n = 9). An exponent *b* (with 95% confidence interval) is the RMA slope fit to all
- 163 points in each relationship.
- 164

165 Table 2. Scaling of respiration rate (μmol sec⁻¹) of whole plant, roots, leaves, and stems with

166 their fresh mass (kg) and surface area (m²) fitted by equation (1).

Independent variable		Exponent (b)	95% CI of b	Normalization constant (<i>a</i>)	95% CI of a	r ²
	Mass	0.647	0.584, 0.702	0.185	0.122, 0.258	0.898
Whole plant	Surface area	0.685	0.625, 0.738	0.0637	0.0487, 0.0807	0.892
	Mass	0.712	0.641, 0.774	0.158	0.0913, 0.247	0.917
Roots	Surface area	0.631	0.588, 0.675	0.0288	0.0228, 0.0359	0.944
	Mass	1.09	0.977, 1.20	6.37	2.69, 13.9	0.792
Leaves	Surface area	1.12	0.921, 1.27	0.598	0.203, 1.27	0.739
	Mass	0.811	0.756, 0.875	0.292	0.187, 0.490	0.883
Stems	Surface area	0.972	0.896, 1.06	0.791	0.464, 1.54	0.879

In all regression, number of observation = 55, using RMA on log-log coordinates (for all cases, P <
0.001).

169

170 **Respiration of organs**

To see how organ-specific respiration contribute to the size-scaling of *R* shown in Fig. 1, we analyzed the size-scaling values for area in relation to mass (Fig. 2), and evaluated the relationships between respiration of roots, leaves, and stems (R_R , R_L , R_S ; µmol s⁻¹) and their fresh mass (M_R , M_L ,

174 $M_{\rm S}$; kg) and surface area ($S_{\rm R}$, $S_{\rm L}$, $S_{\rm S}$; m²) separately, at the whole-organ level (Fig. 3, Table 2).

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Fig. 2 Relationships between surface area and fresh mass in plant organs at the whole-organ level. Each point is total leaves (diamonds, n = 55), stems (triangles, n = 55), and roots (circles and squares, n = 97) of current-year (filled) and 1-year-old seedlings (open). Roots depicted by squares (n = 42) were obtained from current-year seedlings (n = 16) and 1-year-old seedlings (n = 26) that were not used for respiration measurement. An exponent *b* (with 95% confidence interval) is the RMA slope of equation (1) fit to all points in each organ-specific relationship on log-log coordinates.

Fig. 3 Relationships between respiration and (a) fresh mass and (b) surface area in plant organs. Each point is total leaves (diamonds), stems (triangles), and roots (circles) of the individual seedling in Fig. 1 of current-year (filled, n = 46) and 1-year-old (open, n = 9). An exponent *b* (with 95% confidence interval) is the RMA slope fit of equation (1) to all points (n = 55) in each relationship.

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189The roots had a 246-fold range in mass (M_R) and 393-fold range in surface area (S_R), revealing that S_R-M_R relationship showed a significantly positive allometry, as shown in Fig. 2. On the other 190 191hand, root respiration $(R_{\rm R})$ varied within only 65.8-fold range, providing significantly low b values for the size-scaling of R_R to M_R (b = 0.712, 95% CI of b: 0.641 to 0.774, $r^2 = 0.917$; Fig. 3a) and R_R 192to $S_{\rm R}$ (b = 0.631, 95% CI of b: 0.588 to 0.675, r² = 0.944; Fig. 3b). Although the difference of b 193values was not significant, the exponent b of R_R to S_R was relatively lower than that of R_R to M_R , 194indicating that the increase in S_R was more efficient than that in M_R . This seems to represent an 195196 energetically efficient growth to effectively enhance water acquisition by increasing absorptive 197 surface area with minimum carbon cost.

198 The leaves showed an 8.91-fold range of mass (M_L) and 9.64-fold range of surface area (S_L) ,

providing nearly isometric scaling of S_L-M_L relationship in Fig. 2. Further, the 11.6-fold range of leaf of respiration (R_L) was close to that of M_L and S_L . Consequently, we found that leaves had isometric scaling for both relationships of R_L to M_L (b = 1.09, 95% CI of b: 0.977 to 1.20, $r^2 = 0.792$; Fig. 3a) and R_L to S_L (b = 1.12, 95% CI of b: 0.921 to 1.27, $r^2 = 0.739$; Fig. 3b).

The stems had a 56.3-fold variation in mass (M_S) and 22.2-fold range of surface area (S_S), revealing a negative allometric scaling of the S_S-M_S relationship in Fig. 2. Respiration (R_S) showed a 38.5-fold range, which was smaller than the range of M_S , but larger than that of S_S . Consequently, the scaling of R_S to M_S was negatively allometric (b = 0.811, 95% CI of b: 0.756 to 0.875, r² = 0.883; Fig. 3a), whereas the scaling of R_S to S_S was nearly isometric (b = 0.972, 95% CI of b: 0.896 to 1.06, r² = 0.879; Fig. 3b).

209

Respiration per unit mass and surface area of organs

211We evaluated the respiration rate (energetic cost) per unit mass and surface area of organs, as related to total individual mass (M) on the log-log coordinates, fitting the relationships with a simple 212power function (1) (Fig. 4 and Table 3). For the roots, both R_R/M_R (b = -0.456, 95% CI of b: -0.541 213to -0.381, $r^2 = 0.751$; Fig. 4a) and R_R/S_R (b = -0.575, 95% CI of b: -0.642 to -0.497, $r^2 = 0.781$; Fig. 2142154b) decreased with increasing M, resulting in the steepest change among the three organs. Conversely, for the leaves both $R_{\rm I}/M_{\rm I}$ (*b* = 0.00415, 95% CI of *b*: -0.0470 to 0.0516, r² = 0.000379; 216Fig. 4a) and $R_{\rm L}/S_{\rm L}$ (b = -0.00695, 95% CI of b: -0.0720 to 0.0511, r² = 0.000846; Fig. 4b) were 217almost constant, regardless of M. Finally, for the stems R_S/M_S declined with increasing M (b = 218-0.242, 95% CI of b: -0.309 to -0.167, r² = 0.460; Fig. 4a), but R_S/S_S was independent of M (b = 219220-0.0644, 95% CI of b: -0.135 to 0.0216, r² = 0.0511; Fig. 4b).

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Fig. 4 (a) Respiration/fresh mass and (b) respiration/surface area of plant organs in relation to whole-plant fresh mass. Each point is total leaves (diamonds), stems (triangles), and roots (circles)

of the individual seedling in Fig. 1 of current-year (filled, n = 46) and 1-year-old (open, n = 9). Lines are OLS regression line of equation (1) fit to all points in each relationship, and details of the regression analysis are compiled in Table 3.

227

228 Table 3. Scaling of respiration per unit mass (μmol sec⁻¹ kg⁻¹) and per unit surface area (μmol

229 sec⁻¹ m⁻²) of roots, leaves, and stems (n = 55) with whole-plant mass fitted by equation (1).

Dependent	Exponent	050/CL of h	Normalization	050/CL of a	r ²
variable	<i>(b)</i>	93% CI 01 <i>0</i>	constant (<i>a</i>)	95% CI 01 a	
$R_{ m R}/M_{ m R}$	-0.456	-0.541, -0.381	0.0708	0.0389, 0.109	0.751
$R_{\rm R}/S_{\rm R}$	-0.575	-0.642, -0.497	0.00565	0.00380, 0.00930	0.781
$R_{ m L}/M_{ m L}$	0.00415	-0.0470, 0.0516	3.25	2.35, 4.24	0.000379
$R_{\rm L}/S_{\rm L}$	-0.00695	-0.0720, 0.0511	0.300	0.200, 0.425	0.000846
$R_{\rm S}/M_{\rm S}$	-0.242	-0.309, -0.167	0.285	0.189, 0.458	0.460
$R_{\rm S}/S_{\rm S}$	-0.0644	-0.135, 0.0216	0.652	0.405, 1.12	0.0511

In all regression, number of observation = 55, using OLS on log-log coordinates.

231

These results indicate that only roots show a significant decline in the energetic cost per unit surface area as well as per unit mass with increasing whole-plant mass. On the regression line, the R_R/S_R declined about 90% and the R_R/M_R declined about 84%, indicating that energetic cost per unit surface area declined more rapidly than that per unit mass.

236

237 Partitioning of individual mass, surface area, and respiration to organs

The scaling of whole-plant respiration is determined by 1) the relative contribution of each organ to total mass and surface area, and 2) the organ-specific respiration per unit mass and surface area. Table 1 shows the maximum and minimum values of respiration, mass, and surface area of

organs in the seedlings from current-year to 1-year old. It indicates that the proportion of roots to whole plant increased greatly both in mass and surface area with increasing M; the proportion of roots to whole plant in mass increased from approximately 17% (7.04/41.2) to 74% (1730/2350) and that in surface area increased from about 11% (2.54/23.6) to 82% (998/1210). Nonetheless, the increment of the proportion of root respiration was from 9.2% (0.800/8.70) to only 40% (52.6/131), revealing that the increment in the proportion of roots to whole plant is much more larger in mass and surface area than in energetic cost.

These results revealed that the similarity between the R-M (Fig. 1a) and R-S (Fig. 1b) relationships in their scaling exponents (Table 2) was largely due to the equally significant increase in the proportion of root mass and area. Hence, the combined effect of increasing root proportion and the decreasing mass- and area-specific respiration in the roots induced the negative allometry in the scaling of whole-plant respiration.

253

254 **Discussion**

Role of low-cost initial burst of root development

The significantly negative allometry of R_R to S_R (Fig. 3b) that provided the drastic decrease in 256 $R_{\rm R}/S_{\rm R}$ (Fig. 4b) indicate that the root development in two years after germination is energetically 257efficient and effective for enhancing water and nutrient acquisition with minimum energy cost. The 258decrese in the energetic cost at root surface area (R_R/S_R) is probably mainly due to consumption of 259260energy reserves in seeds. During the initial growth stage after the exhaustion of energy reserves, the 261photosynthetic performance remains lowest among whole life stages [29-31], and gradually 262increases accompanied by accumulation of nutrient with leaves thickening [29]. Therefore, the low-cost burst in root development seems to be reasonable and indispensable process for seedlings to 263induce the increase in photosynthesis. At the same time, this process would help to avoid water and 264nutrient deficit of tiny seedlings. 265

266

267 Ontogenetic shift in root/shoot ratio from seedlings to mature trees

Our study suggests the need for further work at the whole-plant level up to mature trees, to 268269clarify the role of low-cost rapid root development, beyond the initial seedling stage. Figure 5 depicts 270the relationship between root/shoot ratio in mass $(M_R/M_{Shoot} = M_R/(M_L + M_S))$ and whole-plant mass 271(M) of F. crenata from seedlings to mature trees (n = 346, compiled in S1 File), including the materials in this study (n = 55) and pot- (n = 178) and field-grown (n = 113) individuals from our 272prior work [10,32]. This figure also shows root/shoot ratio in respiration $(R_R/R_{Shoot} = R_R/(R_L + R_S))$ 273for the 55 current-year and 1-year-old seedlings, and indicates that their M_R/M_{Shoot} increases much 274greater than $R_{\rm R}/R_{\rm Shoot}$ with increasing M. 275

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Fig. 5 Plot of root/shoot ratios against whole-plant mass of *Fagus crenata* from germination to mature trees. The total plot number of the root/shoot ratio in mass is 346 (compiled in S1 File). Red and blue symbols represent the root/shoot ratio of the 55 seedlings in mass and respiration, respectively (circles; current-year seedlings; n = 46, triangles; 1-year-old seedlings; n = 9). Filled and open diamonds represent root/shoot ratio in mass of pot-grown (n = 178) and field-grown (n = 113) individuals, respectively that were obtained from our prior work.

283

As to M_R/M_{Shoot} , the rapid increment is specific of the seedling stage, but after that, it gradually declines with size (and presumably age) in both pot- and field-grown individuals. The decrease in M_R/M_{Shoot} after the seedling stage should coincide with the gradual increase in photosynthetic performance during ontogenetic transition [29–31], entailing a decrease in R_R/R_{Shoot} . Since measurements were performed in healthy individuals, it is probable that the individuals that did not reach high M_R/M_{Shoot} during the first year after germination had already died. Therefore, keeping low M_R/M_{Shoot} during the initial growth stage may be one of the physiological reasons for death of

seedlings in the bottleneck phase [22–25], and seedling survival under natural conditions is likely to
depend on the rapid and low-cost development of roots at the individual level.

During the development from seeds to seedlings, the source that activates individual metabolism shift from chemical energy in seeds to current assimilation after initiation of photosynthesis [1,2,33]. This shift in the energy source may successively generate the low-cost burst in root development with shifting individual structure and function that would help to avoid water and nutrient deficit [11,17], and reduce mortality of seedlings.

298

Importance of lowering energetic cost for various individual organisms

Banavar et al. [34] suggested that plants and animals have reached equivalent energetic 301 efficiencies through their independent evolution, using our prior data on whole-plant respiration from 302303 seedlings to giant trees [10]. Fundamentally, the studies on improvement of energetic efficiency have focused on animal locomotion and often suggested energy-saving mechanism as a strategy for 304 effective resource acquisition [35–38]. On the other hand, although the resource acquisition is 305306 essential for all individual organisms including both plants and animals, very few studies have 307 focused on energetic efficiency of terrestrial plants. Hence, whole-plant level empirical data on energetic cost in shoot and root would be important for us to obtain the understanding of physical 308 and physiological constraints on metabolic scaling of terrestrial plants [10,39]. 309

Plants are rooted in one place and they must acquire resources under continuously changing environment. Therefore, it is expected that plant metabolism must be flexible, relying on rapid adjustments in energetic efficiency. In this respect, the negative allometry of whole-plant respiration shown in Fig. 1 seems to indicate an improvement in flexibility for resource acquisition with body size, involving changes in the energy partitioning among organs. Therefore, the initial spurt and reduction in energetic cost in root development (shown in Figs. 2–4) could be considered as one of

the underlying processes to effectively improve whole-plant energetic efficiency. In that case, it may be an energy-saving process of seedlings that is comparable to that in animal locomotion for resource acquisition [35–38]. In the present study, the measurement at the whole-plant level revealed the drastic reduction in energetic cost for rapid root development that would underpin the population dynamics and sustainability of the Northern Hemisphere forests that are dominated by *Fagus* trees [19–21]. The understanding of metabolic scaling of individual organisms would help to scale up the structure and function from organ level to ecosystem level [40–42].

323

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440

441 Supporting information

- 442 **S1 File. Primary data.** Fresh mass, surface area, and respiration rate of total leaves, stems, and roots
- 443 at the individual level.



Figure



Figure



Whole-plant fresh mass (kg)





Figure



Figure