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9 **Tree ring and water deficit indices as indicators of drought impact on black**  
10 **truffle production in Spain**

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26

27 **Abstract**

28 Black truffle is a highly-prized fungus that constitutes an important economic resource in  
29 many Mediterranean forests of southern Europe. Black truffle production in these forests and  
30 in cultivated truffle orchards is subject to important interannual variations linked to climatic  
31 factors, among which summer water shortage is particularly relevant in dry regions such as  
32 Mediterranean Spain. Thus, in recent years irrigation has become widespread in Spanish black  
33 truffle orchards, although no long-term proxies of black truffle production exist and scientific  
34 guidelines for the irrigation of these orchards are lacking. In this study, we explore the  
35 possibilities and limitations of pine tree-ring width data (seasonal wood production) and  
36 agroclimatic indices to predict black truffle production in Spain, while gaining more in-depth  
37 knowledge on water requirements of truffle production. For this purpose, we used the national  
38 annual estimates of black truffle production and compared these values with several tree-ring  
39 width series, monthly climatic factors, and water balance-based indices. We found a strong  
40 linkage between summer water availability, black truffle production and Mediterranean pine  
41 latewood formation, with summer drought intensity reducing both black truffle and *Pinus*  
42 *pinaster* latewood production. The adjusted latewood index for *P. pinaster* and the  
43 accumulated water deficit from April to September were robust predictors of black truffle  
44 production. The predictive skills of these two variables were further confirmed with a  
45 calibration-verification technique. These variables can be used as climatic proxies of black  
46 truffle production in agronomic or silvicultural studies, in a sector where no large-scale  
47 network for monitoring soil water content exists, and many truffle orchards are small and  
48 have low possibilities for modernisation.

49

50 **Key words:** dendroecology; *Tuber melanosporum*; *Pinus pinaster*; fungal fruiting; latewood;  
51 water deficit.

## 52 **1. Introduction**

53 Truffles are an important economic resource in many Mediterranean forests of southern  
54 Europe, and the highly prized black truffle (*Tuber melanosporum*) is nowadays cultivated in  
55 many countries around the world (Benucci et al., 2012; Reyna and Garcia-Barreda, 2014).

56 The black truffle is an ectomycorrhizal fungus that lives in obligate symbiosis with many tree  
57 and shrub species, among which *Quercus ilex* and *Quercus faginea* are the most common in  
58 dry Mediterranean regions of Spain, one of the countries producing more truffles worldwide  
59 (Garcia-Barreda et al., 2019; Taschen et al., 2015).

60 Climatic factors have a major influence on black truffle production, particularly during  
61 summer (Büntgen et al., 2012; Le Tacon et al., 2014). The fruit bodies (FBs) of black truffle  
62 develop in the soil from late spring to the following winter (Montant et al., 1983; Pacioni et  
63 al., 2014), obtaining all the carbohydrates for its growth from the host tree (Le Tacon et al.,  
64 2013). Thus, radial growth of host tree species as *Q. ilex* has been used to investigate the  
65 influence of climate on truffle production (Büntgen et al., 2012; Garcia-Barreda et al., 2020).

66 *Quercus ilex* radial growth responds to summer climatic conditions but also to climate of  
67 other seasons, mainly from the previous autumn to the spring (Granda et al., 2013; Gutiérrez  
68 et al., 2011). In contrast, the radial growth of pine species –often coexisting with *Q. ilex*–  
69 very sensitive to summer drought, and its seasonal components (earlywood – hereafter EW–  
70 and latewood – hereafter LW) allow a more refined analysis of wood production as related to  
71 climate (Griffin et al., 2011; Camarero et al., 2015). In spite of pines not producing black  
72 truffle FBs in meaningful amounts, *Pinus nigra* LW production has been used as a proxy for  
73 summer climatic conditions in wild truffle stands (Garcia-Barreda and Reyna, 2013; García-  
74 Montero et al., 2007). However, the predictive skills of this variable have not been compared  
75 to those of oak host trees or to those of other Mediterranean pine species with a natural area of  
76 distribution overlapping with black truffle in Spain (Garcia-Barreda et al., 2012).

77 The seasonal patterns of pine radial growth and its sensitivity to summer drought could help  
78 to better understand water requirements of black truffle over the several-months period of FB  
79 development. The summer of Mediterranean Spain is characterised by warm, dry conditions;  
80 exacerbated in recent decades by the regional increase in drought severity, spring  
81 temperatures and spring atmospheric evaporative demand (AED) (Garcia-Barreda et al., 2020;  
82 Vicente-Serrano et al., 2017a, 2014). In summer, most black truffle primordia have already  
83 been formed and the FBs are growing, although many of them degenerate before having fully  
84 developed (Montant et al., 1983; Pacioni et al., 2014). Thus, in recent years, irrigation has  
85 become widespread in Spanish truffle orchards (Garcia-Barreda et al., 2020), not only in  
86 summer but also in spring and early autumn; although no clear guidelines exist except for the  
87 empirical criteria proposed by Olivier et al. (2013). In this context, pine radial growth could  
88 help to account for climate-driven fluctuations of truffle production in agronomic or  
89 silvicultural studies of nearby rainfed *truffières* (Garcia-Barreda and Reyna, 2013), but for  
90 irrigated *truffières* it would be more convenient to develop agroclimatic indices that easily  
91 allow to integrate the irrigation amount, such as those based on precipitation (Helluy 2020).  
92 Tree-ring width records have frequently been used as a proxy to reconstruct past yields of  
93 agricultural and forestry crops (Huhtamaa and Helama, 2017; Maxwell and Knapp, 2012;  
94 Therrell et al., 2006). When reliable historical records of yields are available, they can be  
95 compared with the predicted values to validate these reconstructions and demonstrate the  
96 predictive skill of the proxy. Anomalies in the agreement between predicted and actual values  
97 for given time intervals have been interpreted in the light of socio-economic changes  
98 (Huhtamaa and Helama, 2017).

99 In this study, we explored the linkages between black truffle production and the radial growth  
100 (EW and LW production) of several pine species coexisting with black truffle in its natural  
101 habitat, and we examined which were the main climatic conditions involved in this

102 relationship. These pine species do not produce black truffle FBs. However, we hypothesised  
103 that pine ring-width indices with higher responsiveness to summer conditions would show a  
104 stronger relationship with black truffle production than ring-width indices of truffle host tree  
105 species (*Quercus*), due to the differences in the seasonal pattern and sensitivity to summer  
106 drought of the radial growth. After that, based on the linkages between truffle production and  
107 pine radial growth, we aimed at proposing agroclimatic indices built with precipitation and  
108 AED, as a step towards developing indicators for climate-driven fluctuations of truffle  
109 production in irrigated plantations. We hypothesised that, among the proposed agroclimatic  
110 indices, those more clearly accounting for water deficit intensity and frequency would show a  
111 stronger relationship with black truffle production, in line with the fact that part of the FB  
112 growth happens during the driest period of the year, this hampering FB survival (Montant et  
113 al., 1983; Pacioni et al., 2014). Finally, we assessed the predictive skill of the most promising  
114 ring-width indices and agroclimatic indices with calibration-verification trials.

115

## 116 **2. Materials and methods**

### 117 *2.1. Black truffle record*

118 The annual estimates for black truffle production in Spain (1970–2018) were obtained from  
119 the Spanish Federation of Associations of Truffle Growers. For the statistical analyses, we  
120 used data from the fruiting season November 1970 – March 1971 (hereafter called season  
121 1970) to the season November 2012 – March 2013 (i.e. season 2012). During these years,  
122 truffle was harvested entirely or mainly in wild forests, with the proportion of cultivated  
123 truffles gradually raising from the mid 1990s. Data from season 2013 onwards were excluded  
124 because from that year truffle production sharply increased and interannual variability was  
125 sharply reduced, mirroring the generalisation of truffle cultivation and the use of irrigation,

126 with Garcia-Barreda et al. (2020) pointing that truffle production from 2013 onwards showed  
127 a time trend that could not be satisfactorily explained by climate trends or variability.  
128 We used detrended black truffle production instead of the raw data because black truffle  
129 harvests showed a significant time trend during the 1970–2012 period, in consonance with the  
130 continued overharvesting and the changes in the structure of truffle-producing forest (Garcia-  
131 Barreda et al., 2020, 2018). Truffle production was detrended by applying a general additive  
132 model (GAM) with a normal distribution and thin plate regression splines (Wood, 2011).  
133 Detrended black truffle record did not show significant autocorrelation.

134

## 135 2.2. Dendrochronological methods

136 For the purpose of exploring the ability of pine-ring width data to predict black truffle  
137 production, the four pine species coexisting with black truffle in its natural habitat in eastern  
138 Spain were selected (Garcia-Barreda et al., 2012). These species occupy from low-elevation  
139 warm-dry sites (*Pinus halepensis*, *Pinus pinaster*) to mid-to-high elevation cool-wet sites (*P.*  
140 *nigra*, *Pinus sylvestris*) (Camarero et al., 2015). For comparative purposes, the two most  
141 common host tree species of black truffle in Spanish wild stands and orchards, *Q. ilex* and *Q.*  
142 *faginea*, were also included (Garcia-Barreda et al., 2019; Reyna and Garcia-Barreda, 2014). In  
143 order to enable a sound comparison among species, all the forest stands selected for the  
144 dendrochronological analysis were located in a single, relatively small area (a 10-km radius  
145 circle), in which all these species naturally coexist subjected to similar regional climatic  
146 conditions (Camarero et al., 2015). We decided to locate these stands in Gúdar-Javalambre  
147 county (southern Teruel province, eastern Spain), which is the most important black truffle-  
148 producing county in Spain, to ensure the representativeness of the forests stands and to  
149 optimise the correlations between black truffle production and tree-ring width. Southern  
150 Teruel province, together with the surrounding counties in Cuenca, Guadalajara, Castelló and

151 Valencia provinces, concentrate most black truffle production of Spain (Garcia-Barreda et al.,  
152 2019; Reyna and Garcia-Barreda, 2014). Gúdar-Javalambre county is characterized by a  
153 Mediterranean climate with a marked summer drought (Fig. S1), with low-elevation stands  
154 (*P. halepensis*, *P. pinaster*, *Q. ilex*, *Q. faginea*) showing warmer, drier conditions (mean  
155 annual temperature around 12°C, mean annual precipitation of 400-500 mm) than high  
156 elevation stands (*P. nigra*, *P. sylvestris*, with mean annual temperature of 9-10°C and mean  
157 annual precipitation of 500-700 mm).

158 For each tree species, one chronology or mean site series of tree-ring width data was selected  
159 from previously studied forest stands (Table 1). Relatively undisturbed stands, without  
160 indications of recent logging, fire, grazing, pests or dieback were selected. Each ring-width  
161 chronology was based on at least 15 trees selected among the adult, dominant trees within  
162 0.5-1 ha sampling areas. The mean height of the sampled trees was higher than 7 m in the  
163 pine stands and higher than 4 m in the oak stands, whereas the mean diameter at breast height  
164 was higher than 35 cm in the pine stands and higher than 8.5 cm in the oak stands (Camarero  
165 et al., 2016, 2015). Two cores were extracted at 1.3 m from each tree using Pressler increment  
166 borers. Wood samples were sanded until tree rings were clearly visible and then visually  
167 cross-dated. Once dated, tree-ring, EW and LW widths were measured to the nearest 0.01 mm  
168 along two radii per tree using a binocular scope and a LINTAB measuring device (Rinntech,  
169 Heidelberg, Germany). The accuracy of visual cross-dating was checked with the program  
170 COFECHA (Holmes, 1983). Tree age at 1.3 m was estimated by counting the number of  
171 annual rings in cores that either passed through the stem pith or were close to it so the arc of  
172 the innermost rings was visible. In the second case, we fitted a template of concentric circles  
173 to the curve of the innermost rings to estimate the number of missing rings.

174 Tree-ring, EW and LW widths were standardised and detrended by applying a 15-year long  
175 cubic-smoothing spline curve and autoregressive modelling to remove the first-order



176 autocorrelation from the individual tree-ring width series. This resulted in pre-whitened or  
 177 residual ring-, EW- and LW-width indices that were averaged for each site using biweight  
 178 robust means. Chronology building procedure was carried out using the software ARSTAN v  
 179 4.4 (Cook, 1985).

180 For each of the selected forest stand, chronologies of EW and LW widths were obtained by  
 181 averaging the values of all the trees sampled. We used residual width indices instead of raw  
 182 width data because each chronology showed a specific long-term time trend, due to  
 183 differences in tree age, size, density, site quality and historical factors. In order to remove the  
 184 dependence of LW on antecedent EW growth, the adjusted latewood-width index (LW<sub>a</sub>) was  
 185 calculated as the residuals of the linear regression between EW and LW indices (Meko and  
 186 Baisan, 2001).

187

188 **Table 1.** Geographical characteristics of the dendrochronological series used in the study  
 189 (Camarero et al., 2016, 2015). RW: tree-ring width (mean and standard deviation between  
 190 parentheses).  $r_{bt}$ : mean between-trees correlation.

Species	Latitude (N)	Longitude (W)	Elevation (m)	Mean age at 1.3 m (years)	No trees (No cores)	RW (mm)	$r_{bt}$
<i>P. halepensis</i>	40°17'17''	0°48'03''	1095	73	16 (32)	1.75 (0.18)	0.77
<i>P. pinaster</i>	40°16'00''	0°48'53''	1070	105	25 (50)	1.13 (0.10)	0.85
<i>P. nigra</i>	40°18'36''	0°42'58''	1550	238	26 (52)	0.46 (0.04)	0.71

<i>P.</i>	40°19'05''	0°40'28''	1770	153	20 (40)	0.92	0.67
<i>sylvestris</i>						(0.08)	
<i>Q. ilex</i>	40°18'02''	0°52'14''	1135	39 <sup>a</sup>	30 (60)	1.19	0.37
						(0.75)	
<i>Q. faginea</i>	40°18'02''	0°52'14''	1135	43 <sup>a</sup>	16 (32)	0.88	0.63
						(0.78)	

191 <sup>a</sup> Age of the shoots. Since these stands are coppice forests, the age of the stumps is higher,  
 192 although unknown.

193

### 194 2.3. Climate data

195 Monthly climatic variables (minimum and maximum temperature, total precipitation, and  
 196 AED) were obtained for the study period (1970–2012) from the dataset described in Vicente-  
 197 Serrano et al. (2017b). This dataset was generated using the entire climate records of the  
 198 Spanish Meteorological Agency, which were quality controlled and homogenised. The data  
 199 was interpolated to a regular grid of 1.21 km<sup>2</sup> and a weekly temporal resolution by means of a  
 200 universal kriging method. The AED was calculated by means of the FAO-56 Penman-  
 201 Monteith reference evapotranspiration equation (Allen et al., 1998).

202 To assess the relationship between climate variables and tree ring indices, for each of the  
 203 forest stands used in the dendrochronological analysis (Table 1) the climatic variables were  
 204 obtained from the gridded dataset by selecting the grids including the forest stands.

205 To evaluate the relationships between climate and truffle production, we used the dataset of  
 206 natural truffle-producing forests in Garcia-Barreda et al. (2019) (n = 175 sites) as a  
 207 meaningful representation of the spatial distribution of the black truffle production in Spain  
 208 during the 1970–2012 period. For each year of the study period, the monthly climatic  
 209 variables were calculated as the average of the climatic values retrieved for each of the truffle-

210 producing forest in the dataset. Thus, we intended to increase the representativeness of the  
211 climatic data, given the spatial distribution of Spanish truffle production and the fact that  
212 black truffle production record is not spatially disaggregated (Garcia-Barreda et al., 2019).  
213 Monthly climatic variables were also obtained from the same dataset for the 1961–1969 and  
214 2013–2018 periods, for using them in the reconstruction of truffle production. Some climatic  
215 indices required the use of climatic data with weekly temporal resolution. In order to calculate  
216 them, weekly climatic variables were retrieved from the same dataset, in which each year is  
217 composed of 48 “weekly periods” generated to conform to month intervals (Vicente-Serrano  
218 et al., 2017b).

219

#### 220 *2.4. Water balance-based indices*

221 Several indices based on the climatic water balance (precipitation minus AED) were  
222 calculated, taking into account black truffle biology, the results of the dendrochronological  
223 analyses and previous research on climatic factors affecting truffle production (Baragatti et  
224 al., 2019; Olivier et al., 2013). They were computed with a monthly temporal resolution: (i)  
225 accumulated water balance (AWB, the sum of the monthly values of water balance), (ii)  
226 accumulated water deficit (AWD, the sum of negative monthly values of water balance), (iii)  
227 AWB calculated as precipitation minus  $k \times$  AED, where  $k$  is an empirical correction  
228 coefficient for AED (we evaluated the following values for  $k$ : 0.3, 0.4, 0.5, 0.6, and 0.7; we  
229 accordingly call these indices AWB0.3-AWB0.7), and (iv) AWD calculated as in (ii) after  
230 applying an empirical correction coefficient ( $k$ ) to AED (these indices are hereafter called  
231 AWD0.3-AWD0.7). These indices were computed over different timescales (from one to  
232 seven months) on a nine-month window from April to December. In March-April the growth  
233 of the most common tree hosts of black truffle resumes, with the fruiting induction of black  
234 truffle happening in May-June. The FB grows and goes through different developmental

235 stages until October, when the FB attains its final size, with FB ripening beginning in  
236 November-December (Pacioni et al., 2014; Zarivi et al., 2015).

237 While AWD more clearly accounts for the intensity and frequency of water deficit periods,  
238 AWB allows that wet months compensate for water deficits in previous or subsequent months  
239 (Table S1). The batteries of AWB0.3-AWB0.7 and AWD0.3-AWD0.7 indices, in turn, set  
240 water deficit thresholds different from AWB = 0. These empirical coefficients are commonly  
241 used for crop evapotranspiration assessment and in soil water balance models (Helluy et al.,  
242 2020).

243 Since the climatic indices selected for further insight were based on AWD, we also assessed  
244 the suitability of the monthly temporal resolution used. Monthly temporal resolution was  
245 compared to weekly, biweekly and bimonthly temporal resolutions for the selected indices.  
246 Determining the appropriate timescale (i.e. the duration of the period over which the index is  
247 calculated) is key to develop indices built on water balance, but for indices built on water  
248 deficit it is also critical to assess the temporal resolution of the climatic data (i.e. the reference  
249 time step for calculation), as shown in Table S1.

250 Another index was finally calculated as a proxy for the frequency of water deficit periods: the  
251 number of weeks with negative water balances.

252

### 253 *2.5. Statistical analysis*

254 First, detrended truffle production was correlated against pine width indices using  
255 bootstrapped Spearman correlations with a 95% confidence interval. We used bootstrapped  
256 Spearman correlations instead of Pearson correlations because for several indices a  
257 meaningful deviation between both coefficients was found, with samples not meeting the  
258 normal distribution or the linearity assumptions. The tree-ring width indices with the highest  
259 significant correlations were selected to further explore its possible interest as indicators of

260 truffle production. A Principal Components Analysis (PCA) was used to more clearly show  
261 the associations among tree-ring indices.

262 Then, the response of the selected width indices to climate was compared to the response of  
263 truffle production. The selected indices were correlated against monthly values for minimum  
264 and maximum temperature, precipitation, AED and climatic water balance on a 14-month  
265 window (from September of the year before tree-ring formation until October of the year of  
266 ring formation). Likewise, the detrended truffle production was correlated against monthly  
267 climatic variables on an 18-month window (from September of the year before FB induction  
268 and development until February of the year after FB induction, when late truffles are  
269 ripening). All these analyses were performed using bootstrapped Spearman correlations, with  
270 a 95% confidence interval. In view of the importance of water availability during summer, the  
271 response of truffle production to monthly water balance from April to September was  
272 compared to the response of the selected tree-ring indices, using GAMs with a normal  
273 distribution and thin plate regression splines (Wood, 2011).

274 The water balance-based indices were then calculated and correlated with detrended black  
275 truffle production using Spearman correlations. The correlation coefficients were used to  
276 compare the different indices, timeframes and temporal resolutions.

277 Finally, to assess the potential of indices to predict black truffle production, we selected the  
278 ring-width index showing higher correlation with truffle production, as well as the AWB  
279 index and the AWD index with higher correlations. For assessing the predictive skills of the  
280 indices, a split-period calibration-verification process was used (Fritts et al., 1990). The black  
281 truffle production record for the 1970-2012 period was divided evenly in half, with calibration  
282 and verification made on both periods. Several statistics were used to test whether the two  
283 calibration models were statistically significant, including the coefficient of efficiency (CE)  
284 and the reduction error statistic (RE) (Fritts et al., 1990). Residuals autocorrelation was tested

285 with the Durbin-Watson statistic (DW). Positive values of CE and RE, and values of DW  
286 higher than one were considered acceptable, considering the series length ( $n = 43$  years). Then  
287 the detrended black truffle production was reconstructed for the 1961–2018 period with the  
288 estimated regression parameters and the estimated root mean square error (RMSE).  
289 For the 1970-2012 period, the values predicted by the various indices were compared to the  
290 actual production, and interpreted with the support of the slope of the regression between  
291 predicted and actual values. The reconstruction of truffle production for the 1961-1969 period  
292 was used to discuss truffle production trends for a decade when only non-official data are  
293 available, whereas the reconstruction of truffle production for the 2013-2018 period was  
294 compared to the actual production to discuss the hypothesis that during this period truffle  
295 production could not be satisfactorily explained by variations in climatic conditions (Garcia-  
296 Barreda et al., 2020).

297 Correlation analysis, PCA, GAMs and calibration-verification were performed with the  
298 statistical software R (R Core Team, 2019) and packages *mgcv* and *treeclim* (Wood, 2011;  
299 Zang and Biondi, 2015).

300

### 301 **3. Results and discussion**

#### 302 *3.1. Linkages among truffle production, pine radial growth and climate*

303 Detrended truffle production showed significantly positive correlations with *P. pinaster* and  
304 *P. nigra* LWa, *P. halepensis* EW, and *Q. ilex* and *Q. faginea* ring-width indices, with the  
305 former showing the highest values (Fig. 1a). The high correlation coefficients of *P. pinaster*  
306 and *P. nigra* LWa point that they could play a useful role as proxies of black truffle  
307 production, even ahead of oak species, despite pine species do not have a relevant  
308 participation in black truffle fruiting (García-Montero et al., 2007). The correlation  
309 coefficients of *P. pinaster* and *P. nigra* in our study are similar to those found in previous

310 local and regional studies (Büntgen et al., 2012; Garcia-Barreda and Reyna, 2013), thus  
311 supporting the representativeness of the forest stands selected for the ring-width chronologies.  
312 The PCA explained 63% of the variability in the analysed ring-width indices with the first  
313 two components. These PCA components clearly separated the EW width indices of the four  
314 pine species from their corresponding LWa, with *Quercus* ring-width indices tending to group  
315 with pines EW, and truffle production tending to group with pines LWa (Fig. 1b). The EW of  
316 the four analysed pine species –and the ring width of the analysed oak – is mainly related to  
317 wet and cool conditions during the previous autumn up to spring (Camarero et al., 2015;  
318 Granda et al., 2013; Montserrat-Martí et al., 2009), whereas the LW of pine species is mainly  
319 related to cool, wet conditions during summer, with *P. pinaster* and *P. nigra* showing  
320 particularly higher responsiveness (Camarero et al., 2015; Pasho et al., 2012).

321 Supporting these results, the strongest relationships of climate with *P. pinaster* LWa and  
322 truffle production were observed for the summer period. The *P. pinaster* LWa responded  
323 negatively to maximum temperatures of July and August, negatively to AED from July to  
324 September, positively to precipitation from June to September, and positively to water  
325 balance from July to September (Fig. 2). Similarly, detrended truffle production responded  
326 negatively to maximum temperatures of July and August, negatively to AED from July to  
327 September, and positively to precipitation and water balance from June to August (Fig. 3).

328 The correlations with climate for *P. nigra* LWa were similar to those of truffle production,  
329 although with lower responsiveness to climatic conditions (Fig. S2).

330 These results point to analogous July-August climatic signals on *P. pinaster* LWa and black  
331 truffle production as the cause of the association between these variables. From June to  
332 October, black truffles are in developmental stages of intense growth, with the most important  
333 weight increase occurring in August-September (Montant et al., 1983; Zarivi et al., 2015).

334 Similarly, September is a month of intense LW formation in Mediterranean pine species

335 (Camarero et al., 2010). This highlights the importance of water availability during summer,  
336 underpinning the use of water balances for predicting black truffle production. The  
337 relationship between summer precipitation and truffle production is one of the most  
338 thoroughly examined issues in truffle cultivation (Ricard et al., 2003). However, previous  
339 research does not agree on which is the more appropriate timeframe for assessing the impact  
340 of water availability; and multiple combinations of months between May and September have  
341 been used (Baragatti et al., 2019; Büntgen et al., 2019, 2012; Le Tacon et al., 2014; Ricard et  
342 al., 2003).

343 The response of black truffle production and *P. pinaster* LWa to monthly water balances,  
344 analysed with GAMs, highlights that both variables followed similar patterns from June to  
345 September (Fig. 4). Both *P. pinaster* LWa and detrended truffle production showed a  
346 significant, positive and almost linear relationship with July water balance for the observed  
347 range of water balance ( $F = 14.7, P < 0.001$  and  $F = 18.6, P < 0.001$ , respectively; Fig. 4d). In  
348 August, the relationship was also significant, positive and linear for truffle production ( $F =$   
349  $19.7, P < 0.001$ ), whereas for *P. pinaster* LWa was only positive for values of water balance  
350 higher than -100 mm ( $F = 5.3, P = 0.009$ , Fig. 4e). In September, the response to water  
351 balance was significant, positive and linear for *P. pinaster* LWa ( $F = 9.5, P = 0.004$ ), whereas  
352 for black truffle production the trend was not significant and the best GAM fit pointed to a  
353 non-linear response ( $F = 2.9, P = 0.08$ , Fig. 4f). In June, truffle production and *P. pinaster*  
354 LWa responses were not significant ( $F = 1.9, P = 0.15$  and  $F = 1.7, P = 0.18$ , respectively),  
355 with the best GAM fits showing similar patterns –a positive linear trend for values of water  
356 balance lower than -25 mm (Fig. 4c). For April and May, all the relationships were not  
357 significant ( $F < 2.0$  and  $P > 0.20$  in all cases, Fig. 4).

358 The parallelism in the responses of black truffle production and *P. pinaster* LWa to summer  
359 water balances supports the hypothesis that the association between these variables is due to

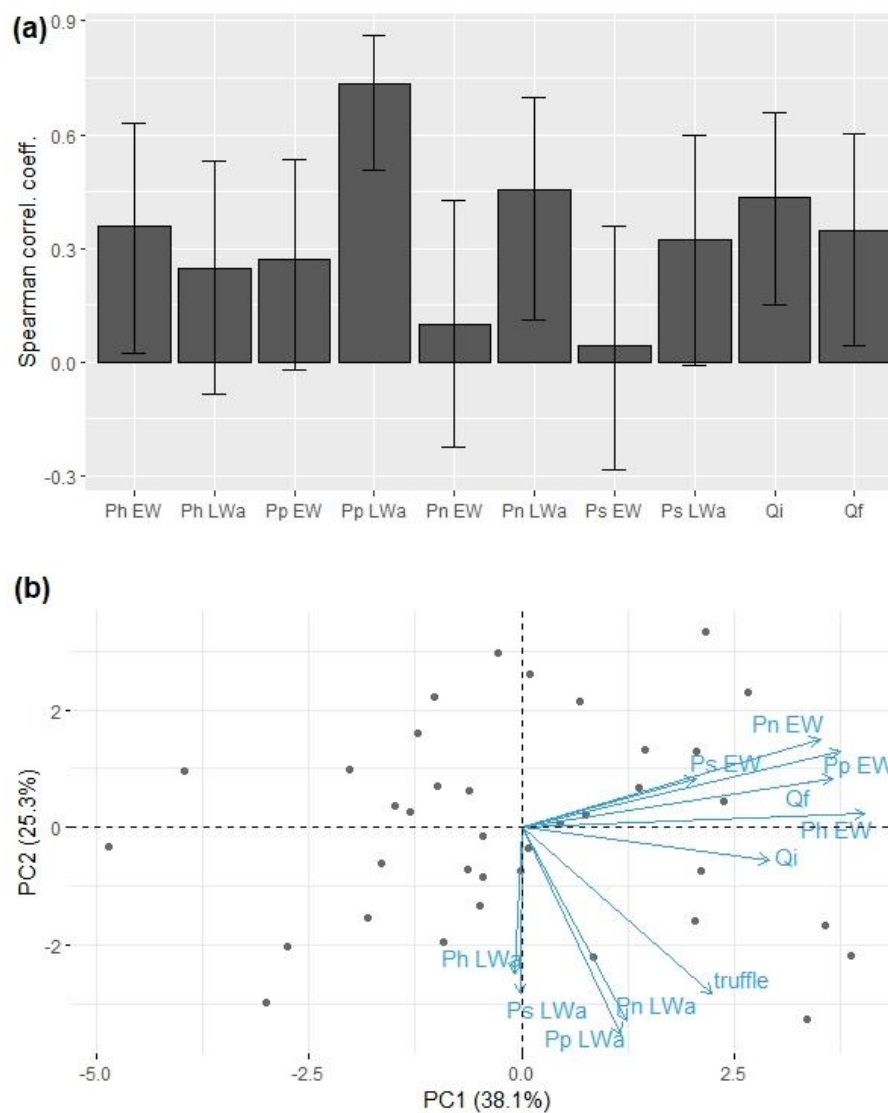


360 water availability during the summer dry period (Fig. 5), with black truffle apparently being  
361 more responsive to drier conditions in August and *P. pinaster* LWa more responsive to wetter  
362 conditions in September (Fig. 4e-f).

363 However, in the case of black truffle the differences in the range of water balance between the  
364 different months (Fig. 4) could be covering up the existence of water balance – truffle  
365 production relationships in these months. Black truffle develops in the soil from late spring to  
366 the subsequent winter (Montant et al., 1983; Pacioni et al., 2014). The GAMs show that the  
367 water balance for the truffle-producing area (calculated as an average of 175 geographically  
368 dispersed points) was in July and August lower than -75 and -45 mm for 95% of the years.  
369 These are the months for which black truffle showed higher (and linear) responsiveness (Fig.  
370 4d-e). Water deficit situations in July-August are general throughout the different truffle-  
371 producing zones of Spain (Garcia-Barreda et al., 2019). Furthermore, in June and September  
372 truffle production apparently shows lower responsiveness to values of monthly water balance  
373 higher than -20 and -50 mm, respectively (Fig. 4c, 4f). At the other extreme, in April (for  
374 which the water balance – truffle production relationship was not significant), values lower  
375 than -70 mm were rare (Fig. 4a). If regional climate becomes warmer and drier in the future,  
376 as predicted (Vicente-Serrano et al., 2017a), or if a truffle orchard presents warmer and drier  
377 conditions than those shown in Fig. 4, the strength or the pattern of these monthly water  
378 balance – truffle production relationships could shift. Indeed, Büntgen et al. (2012) found that  
379 the relationship between summer precipitation and truffle production was stronger in the drier  
380 Spain regions than in France and Italy, two countries where summer precipitation is higher.

381

382



384

385 **Figure 1.** Spearman correlations (and 95% bootstrap confidence intervals) between detrended

386 black truffle production and several ring-width indices, for the 1970–2012 period (a). PCA

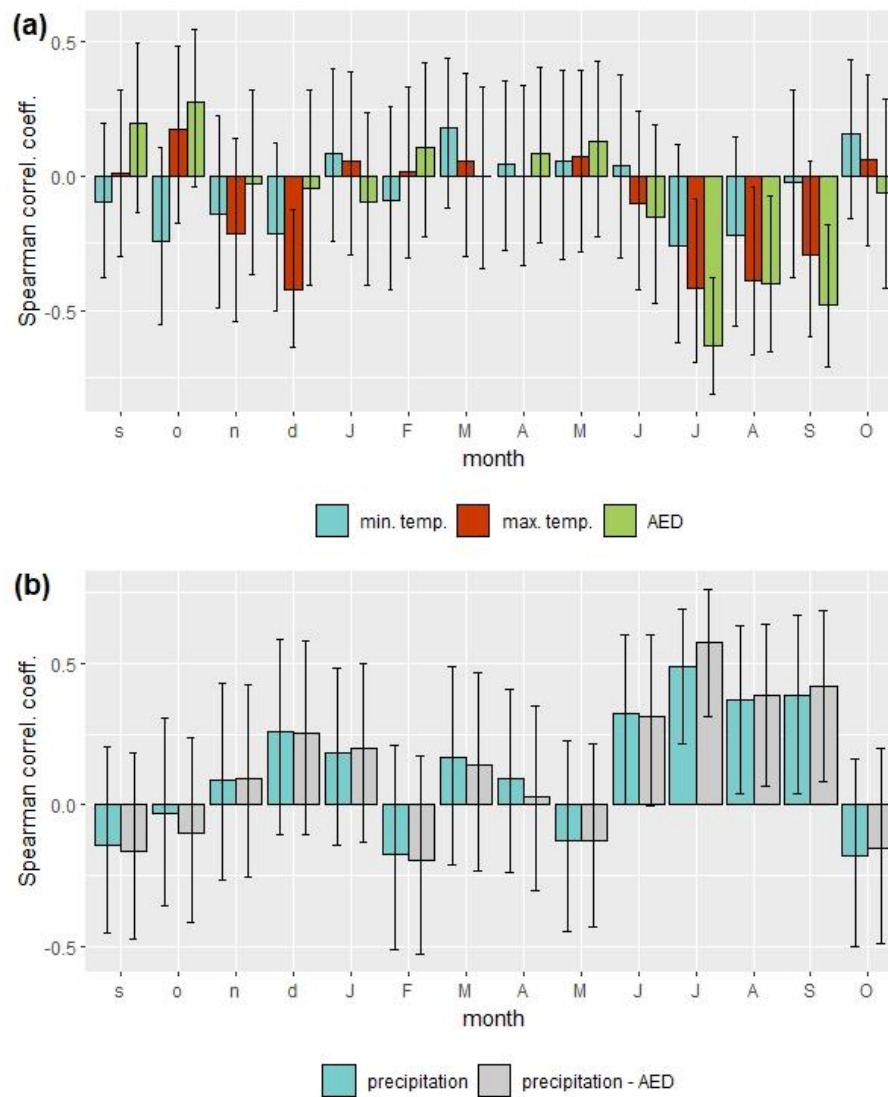
387 biplot for the (scaled) annual truffle production (truffle) and ring-width indices during the

388 study period (b). Abbreviations: EW, earlywood; LWa, adjusted latewood; Ph, *Pinus*

389 *halepensis*; Pn, *Pinus nigra*; Pp, *Pinus pinaster*; Ps, *Pinus sylvestris*; Qf, *Quercus faginea*; Qi,

390 *Quercus ilex*.

391



393

394 **Figure 2.** Spearman correlations (and 95% bootstrap confidence interval) between *P. pinaster*

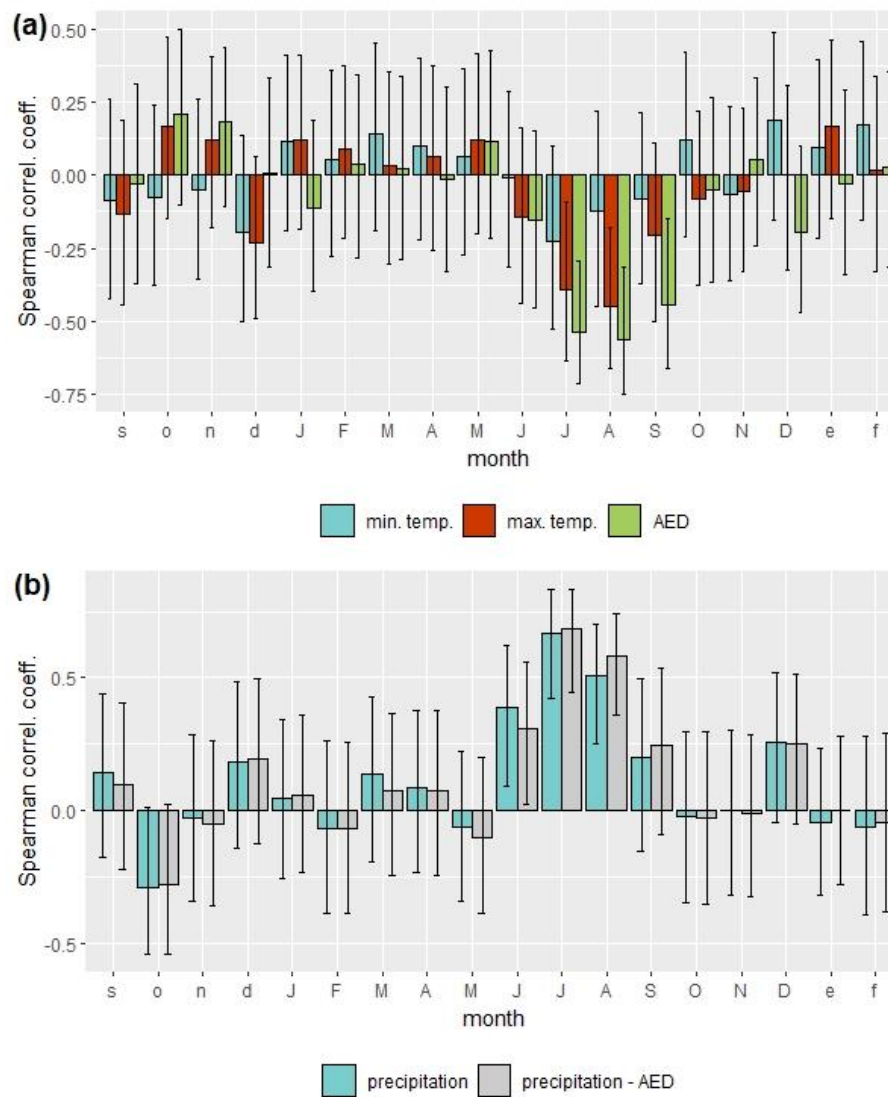
395 adjusted latewood (LWa) width index in Gúdar-Javalambre and climatic variables: monthly

396 minimum temperature, maximum temperature and AED (a), monthly precipitation and water

397 balance (b). Months from the year before ring growth are shown in lowercase, and months

398 from the year of ring growth are shown in capitals.

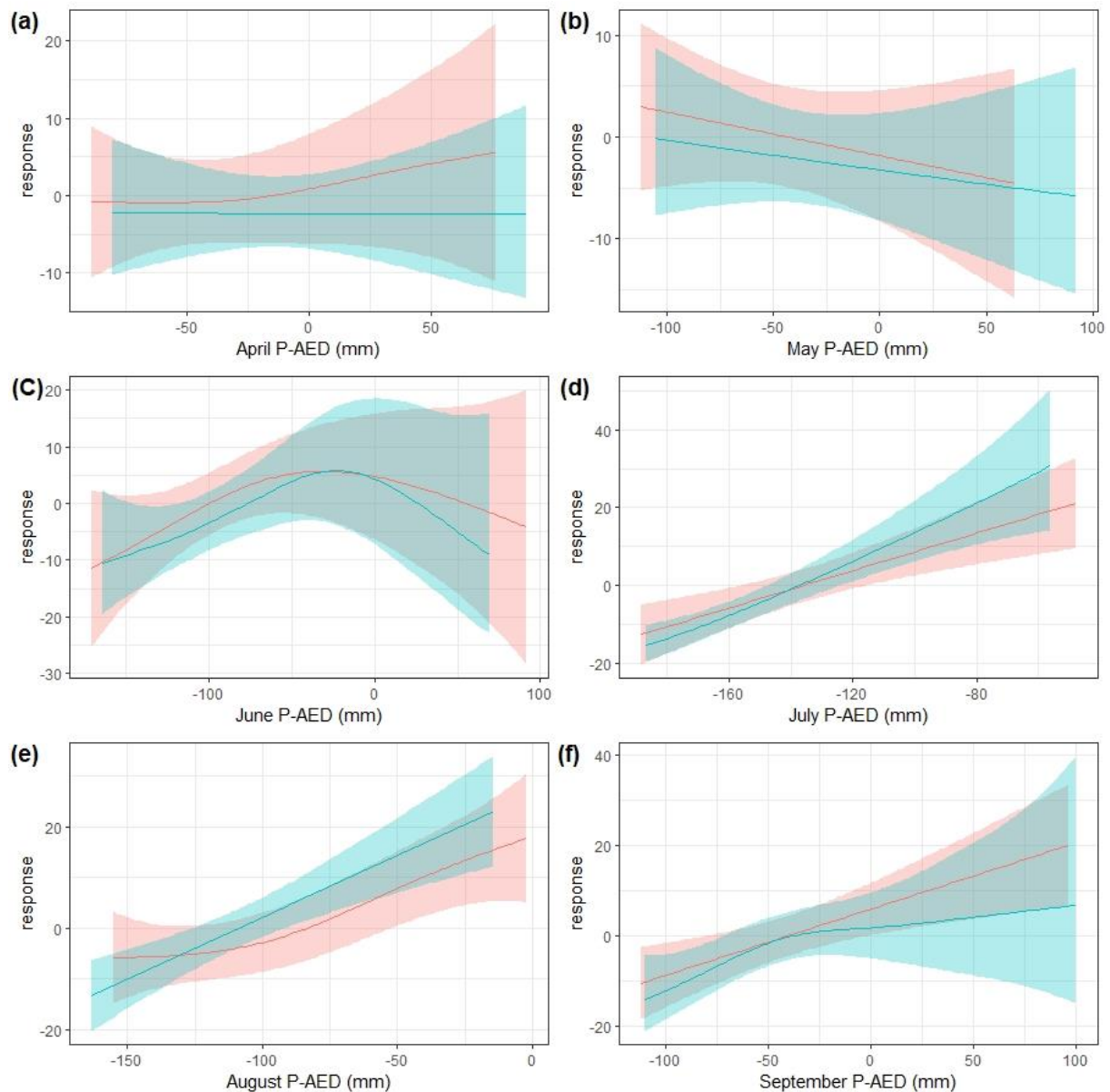
399



401

402 **Figure 3.** Spearman correlations (and 95% bootstrap confidence interval) between detrended  
 403 black truffle production and climatic variables: monthly minimum temperature, maximum  
 404 temperature and AED (a), monthly precipitation and water balance (b). Months from the year  
 405 before fruiting induction and the year after fruiting induction are shown in lowercase, whereas  
 406 months from the year of fruiting induction and development are shown in capitals.

407

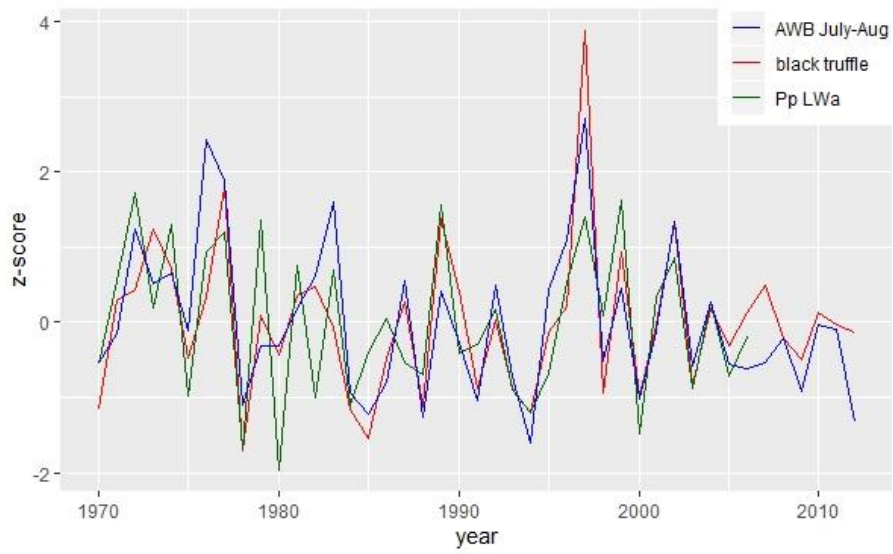


408

409 **Figure 4.** Predicted relationships (mean and 95% confidence band, according to GAMs) of  
 410 detrended black truffle production (in blue) and *P. pinaster* adjusted latewood production  
 411 (LWa, in red) with the monthly water balance (P-AED, precipitation minus atmospheric  
 412 evaporative demand) for the April to September period. The scale of *P. pinaster* LWa is  
 413 modified ( $\times 40$ ) in order to obtain similar band widths for both curves.

414

415



416

417 **Figure 5.** Temporal patterns for the standardised values (z scores) of detrended black truffle  
418 production, *P. pinaster* adjusted latewood (Pp LWa) and accumulated water balance (AWB)  
419 from July to August during the study period (1970–2012).

420

421 *3.2. Water balance-based indices*

422 Among the indices calculated with a monthly temporal resolution, those built on water deficit  
423 achieved higher correlations with detrended truffle production than those built on water  
424 balance (Fig. 6, Figs. S3-S4). The maximum correlations are clearly higher than the  
425 correlations found in previous studies –from 0.39 to 0.72 in Büntgen et al. (2019, 2012) and  
426 Baragatti et al. (2019)– indicating that water deficit indices can be a robust indicator for  
427 truffle production.

428 The higher correlations achieved by AWD in comparison to AWB agree with the results of  
429 Baragatti et al. (2019) and suggest that black truffle production has a lower responsiveness to  
430 values of monthly precipitation exceeding the corresponding AED. This supports the  
431 hypothesis that months with water surplus cannot compensate (at least not fully) for previous  
432 or subsequent months with water deficit, in consonance with the hypothesised relationship  
433 between truffle orchard yield and the minimum soil water potential reached during summer  
434 (Le Tacon et al., 1982). Moreover, the higher correlations achieved by AWD0.4 in  
435 comparison to AWD point to lower responsiveness of truffle production to values of monthly  
436 precipitation higher than 40% AED, thus supporting the hypothesis that truffle yield can be  
437 optimised at water balances lower than the reference evapotranspiration. This is in line with  
438 the fact that mycorrhizal proliferation of black truffle is maximised with a certain degree of  
439 climatic water deficit (Olivera et al., 2014).

440 The highest AWD0.4 values were achieved for the July-September, July-October and July-  
441 August periods, with the April-September and April-October periods also achieving  
442 remarkable values (Fig. 6c). According to the Akaike information criterion (AIC), the  
443 goodness of fit of the AWD0.4 – truffle production relationship (analysed with GAMs, after  
444 square-root transforming the detrended truffle production to meet the normality assumption)  
445 was equivalent for the July-September (AIC = 109.5) and July-October (110.9) periods, with

446 July-August (115.3), April-October (121.3), and April-September (121.5) showing worse fits.  
447 Similarly, previous studies selected various timeframes for assessing the influence of water  
448 availability on black truffle production: July-September (Büntgen et al., 2012), May-August  
449 (Le Tacon et al., 2014), June-August, June-September and May-September (Baragatti et al.,  
450 2019; Büntgen et al., 2019). The differences among studies could be due to differences in  
451 climatic conditions, soil hydraulic properties or host tree species between the different studied  
452 areas. They could also be related to differences in the spatial scale of the studies. When the  
453 climatic variables or the crop yields are aggregated over a large geographical area, they are  
454 less likely to reflect extreme situations, and this could alter the strength and the pattern of the  
455 relationship. For instance, Baragatti et al. (2019) found lower correlations at the regional than  
456 at the orchard scale. This is particularly relevant for variables showing high spatial variability,  
457 such as precipitation or drought severity in Mediterranean Spain (De Luis et al., 2009;  
458 Vicente-Serrano et al., 2017a).

459 The disparate responsiveness of black truffle to water deficit and surplus situations, the likely  
460 scale dependence of the climate – truffle production relationships, as well as the irregularity  
461 and high spatial variability of Mediterranean climate make AWD<sub>0.4</sub> of April-September or  
462 April-October a reasonable candidate for predicting truffle production at the orchard scale,  
463 since these timeframes cover the sequence of developmental events leading to truffle fruiting.  
464 In fact, Olivier et al. (2013) proposed May-September as the recommended period for  
465 irrigation of black truffle plantations, and many Spanish plantations are customarily irrigated  
466 from April to October. On the other hand, AWD<sub>0.4</sub> of July-August or July-September could  
467 be enough for predicting black truffle production at a regional scale, due to the high  
468 responsiveness of black truffle production to the range of water balance occurring during  
469 these months in Spain. For the July-August period, a reasonable alternative to AWD<sub>0.4</sub> could



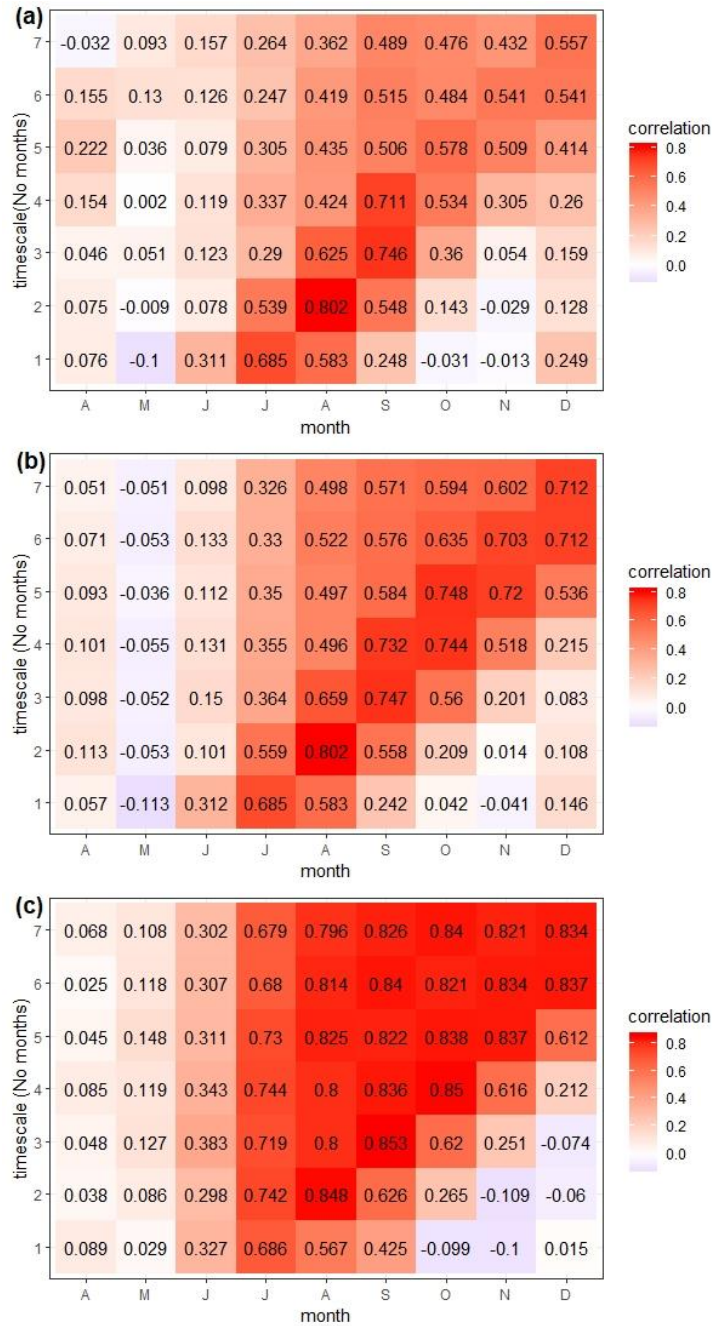
470 be the most parsimonious AWB, which shows a relatively similar value of correlation with  
471 black truffle production.

472 The AWD0.4 index calculated at weekly, biweekly or bimonthly temporal resolutions showed  
473 similar patterns to the AWD0.4 index calculated at monthly resolution, although with lower  
474 correlations (Fig. S5). This indicates that monthly data are more appropriate for evaluating  
475 black truffle production, at least at the regional scale of the study, and suggests that within the  
476 monthly temporal resolution the effects of episodic (weekly or biweekly) water deficits on  
477 truffle production can be compensated with water surplus in previous or subsequent weeks.  
478 On this matter, Olivier et al. (2013) suggested that black truffle FBs in France withstand about  
479 30 days without meaningful rainfall, depending on the climatic conditions and soil water  
480 holding capacity, thus recommending to take the decision of when to irrigate on the basis of  
481 the previous month precipitation.

482 Finally, the number of weeks with precipitation lower than  $0.4 \times \text{AED}$  followed a pattern  
483 similar to those of previous indices, showing the strongest (negative) correlations with black  
484 truffle production for the July-August and June-October periods (Fig. S6). However,  
485 correlations were lower than those of AWD0.4. While AWD indices account for both  
486 frequency and intensity of water deficit events, this index accounts only for its frequency.

487

488



489

490 **Figure 6.** Contour plots of Spearman correlation between detrended truffle production and  
 491 water deficit indices calculated with monthly climatic parameters: AWB (a), AWD (b), and  
 492 AWD0.4 (c). The timescale indicates the duration of the period over which the index is  
 493 calculated, with the time interval finishing in the month indicated on the horizontal axis.  
 494 Significance thresholds:  $r = 0.300$  for  $P = 0.05$ ,  $r = 0.386$  for  $P = 0.01$ , and  $r = 0.478$  for  $P =$   
 495  $0.001$ .

496

497 3.3. Predictive skill of tree ring and climate indices

498 The process of calibration-verification was applied to *P. pinaster* LWa, AWB July-August  
499 and AWD0.4 July-September. The AWD0.4 April-September was also selected because it  
500 showed a high correlation despite the differences in the time interval. The four indices  
501 showed a significant relationship with truffle record, verified reasonably well against data of  
502 the alternate time period according to the RE and CE statistics (Table 2, Figs. S7-S10), and  
503 showed higher  $R^2$  values than calibration-verification trials from previous studies (Büntgen et  
504 al., 2019). For the four indices, the predicted black truffle production for the 1970-2012  
505 period agreed reasonably well with the actual values. However, in all cases the indices tended  
506 to predict more extreme production values than the observed ones, as pointed out by the slope  
507 of the regression between predicted and actual values being lower than one (Fig. 7, Table 2).  
508 This was particularly marked for *P. pinaster* LWa and for the 1970-1985 period.  
509 The reconstruction for the 2013–2018 period predicted in all cases values less extreme than  
510 the actual ones, particularly for 2016-2018 (Fig. 7); although it was not possible to use *P.*  
511 *pinaster* LWa to make predictions because no chronologies were available for this period. The  
512 fact that predictions for 2013-2018 were consistently less extreme than the actual values,  
513 whereas for 1970-2012 they tended to be more extreme, supports the hypothesis that the  
514 recent increase in Spanish black truffle production cannot simply be explained by propitious  
515 climatic conditions and would be related to the generalisation of truffle cultivation and  
516 widespread use of irrigation in truffle orchards (Garcia-Barreda et al., 2020). This underlines  
517 how important it might be for future truffle research to develop indicators in which irrigation  
518 amounts can be easily integrated, such as AWB and AWD.  
519 For the period 1961–1964, the reconstructed black truffle production pointed that the  
520 detrended production was similar to that in the 1970–1974 period (-4.0 to +6.5 t, depending  
521 on the model), whereas for the period 1965–1969 it pointed to a lower detrended production (-

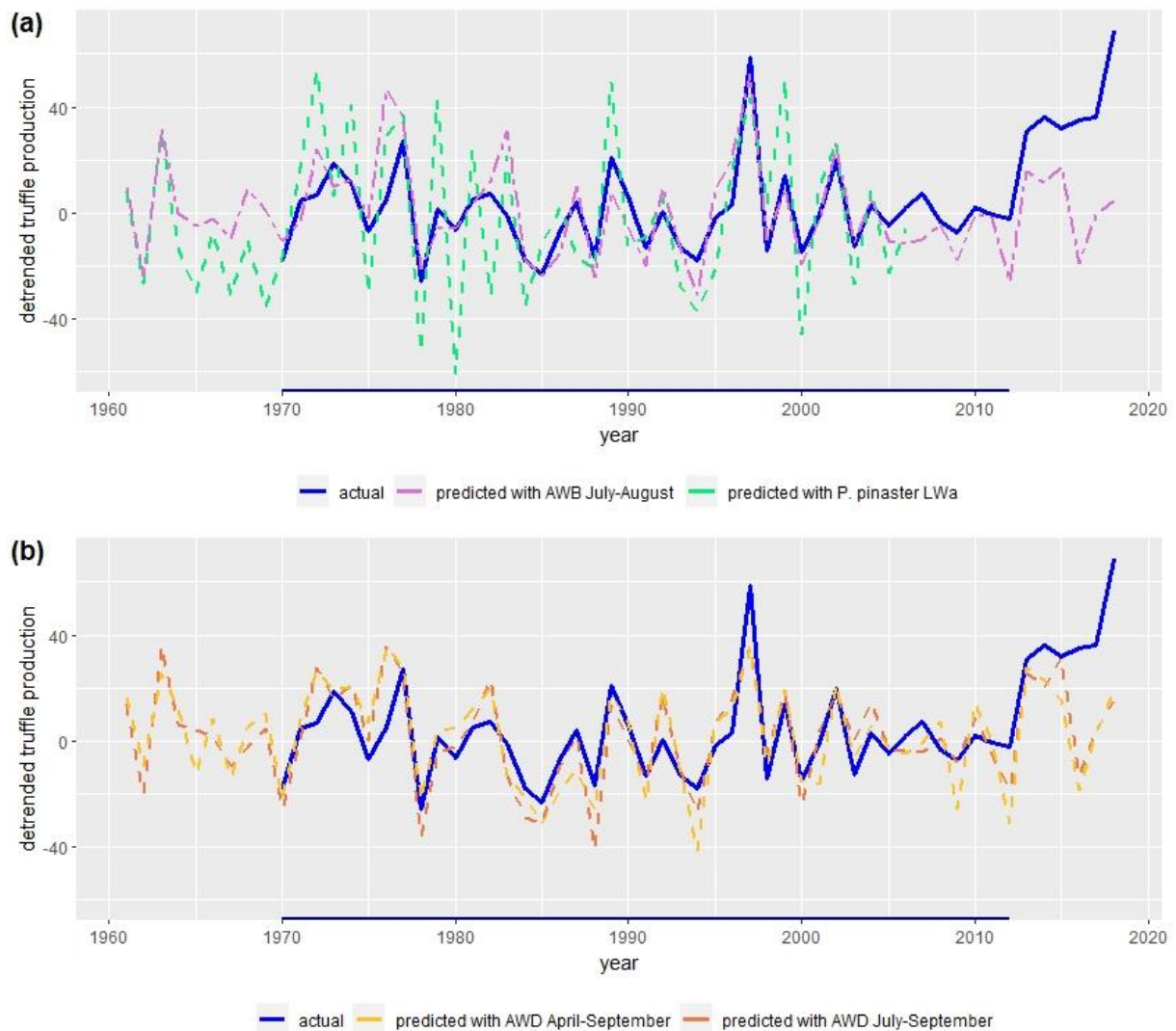
522 4.8 to -27.3 t, Fig. 7). Although there is no official record for this period, estimates by Reyna  
523 (2012) pointed that average annual truffle production was 47 t in 1960–1964, 72 t in 1965–  
524 1969, and 60 t in 1970–1974. Reyna (2007) attributed the productive increase during the  
525 1950s and the 1960s to the fact that truffle harvesting was still spreading in Spain, and every  
526 year new *truffières* were discovered. The decrease in the early 1970s indicated by Reyna  
527 (2012) estimates cannot be solely explained by climate (which was more propitious in the  
528 early 1970s), according to our reconstruction. The most likely cause for the decrease is that  
529 wild *truffières* already showed the first signs of overharvesting.

530

531

532 **Table 2.** Calibration-verification statistics (DW: Durbin-Watson statistic; RE: reduction error  
 533 statistic, CE: coefficient of efficiency, Slope: slope of the regression between predicted and  
 534 actual values of truffle production)

Calibration	R <sup>2</sup> (P-value)	DW	Verification	RE	CE	Slope (st. err.)
<i>P. pinaster</i> LWa						
1970–2006	0.48 (0.010)	–	–	–	–	0.36 (0.06)
1970–1988	0.41 (0.030)	3.22	1989-2006	0.54	0.54	
1988–2006	0.62 (0.010)	2.71	1970-1987	0.37	0.37	
AWB July-August						
1970-2012	0.61 (0.010)		-	-	-	0.62 (0.08)
1970-1991	0.54 (0.010)	1.76	1992-2012	0.67	0.65	
1991-2012	0.75 (0.010)	1.61	1970-1990	0.47	0.43	
AWD0.4 July-September						
1970–2012	0.65 (0.010)	–	–	–	–	0.60 (0.08)
1991–2012	0.63 (0.010)	1.98	1970-1990	0.60	0.60	
1970–1991	0.74 (0.010)	1.98	1992-2012	0.27	0.24	
AWD0.4 April-September						
1970-2012	0.60 (0.010)		-	-	-	0.60 (0.08)
1970-1991	0.71 (0.010)	1.50	1992-2012	0.40	0.39	
1991-2012	0.55 (0.010)	2.36	1970-1990	0.62	0.60	



537

538 **Figure 7.** Predicted values of detrended black truffle production for the 1961-2018 period  
 539 according to the calibration-verification trials (dashed lines), as compared to actual production  
 540 (solid line) in 1970–2012 (the 1970-2012 period is emphasised in the x-axis). (a) Predicted  
 541 values using *P. pinaster* LWa and July-August AWB. (b) Predicted values using July-  
 542 September AWD0.4 and April-September AWD0.4.

543

#### 544 **4. Conclusions**

545 To conclude, we found a strong linkage between summer water availability, black truffle  
546 production and Mediterranean pine latewood formation. Specifically, summer drought  
547 reduces black truffle production and *P. pinaster* latewood production. *Pinus pinaster* LWa  
548 and AWD0.4 for several timeframes in the April-October window were robust proxies of  
549 black truffle production. This is of great practical interest for truffle cultivation in dry regions  
550 as Mediterranean Spain, because no large-scale network for monitoring soil water content  
551 exists in truffle-producing regions, and many truffle orchards in Spain are of small size and  
552 have low possibilities for modernisation. Climatic signals of July-August were responsible for  
553 the association between *P. pinaster* LWa and black truffle production, with both variables  
554 showing similar response patterns to monthly climatic balances from June to September. The  
555 *P. pinaster* adjusted latewood (LWa) could be an interesting climatic proxy for black truffle  
556 production in agronomic or silvicultural studies of nearby rainfed *truffières*, since it provides  
557 a specific response to summer conditions, when black truffle production is more responsive to  
558 climatic factors. On the other hand, the AWD0.4 index showed a stronger relationship with  
559 black truffle production than AWB and AWD, indicating the important role of water deficit  
560 situations on the assessment of climate – black truffle relationships. These indices, based on  
561 precipitation and AED, have the advantage of easily allowing the integration of irrigation  
562 amounts, so they are of particular interest to the study of irrigated *truffières*. The strongest  
563 relationship of AWD0.4 with black truffle production was obtained for a monthly temporal  
564 resolution, suggesting that weekly or biweekly water deficit events could be compensated to a  
565 considerable extent with water surplus during the previous or subsequent weeks. On the other  
566 hand, our results did not provide a clear response about which is the best timeframe to assess  
567 the influence of water deficit on black truffle production, with several timeframes showing a  
568 high potential (July-September, July-October, July-August, April-September and April-

569 October). The predictive skills of these proxies were confirmed with a calibration-verification  
570 technique. However, our results were based on truffle productions that were not obtained in  
571 controlled conditions of water stress, and climate – truffle production relationships were  
572 averaged over a large geographical area. It would be interesting to corroborate the robustness  
573 of the proposed climate indices in studies at the orchard and tree scales, in order to fine-tune  
574 them. It would also be interesting to evaluate the proposed proxies in other black truffle-  
575 producing regions with wetter climates, such as those in France and Italy, in order to assess  
576 their generalisability.

577

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585

### 586 **Declaration of Competing Interest**

587 The authors have no competing interests to declare.

588

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