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1 **Early pregnancy diagnosis in sheep using near-infrared spectroscopy on blood plasma**

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11 **Abstract**

12 The objective of this study was to evaluate the ability of near-infrared reflectance  
13 spectroscopy (NIRS) to discriminate between pregnant and non-pregnant ewes in early stages  
14 of pregnancy after artificial insemination (AI) from blood plasma. Samples were collected by  
15 jugular puncture at 18 and 25 days after AI from 188 Rasa Aragonesa and Ansotana ewes.  
16 Plasma samples were analyzed for pregnancy-associated glycoprotein (PAG) and  
17 progesterone (P4) using ELISA commercial kits. The spectra of plasma samples were  
18 recorded in the visible and near-infrared ranges. The performance of these tests was  
19 compared, using as criterion standard the pregnancy status determined by transabdominal  
20 ultrasonography at 45 days after AI. Pregnancy rate was 47.9% (90/188). At day 18,  
21 sensitivity was similar in NIRS and P4 tests (98.9 vs. 100%; NS) and higher than PAG  
22 (32.2%; both  $P < 0.001$ ). Specificity was similar in NIRS and PAG tests (both 100%) and  
23 higher than that of P4 (84.7%;  $P < 0.001$ ). At day 25, sensitivity and specificity of NIRS and

24 PAG were both 100%. It can be concluded that NIRS was an accurate method of diagnosis of  
25 pregnancy at days 18 and 25 post-AI in ewes.

26 **Keywords:** early pregnancy diagnosis, ewe, fertility, NIRS, PAG, progesterone

## 27 **1. Introduction**

28 An early and practical pregnancy diagnosis test is essential for the efficient  
29 reproductive management of an ovine flock. Gestation can be diagnosed by transabdominal  
30 ultrasonography from 24 to 25 days of pregnancy, although it is recommended to be  
31 performed after days 40 to 55 of gestation as efficiency in counting the number of  
32 conceptuses in multiple pregnancies can reach 100% (reviewed by González-Bulnes et al.  
33 [1]). An earlier pregnancy diagnosis can be performed by transrectal ultrasonography, but is  
34 more time-consuming and requires a more expert operator [1]. The progesterone (P4) assay is  
35 accurate as early as days 17 to 19 after breeding [2]. Pregnancy-associated glycoprotein  
36 (PAG) determination in plasma by radioimmunoassay using a mixture of ovine and caprine  
37 antisera against PAGs allows 95.3% correct pregnancy diagnosis as early as from day 18 of  
38 gestation onwards [3]. Recently, a new PAG ELISA kit (CER-6900 Marloie, Belgium) was  
39 tested for pregnancy diagnosis in the Rasa Aragonesa breed, showing sensitivity and  
40 specificity values of 100% from day 25 onwards [4].

41 Near-infrared reflectance spectroscopy (NIRS) technology is currently used in quality  
42 assurance analysis for a number of substances, being non-destructive, fast and suited to online  
43 measurements. The objective of this study was to evaluate the ability of NIRS to discriminate  
44 between pregnant and non-pregnant ewes at days 18 and 25 after artificial insemination (AI)  
45 from blood plasma.

## 46 **2. Materials and methods**

47 2.1. *Samples and data collection*

48 This experiment was carried out in the facilities of CITA Research Center. A total of  
49 188 adult, multiparous, dry ewes were used. All ewes were identified with ear tags and  
50 ruminal boluses for electronic identification. The ewes belonged to two flocks. Flock 1  
51 consisted of 142 Rasa Aragonesa ewes, kept permanently in irrigated pastures of artificial  
52 grassland of ryegrass and lucerne, at a stocking rate of 13.4 ewes/ha. Flock 2 consisted of 46  
53 Ansošana ewes kept in non-irrigated pastures in semiarid areas, grazing permanent grassland  
54 of semiarid environment and rainfed lucerne, at a stocking rate of 1.3 ewes/ha. Artificial  
55 insemination (AI) was carried out in March (flock 1) and November (flock 2).

56 Ewes were treated for 14 days with vaginal sponges containing fluorogestone acetate  
57 (FGA; Sincropart 30 mg, CEVA Salud Animal S.A., Barcelona, Spain), and 480 IU eCG im  
58 (Sincropart PMSG 6,000 UI, CEVA Salud Animal S.A., Barcelona, Spain) at sponge  
59 withdrawal. Cervical AI was carried out  $54.5 \pm 1$  h after sponge withdrawal with semen  
60 diluted in skimmed milk and maintained at 15 °C. Each ewe received  $400 \cdot 10^6$  total  
61 spermatozoa. Fifteen days after AI, five entire adult males were introduced into the flocks for  
62 10 days. Eighteen and 25 days after AI, blood samples were taken from ewes using 5 mL  
63 vacuum tubes with heparin. Plasma was collected and frozen following blood centrifugation  
64 at  $2,122 \times g$  for 25 minutes for further PAG, NIRS and P4 determinations. Analyses of PAG,  
65 NIRS and P4 were performed in all samples taken at day 18 ( $n = 188$ ). At day 25, analyses of  
66 PAG and NIRS were performed only in samples from ewes that lambed after AI ( $n = 90$ ), and  
67 from ewes that failed to conceive after both AI and natural breeding ( $n = 29$ ). Samples from  
68 ewes that conceived after natural breeding ( $n = 69$ ) were not analyzed. With the only purpose  
69 of knowing whether the spectral differences observed between pregnant and cycling non-  
70 pregnant ewes at this day were or not related to the presence of P4, it was analysed at day 25

71 only in ewes that failed to conceive after both AI and natural breeding (only in 17 out of the  
72 29 non-pregnant ewes there was enough plasma left).

73 After AI, ewes were kept inside and fed *ad libitum*. Forty-five days after AI,  
74 pregnancy diagnosis was performed by transabdominal ultrasonography in standing position,  
75 using a real-time B-mode ultrasound scanner (5.0 MHz linear-array transducer, Aloka, 500  
76 SSD). At 140 days after AI, pregnant ewes were placed in individual pens and checked daily  
77 to allow accurate assessment of the lambing dates. Ewes were determined to have conceived  
78 to AI or to natural breeding based on the embryonic vesicle size at ultrasound examination  
79 and confirmed by the lambing dates.

#### 80 *2.2 Criterion standard to determine the pregnancy status at days 18 and 25 after AI*

81 As in this study we were focused in pregnancy diagnosis only at days 18 and 25 of  
82 gestation, but not earlier, ewes pregnant at the return estrus were considered as non-pregnant.  
83 Ewes diagnosed as pregnant by ultrasound scanning that lambled  $149 \pm 7$  days after AI were  
84 considered pregnant. Ewes diagnosed as pregnant by ultrasonography that lambled later than  
85 156 days after AI were assumed to have conceived after natural breeding and were considered  
86 non-pregnant. Finally, ewes diagnosed as pregnant by ultrasonography that did not lamb  
87 would be assumed to have suffered fetal death/abortion around/after day 45 and would have  
88 been considered as pregnant at days 18 and 25. Nevertheless, in the present study all ewes  
89 diagnosed as pregnant by ultrasonography lambled.

#### 90 *2.3. Assays of plasma PAG and P4*

91 The PAG assay was performed with a “sandwich” ELISA kit (Ref. Code EG7, CER-  
92 6900 Marloie, Belgium), following the manufacturer’s instructions. The sensitivity of the  
93 assay was 0.22 ng/mL. Intra and inter-assay coefficients of variation were: for a plasma pool  
94 of 2.2 ng/mL, 6.3 and 10.4%, respectively; for a plasma pool of 1.3 ng/mL, 5.3 and 6.7%,

95 respectively. The basal level calculated from 30 samples of 10 non-pregnant ewes was 0.34  
96 ng/mL. The 95% confidence limit (0.8 ng/mL) was considered the threshold for pregnancy  
97 diagnosis [4]. Progesterone was analyzed by an ELISA kit designed for ovine plasma  
98 (Ridgeway Science, St. Briavels, Gloucestershire, UK), following the manufacturer's  
99 instructions. The sensitivity was 0.27 ng/mL. All samples were analyzed in the same assay.  
100 Intra-assay coefficients of variation for sample pools of 0.5, 1 and 2 ng/mL were 8.5, 9.9 and  
101 2.3%, respectively. The threshold considered for pregnancy diagnosis was 0.5 ng/mL.

#### 102 *2.4. Reflectance spectrum measurement*

103 Spectra were analyzed using the methodology previously described [5]. Briefly, after 2  
104 h at room temperature, 0.5 mL of a plasma sample was placed on a glass microfiber filter  
105 (Whatman GF/A, 55 mm, Cat. No. 1820 055; Whatman International, Ltd., Maidstone, UK)  
106 and oven-dried at 30 °C for 24 h. The sample was then placed in a 50 mm diameter ring cup  
107 and scanned in reflectance ( $R$ ) mode at 2 nm intervals from 400 to 2498 nm using a Foss  
108 NIRSystems 6500 NIR scanning spectrometer (Foss NIRSystems, Silver Spring, MD, USA)  
109 equipped with a transport module and controlled via WinISI II version 1.02 software  
110 (Infrasoft International LLC, State College, PA, USA). Reflectance was converted into  
111 absorbance ( $A$ ) using the formula  $A = \log(1/R)$ .

#### 112 *2.5 Methods used to discriminate pregnant and non-pregnant ewes.*

113 The raw spectra were then transformed applying the standard normal variate and de-  
114 trending [6] as scatter correction procedure and also a mathematical first-order derivative  
115 treatment. The transformed absorbance spectra of samples for each date representing the two  
116 groups (pregnant *vs.* non-pregnant) underwent partial least squares discriminant analysis  
117 (PLS-DA) according to the methodology described by Dian et al. [7] using the software  
118 which piloted the NIRS instrument (WinISI II version 1.02 software, Infrasoft International

119 LLC, State College, PA, USA). The PLS-DA model consists of a PLS regression where the  
120 dependent variable is a categorical variable (1 for pregnant ewes, 2 for non-pregnant ewes).  
121 Each sample is classified into a group and it is associated to an uncertainty factor which is  
122 calculated by a Student's t-test. An uncertainty value of 2 for this test is recommended by the  
123 manufacturer. All samples in this study equalled or exceeded this value. A principal  
124 component analysis (PCA) performed beforehand was used in order to characterize the factors  
125 which better explain the discrimination results. The wavelengths more involved in  
126 discriminating between pregnant and non-pregnant ewes were those with greater coefficients  
127 in the first two factors. The model was tested via a cross-validation procedure, in which a  
128 quarter of randomly chosen samples were temporarily removed from the initial dataset to be  
129 used for validation. The PLS-DA model parameters were estimated using the remaining three-  
130 quarters (calibration samples), which were used to classify the validation samples. This  
131 procedure was repeated four times, i.e. until all dataset samples had been classified through  
132 the validation procedure. The cross-validation error of the models was expressed as sensitivity  
133 and specificity in relation to the criterion standard for pregnancy status.

#### 134 2.6. Statistical analysis

135 Sensitivity (Se) was defined as the ratio between the ewes correctly diagnosed as  
136 pregnant and all the pregnant ewes. Specificity (Sp) was the ratio between the ewes correctly  
137 diagnosed as non-pregnant and all the non-pregnant ewes. Positive predictive value (PPV)  
138 was the ratio between the ewes correctly diagnosed as pregnant and those diagnosed as  
139 pregnant. Negative predictive value (NPV) was the ratio of ewes correctly diagnosed as non-  
140 pregnant and those diagnosed as non-pregnant. Both PPV and NPV depend on prevalence (P)  
141 [8,9]. In the present study, prevalence is the pregnancy rate after AI. The relationships  
142 between PPV and NPV with Se, Sp and P are:

$$143 \text{PPV} = \frac{P \cdot \text{Se}}{P \cdot \text{Se} + (1 - P) \cdot (1 - \text{Sp})}; \text{NPV} = \frac{(1 - P) \cdot \text{Sp}}{(1 - P) \cdot \text{Sp} + P \cdot (1 - \text{Se})}$$

144 Pairwise differences between groups in the Se, Sp, PPV and NPV were analyzed by  
145 the Freeman-Tukey test and step-down bootstrap adjustment for multiple tests, using the  
146 MULTTEST procedure of SAS [10].

### 147 3. Results

148 Results are shown in Table 1. Differences in fertility between flocks 1 and 2 were very  
149 low and non-significant, so the results were pooled. Ninety ewes out of 188 were pregnant  
150 after AI (pregnancy rate: 47.9%). Irrespective of their pregnancy status, all plasma samples  
151 showed local peaks at 418, 1502, 1728, 1930, 2056, 2176, 2302 and 2472 nm, and a broad  
152 band between 460 nm and 490 nm (Figure 1). The raw spectra showed decreasing absorbance  
153 as wavelength increased from approximately 450 to 1100 nm and continuous increase in  
154 absorbance with greater wavelengths. Plasma samples obtained at day 18 from non-pregnant  
155 ewes generally showed slightly higher absorbance than the plasma of pregnant ewes  
156 throughout the infrared spectrum, and slightly lower absorbance values throughout the visible  
157 part of the spectrum. However, for samples obtained at day 25, higher absorbances at 418 nm  
158 were found in pregnant ewes, whereas similar absorbances between pregnant and non-  
159 pregnant ewes were found for the infrared wavelengths. The wavelengths more involved in  
160 discriminating between pregnant and non-pregnant ewes were 432, 528, 584 and 1084 (higher  
161 coefficients in the first factor) and 448, 464, 1892 and 1964 (higher coefficients in the second  
162 factor).

163 *(Approximate location of Figure 1)*

164 At day 18, the sensitivity of NIRS was higher than that of PAG (98.9 vs. 32.2%;  
165  $P < 0.001$ ; Table 1), whereas no differences were found in specificity. Sensitivity of NIRS and  
166 P4 were similar, whereas specificity was higher in NIRS (100 vs. 84.7%;  $P < 0.001$ ).



167 At day 25, no differences were found in any of the studied parameters between PAG  
168 and NIRS (100%). Most non-pregnant ewes (15 out of 17) had a high concentration of P4 ( $\geq$   
169 0.5 ng/mL), whereas they were correctly diagnosed as non-pregnant by NIRS and PAG.

170 *(Approximate location of Table 1)*

#### 171 **4. Discussion**

172 This study describes for first time the use of NIRS for early pregnancy diagnosis in  
173 blood plasma in ewes. The results obtained in our experiment demonstrate the ability of NIR  
174 spectroscopy to detect early pregnancy successfully in two genetically different breeds [11]  
175 managed according to different production systems, fed differently and inseminated in  
176 different seasons. As early as 18 days after AI, it allowed the correct classification of 98.9 and  
177 100% of the plasma samples obtained from pregnant and non-pregnant ewes, respectively.  
178 Prominent spectral peaks at 1502, 1728, 2056, 2176, 2302 and 2472 nm can be ascribed to the  
179 absorption of functional groups related to proteins [12], and absorptions at 1930 nm could  
180 arise from functional groups related to carbohydrates. These absorptions agree with previous  
181 reports [13,14]. Thus, NIRS could detect in blood plasma the presence of compounds related  
182 to the oviductal and uterine fluids to ensure implantation, including micronutrients involved  
183 in embryo development and survival [15]. The observed spectral differences do not appear to  
184 correspond to P4. Plasma P4 concentration at days 16 to 19 after breeding is low in non-  
185 pregnant ewes but high in pregnant ewes due to the persistence of pregnancy corpus luteum.  
186 In our study, 15 non-pregnant ewes, correctly classified as non-pregnant by NIRS, had high  
187 P4 concentrations on day 18. This lower specificity could be due to embryo mortality  
188 occurring after the maternal recognition of pregnancy, to either shortened or extended cycles,  
189 or to other pathologies such as pyometra, which could affect the P4 level. In addition, the P4  
190 concentration on day 25 post-AI is expected to be high in both regularly cycling and pregnant

191 ewes and low in non-cycling ewes. In our study, 15 out of 17 non-pregnant ewes had high  
192 levels of P4 at day 25 post-AI. In spite of their elevated P4 levels, these ewes were correctly  
193 diagnosed as non-pregnant by NIRS, reinforcing the absence of any direct relation between  
194 P4 and the spectral differences observed between pregnant and non-pregnant ewes.

195 The performance of P4 and PAG as pregnancy diagnosis tests at days 18 and 25,  
196 respectively, are similar to those previously reported [2]. In the present work, sensitivity and  
197 specificity of both PAG and NIRS diagnosis at day 25 was exactly the same (100%). By  
198 contrast, at day 18, the sensitivity of the PAG test was significantly lower, suggesting that the  
199 ELISA method used here was not sensitive enough to detect PAG in some pregnant ewes at  
200 this age. In fact, sensitivity is higher when measuring PAG by RIA using a mixture of ovine  
201 and caprine antisera, allowing for pregnancy diagnosis to be accurately assessed from day 18  
202 of gestation [3]. In our study is not possible to know whether the observed differences  
203 between the spectrum of pregnant and non-pregnant ewes corresponds to some of the many  
204 existing PAGs in the ruminant placenta [16].

205 In the present study, ultrasonography at day 45 was used as the criterion standard to  
206 determine the pregnancy status. It must be noted that females pregnant on days 18 and 25 can  
207 loose the pregnancy before ultrasonography leading to false positive results of pregnancy  
208 tests. In the present work there was no evidence of pregnancy losses, as false positive results  
209 were not found in PAG and NIRS tests at day 25. It must be taken into account that the  
210 percentage of ewes losing all their embryos is considered low. In a work carried out in farms,  
211 it was found that only 3.8% of ewes lost 1 or more embryos from days 25 to 45 and that  
212 partial losses were more frequent than complete losses in ewes with a multiple pregnancy  
213 [17].

214 In conclusion, NIRS is a reliable method that allows an early and efficient pregnancy  
215 diagnosis in sheep from 18 days after breeding. Unlike for PAG or P4 determinations,

216 reagents are not required. More research is needed to test the accuracy of NIRS test earlier  
217 than day 18 after breeding, and to identify the substances responsible for spectral differences  
218 between pregnant and non-pregnant ewes.

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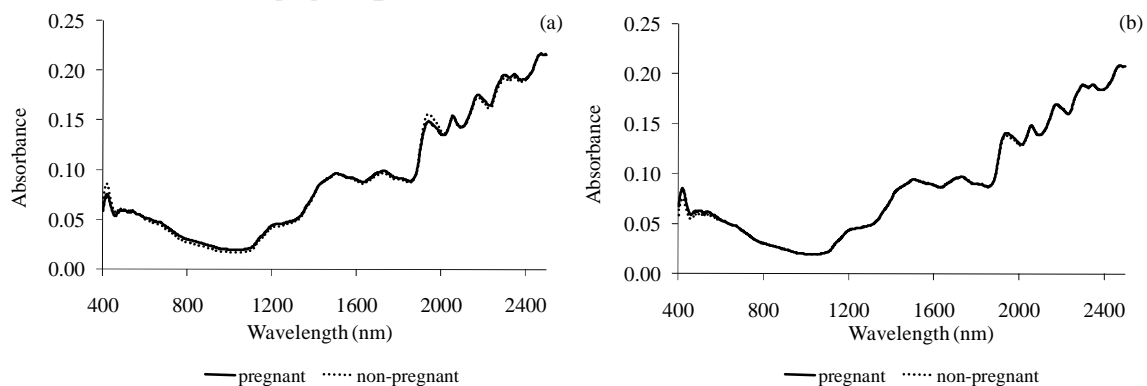
269

270 **Table 1.** Sensitivity, specificity, positive predictive value (PPV) and negative predictive value  
 271 (NPV) of near-infrared reflectance spectroscopy (NIRS) compared with the plasmatic  
 272 pregnancy-associated glycoprotein (PAG) method ( $\geq 0.8$  ng/mL) at days 18 and 25 after AI  
 273 and progesterone (P4) method ( $\geq 0.5$  ng/mL) at day 18 after AI. Values within brackets  
 274 correspond to number of successes/total number of ewes in each category.

Method	Sensitivity	Specificity	PPV	NPV
Day 18				
NIRS	98.9 (89/90) <sup>a</sup>	100 (98/98) <sup>a</sup>	100 (89/89) <sup>a</sup>	99.0 (98/99) <sup>a</sup>
PAG	32.2 (29/90) <sup>b</sup>	100 (98/98) <sup>a</sup>	100 (29/29) <sup>c</sup>	61.6 (98/159) <sup>b</sup>
P4	100 (90/90) <sup>a</sup>	84.7 (83/98) <sup>b</sup>	85.7 (90/105) <sup>b</sup>	100 (83/83) <sup>a</sup>
Day 25				
NIRS	100 (90/90) <sup>a</sup>	100 (29/29) <sup>a</sup>	100 (90/90) <sup>a</sup>	100 (29/29) <sup>a</sup>
PAG	100 (90/90) <sup>a</sup>	100 (29/29) <sup>a</sup>	100 (90/90) <sup>a</sup>	100 (29/29) <sup>a</sup>

275 Within columns: <sup>a,b</sup>:  $P < 0.001$ ; <sup>b,c</sup>:  $P < 0.05$ .

276



277

278 Figure 1. Plasma raw spectra for pregnant and non-pregnant ewes for samples obtained at 18  
 279 days after AI (a) and 25 days after AI (b).